



Combinatorics via Rule-Algebraic Methods

Nicolas Behr (Université de Paris, CNRS, IRIF)

Species and operads in combinatorics and semantics (SOCS 2020)

December 11, 2020



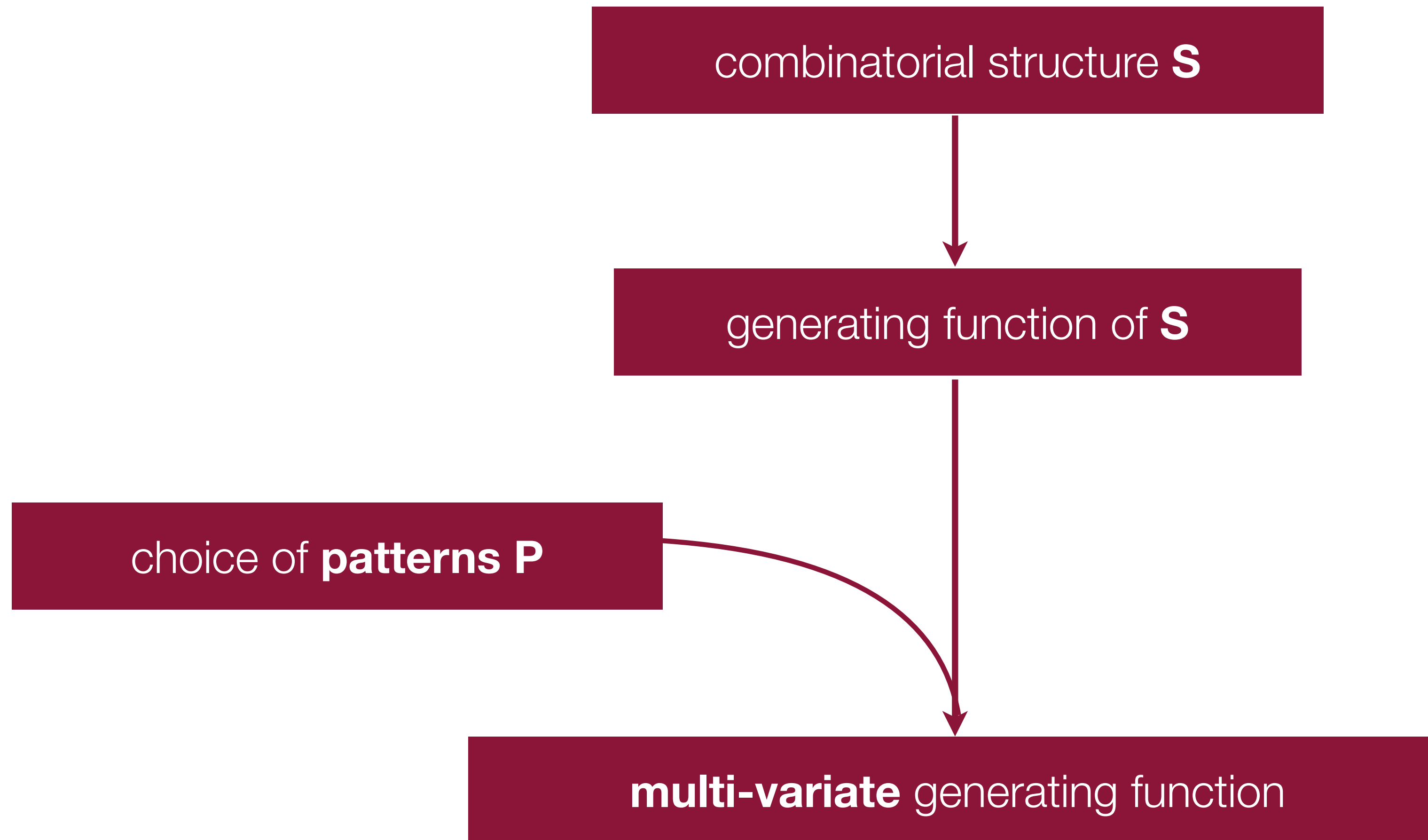
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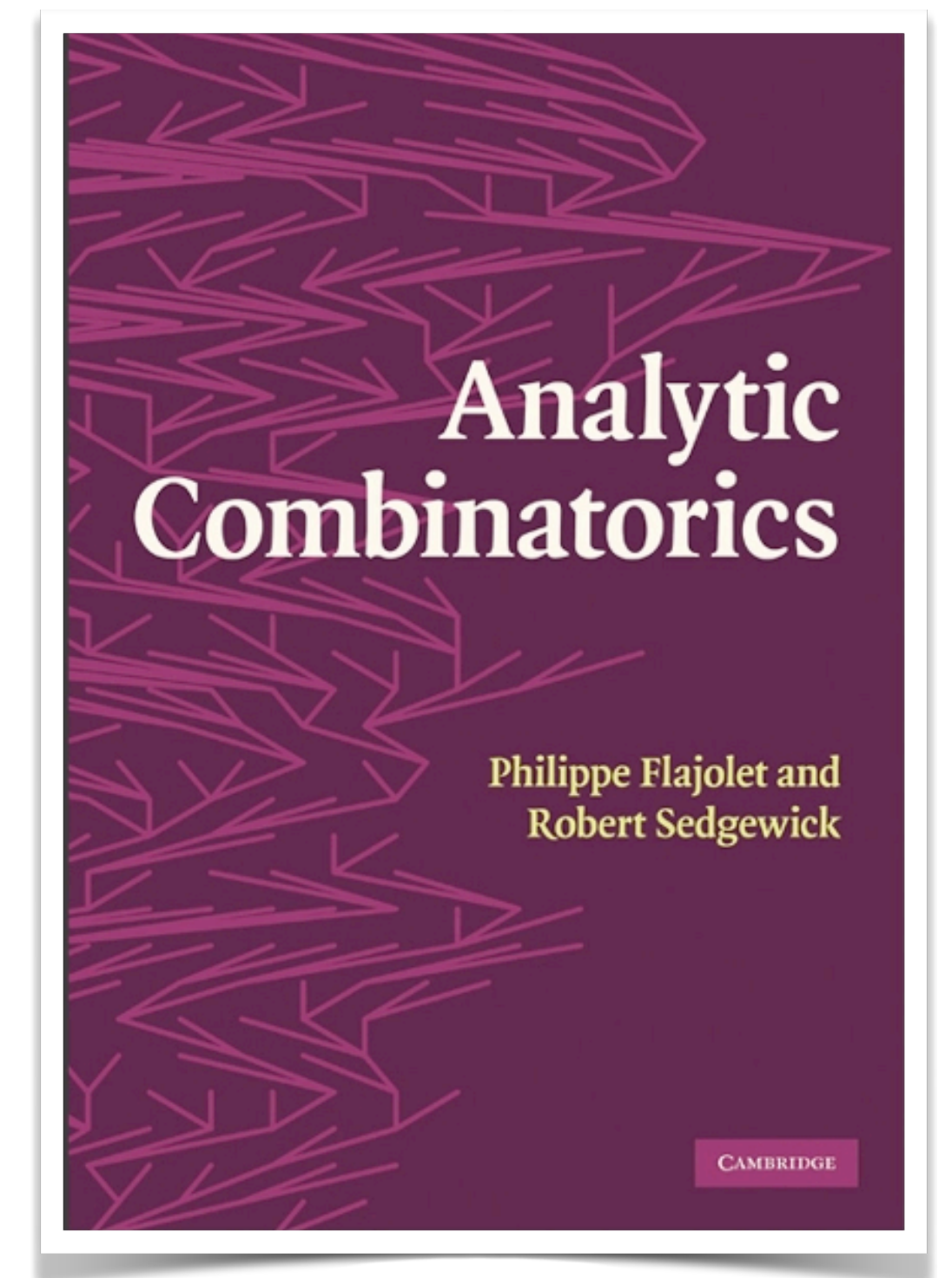
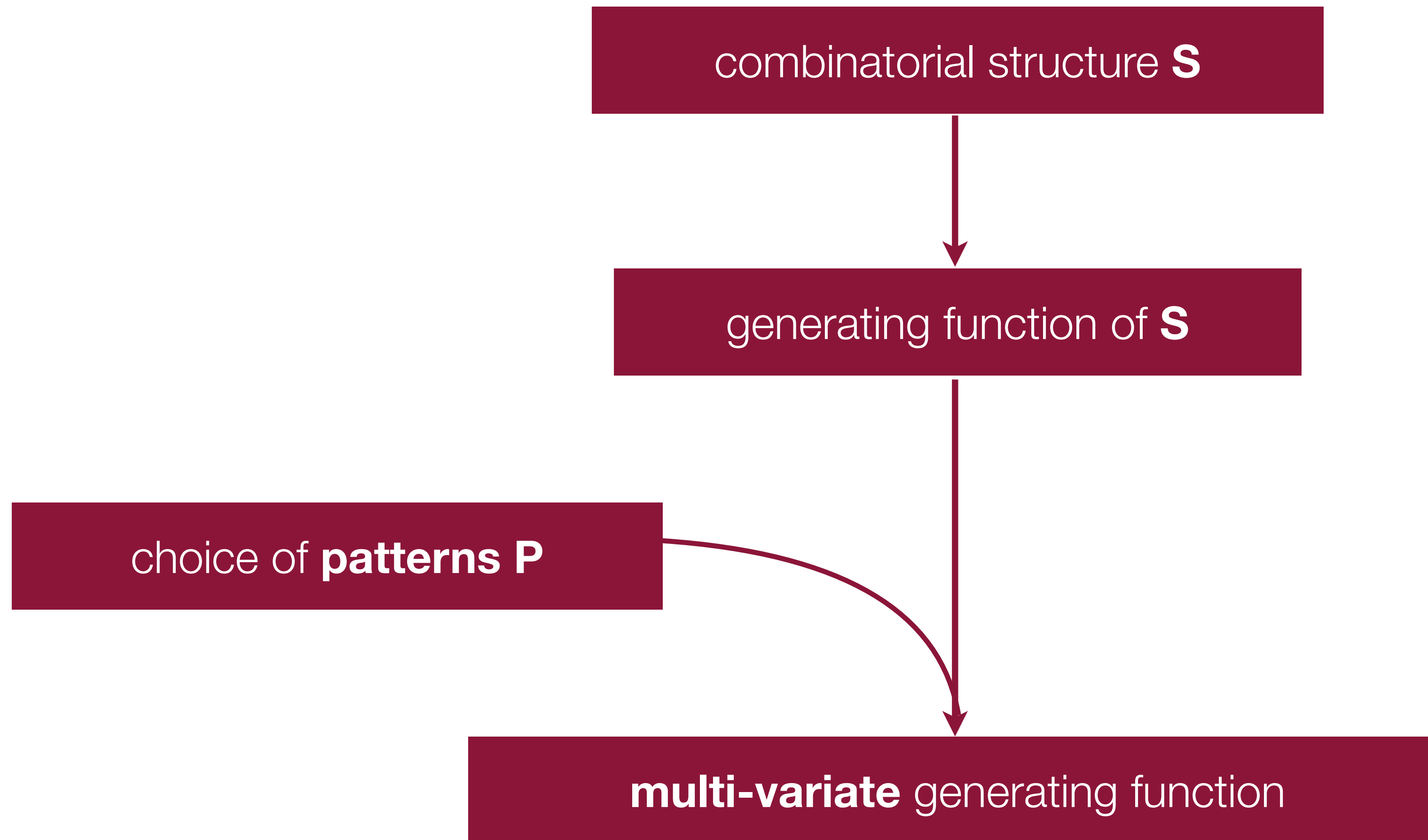
Motivation

The enumerative combinatorics "workflow" (à la Flajolet):



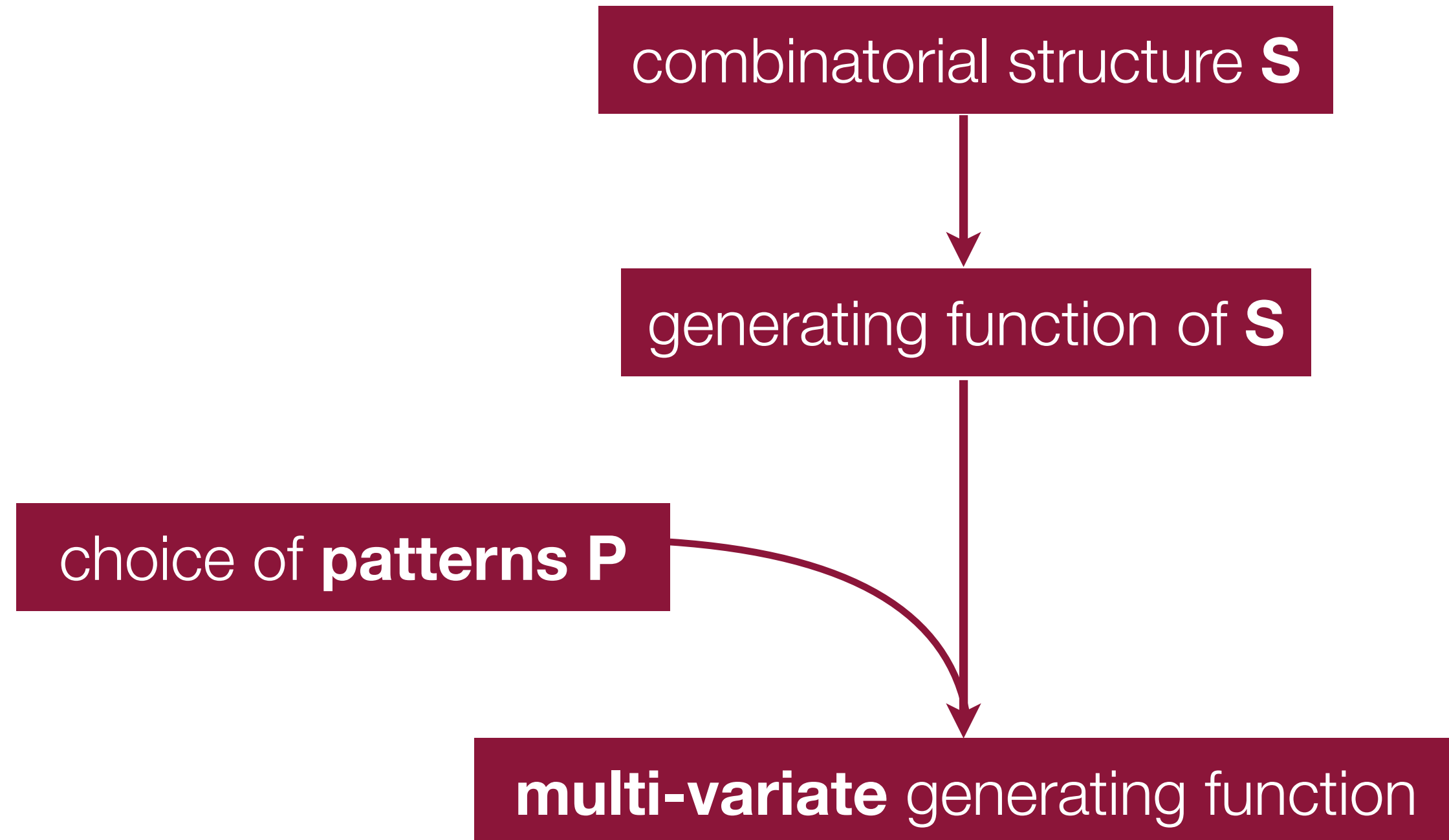
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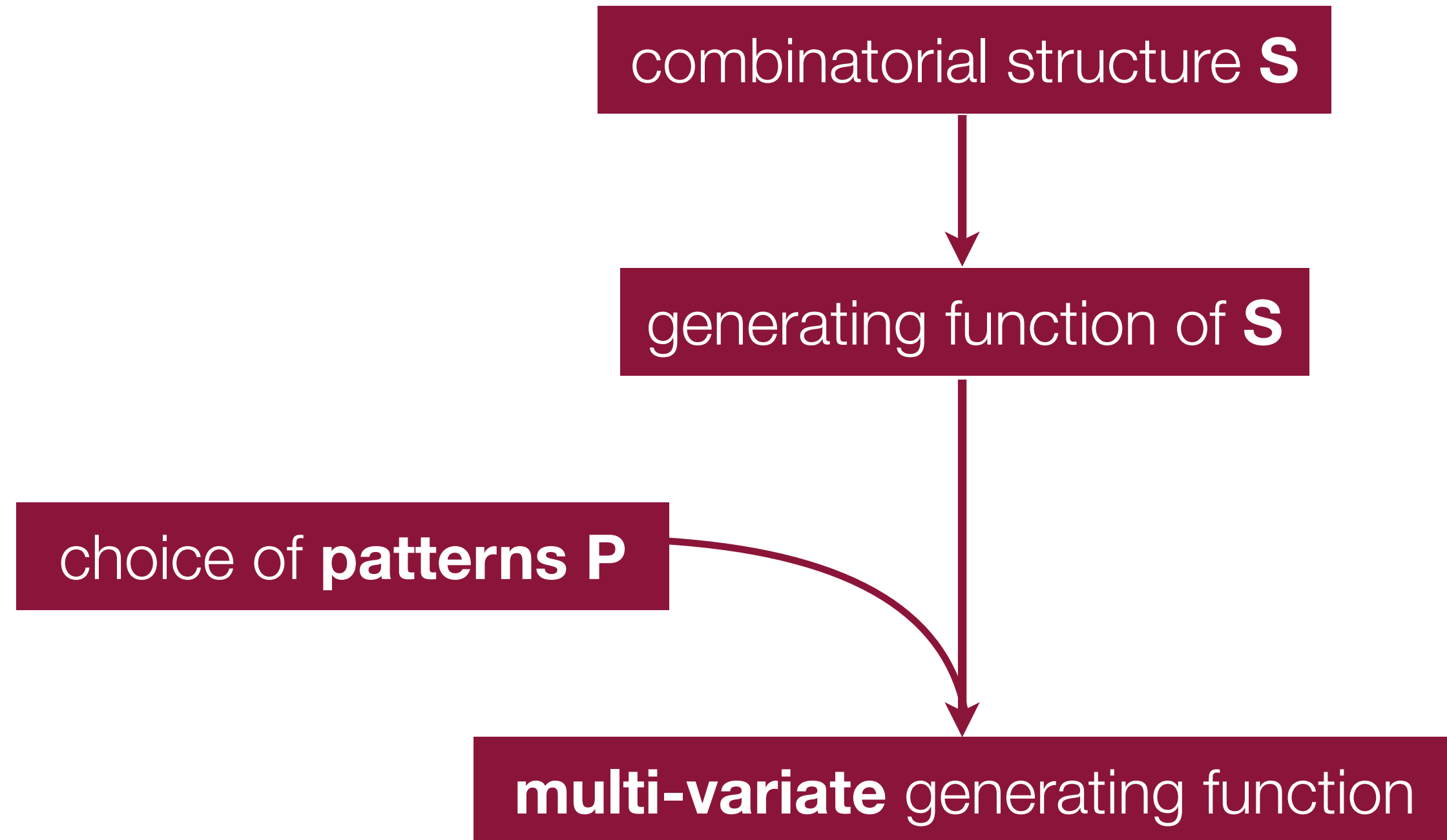


Motivation

Example: planar rooted binary trees (PRBTs)



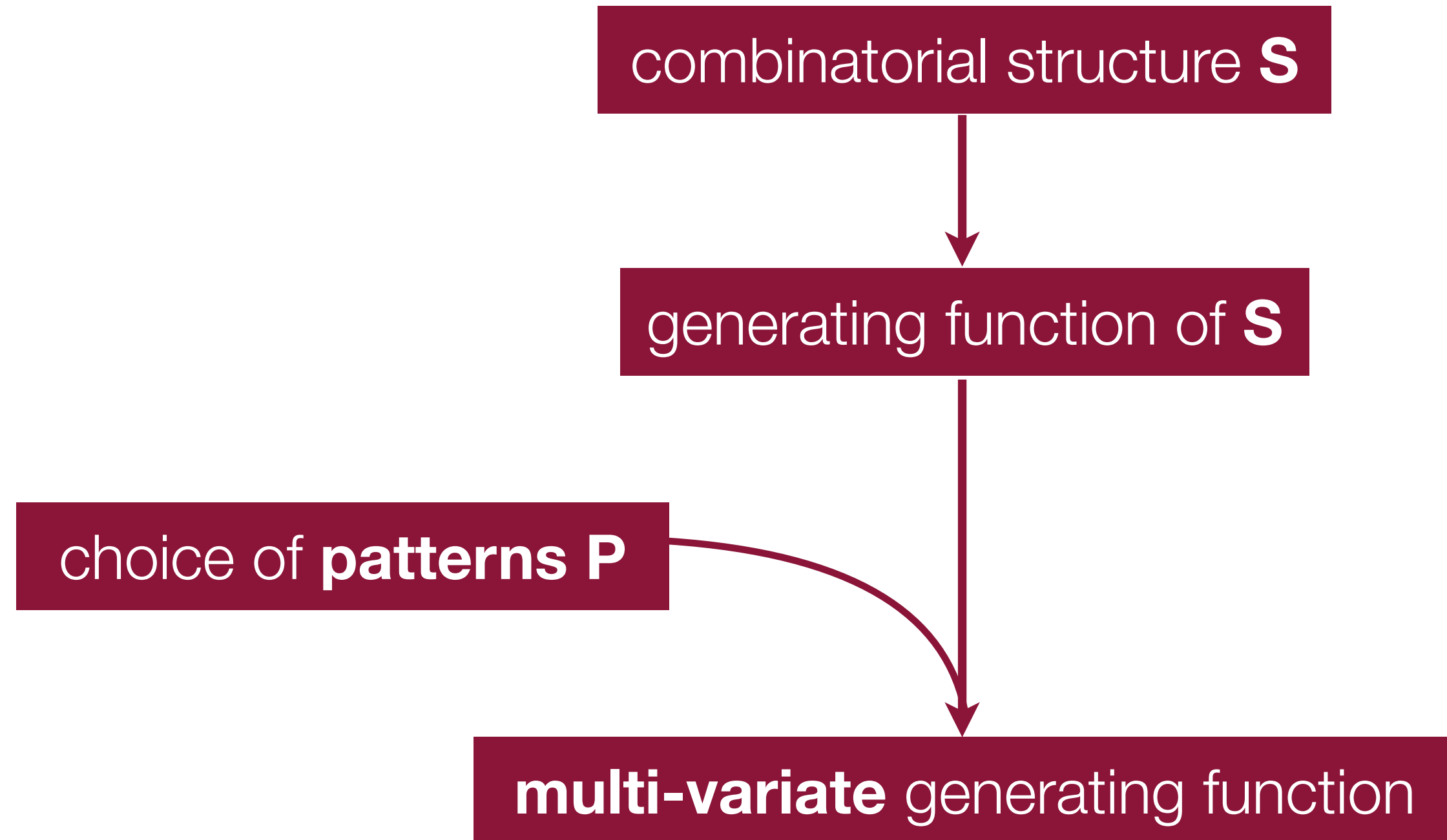
Motivation



Example: planar rooted binary trees (PRBTs)

$$\mathcal{T}_0 := \left\{ \begin{array}{c} \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_1 := \left\{ \begin{array}{c} \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_2 := \left\{ \begin{array}{c} \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \end{array}, \begin{array}{c} \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \dots$$

Motivation

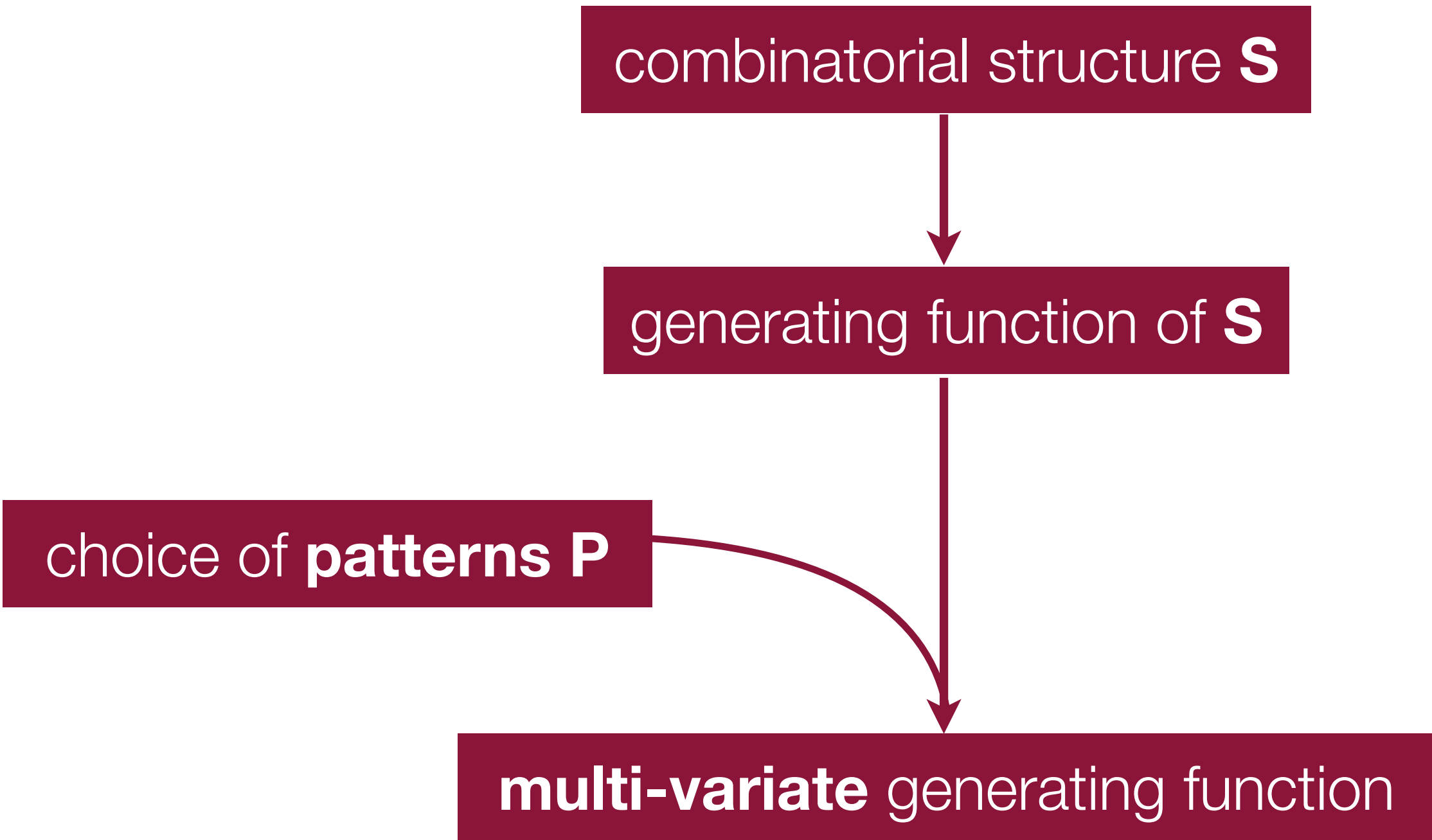


Example: planar rooted binary trees (PRBTs)

$$\mathcal{T}_0 := \left\{ \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \right\}, \quad \mathcal{T}_1 := \left\{ \begin{array}{c} \bullet & \bullet \\ \swarrow & \searrow \\ \bullet & \bullet \\ | & \\ \bullet \end{array} \right\}, \quad \mathcal{T}_2 := \left\{ \begin{array}{c} \bullet & \bullet \\ \swarrow & \searrow \\ \bullet & \bullet \\ \swarrow & \searrow \\ \bullet & \bullet \\ | & \\ \bullet \end{array}, \begin{array}{c} \bullet & \bullet \\ \swarrow & \searrow \\ \bullet & \bullet \\ | & \\ \bullet \end{array} \right\}, \quad \dots$$

$$\mathcal{G}(\lambda) := \sum_{n \geq 0} \frac{\lambda^n}{n!} (\# \text{ of structures of size } n)$$

Motivation



Example: planar rooted binary trees (PRBTs)

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Choose some **patterns**:

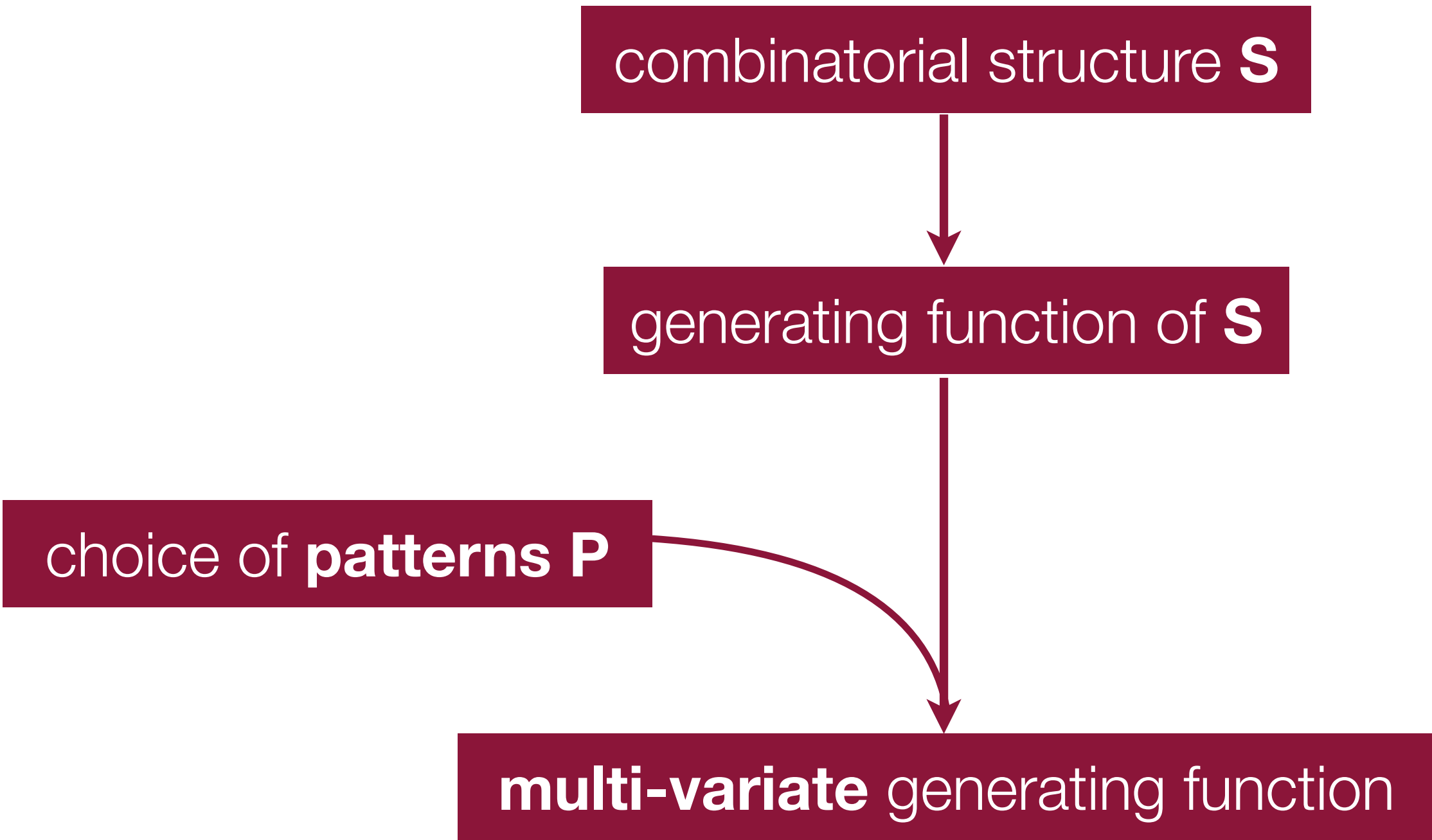
$P_1 :=$

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$P_3 :=$

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Motivation



Example: planar rooted binary trees (PRBTs)

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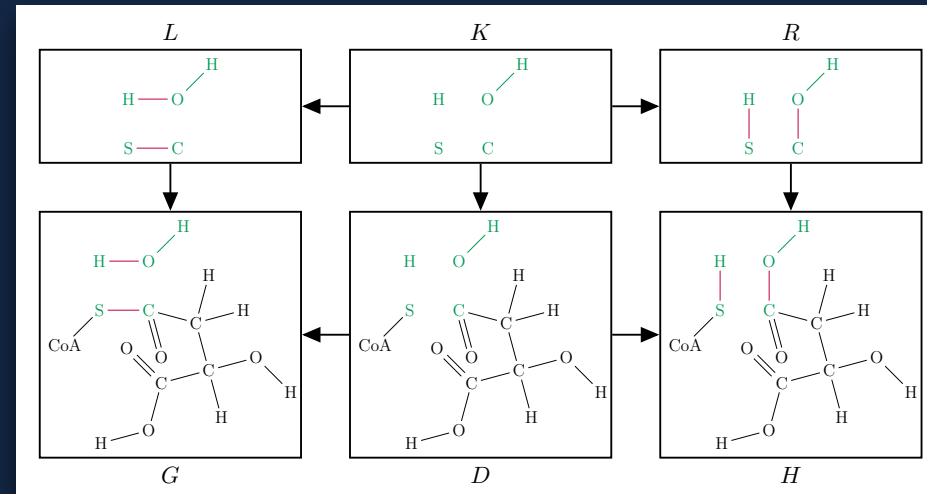
Choose some **patterns**:

$$P_1 := \begin{array}{c} | \\ * \end{array}, \quad P_2 := \begin{array}{c} \diagup \quad \diagdown \\ | \\ * \end{array}, \quad P_3 := \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ | \\ * \end{array}, \quad P_4 := \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ | \\ * \end{array}$$

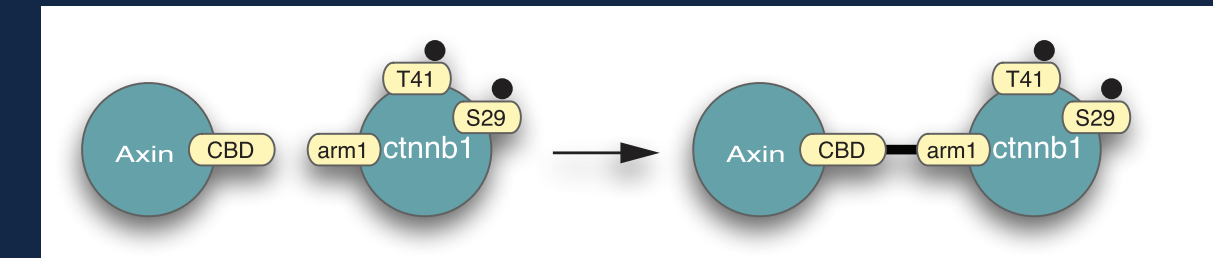
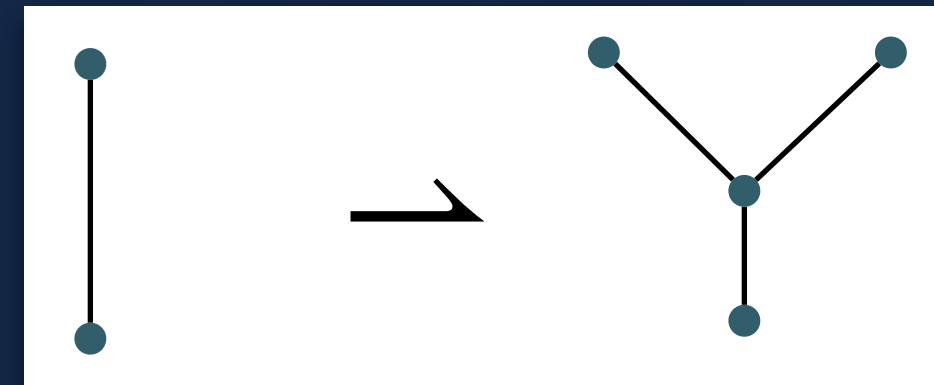
$$\mathcal{G}(\lambda; \omega_1, \dots, \omega_k) := \sum_{n \geq 0} \frac{\lambda^n}{n!} \sum_{p_1, \dots, p_k \geq 0} \frac{\omega_1^{p_1} \cdots \omega_k^{p_k}}{p_1! \cdots p_k!} \left(\begin{array}{l} \# \text{ of structures of size } n \\ \text{and with } p_i \text{ occurrences of pattern } P_i \text{ (for } 1 \leq i \leq k) \end{array} \right)$$

COMPUTER SCIENCE

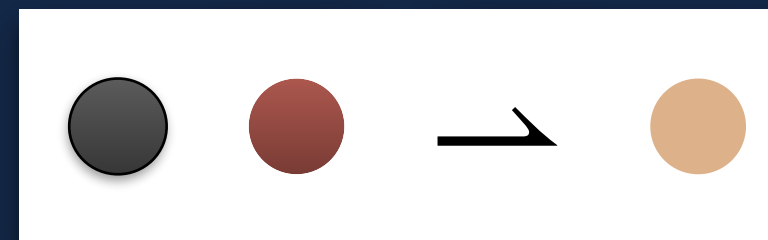
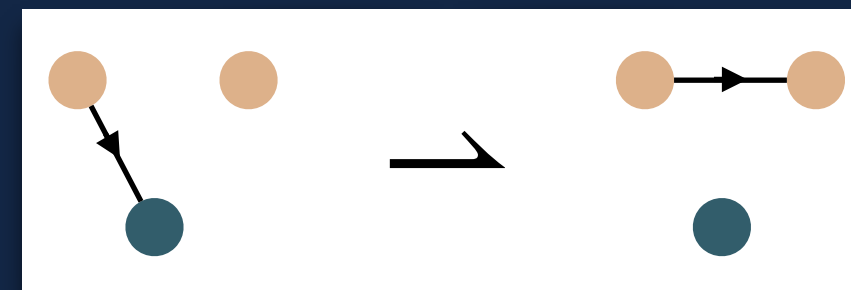
- **semantics** and **stochastic rewriting theory**
- **concurrency theory**
- **algorithms** for **bio-** and **organo-chemistry**



organic chemistry



biochemistry



MATHEMATICAL PHYSICS

- continuous-time Markov chains (CTMCS)
- statistical mechanics

MATHEMATICS

- enumerative/algebraic combinatorics
- theory of **M-adhesive categories**

Rewriting in the life sciences: **bio-** and **chemo-informatics**

KAPPA

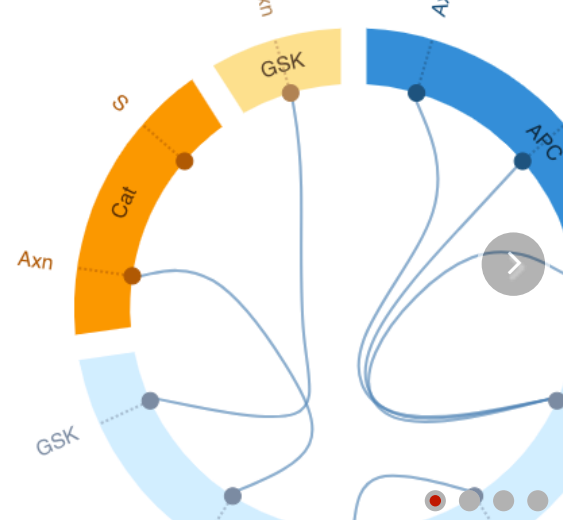


Kappa Language

A rule-based language for modeling interaction networks

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```
45 'GSK.Axn+' GSK(Axn),Axn(GSK) -> GSK(Axn!1),Axn(GSK!1) @ 'Kon'{'pF'}
46 'GSK.Axn-' GSK(Axn!1),Axn(GSK!1) -> GSK(Axn),Axn(GSK) @ 'Koff'
47
48 /* Binding rules for Cat and Axn. These guys bind through their
49 respective Axn and Cat sites. They can only bind if the site S of
50 Cat is in state 'x', i.e. unmodified. This means there is no
51 product inhibition in the system. As for the unbinding rule, it
52 happens regardless of the state of site 'S'.*/
53 'Cat|x.Axn+' Cat(Axn,S-x),Axn(Cat) -> Cat(Axn!1,S-x),Axn(Cat!1) @
54 'on'{'pF'}
55 'Cat|x.Axn-' Cat(Axn!1),Axn(Cat!1) -> Cat(Axn),Axn(Cat) @ 'Koff'
56
57 /* Recycling rules and mass conservation. The token 'CatGhost'
58 is used to track the cumulative number of degradation events.
59 I can then obtain the rate of degradation.*/
59 'p->s' Cat(Axn,S-p) -> Cat(Axn,S-x) | 1:CatGhost @ INF
60 's->p' GSK(),Cat(S-x) -> GSK(),Cat(S-p) @ 0.0 {'Kcat'}
61 'APC\' APC() -> @ 'r_APC\'
62
```



(If you are a frequent visitor, keep an eye on the [News](#).)

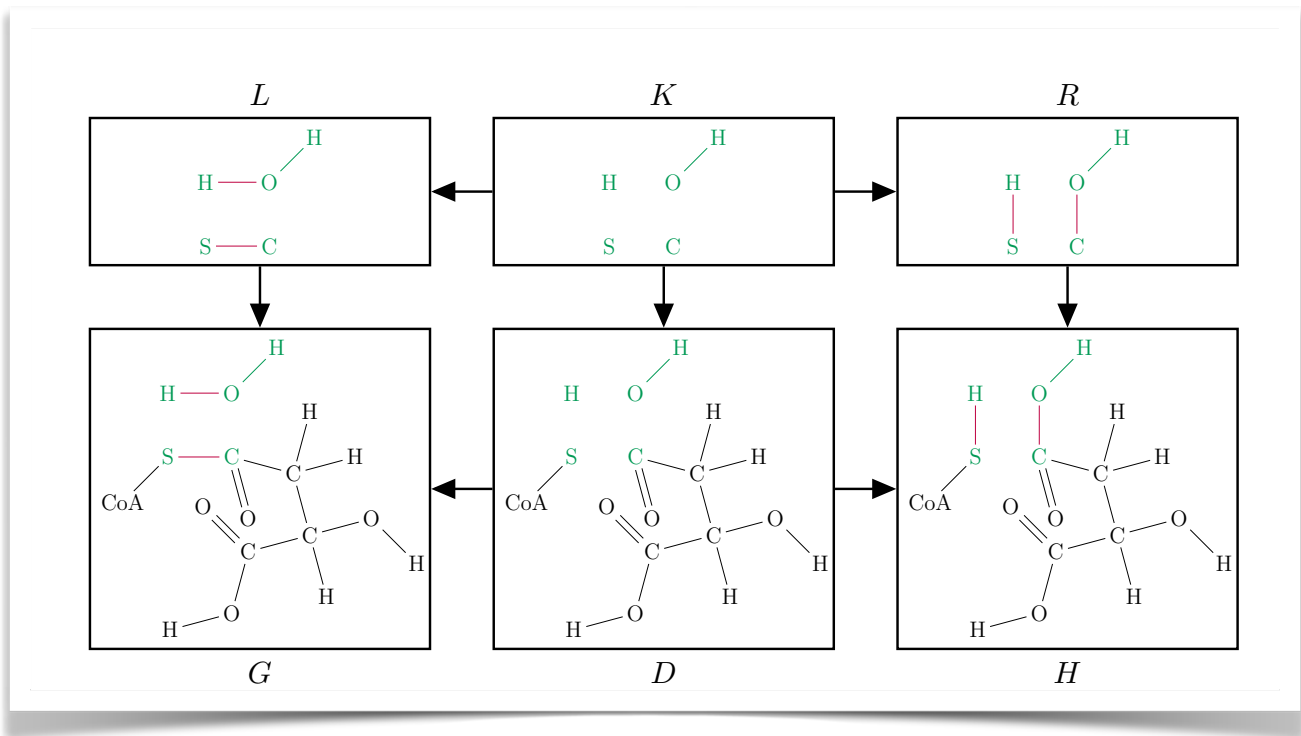
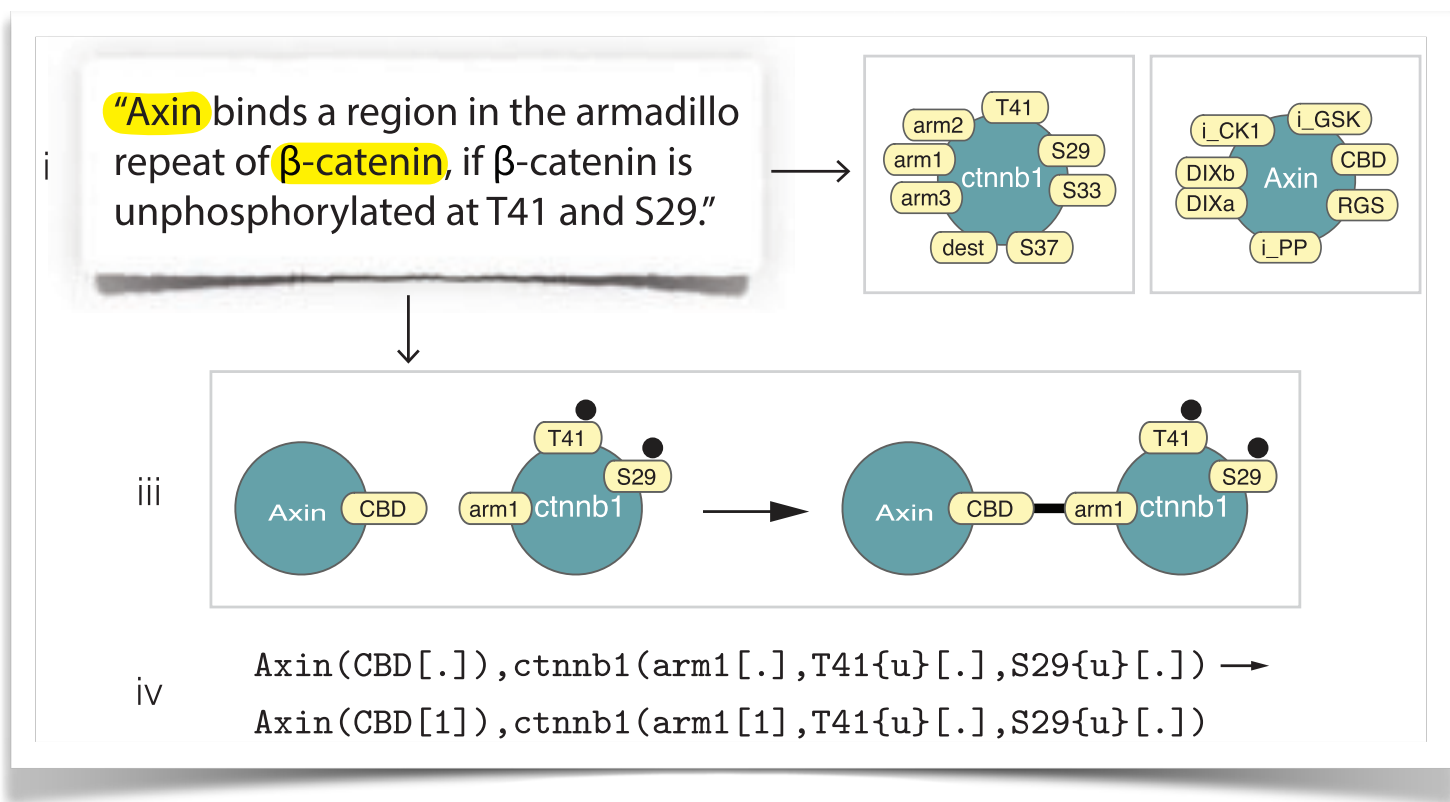
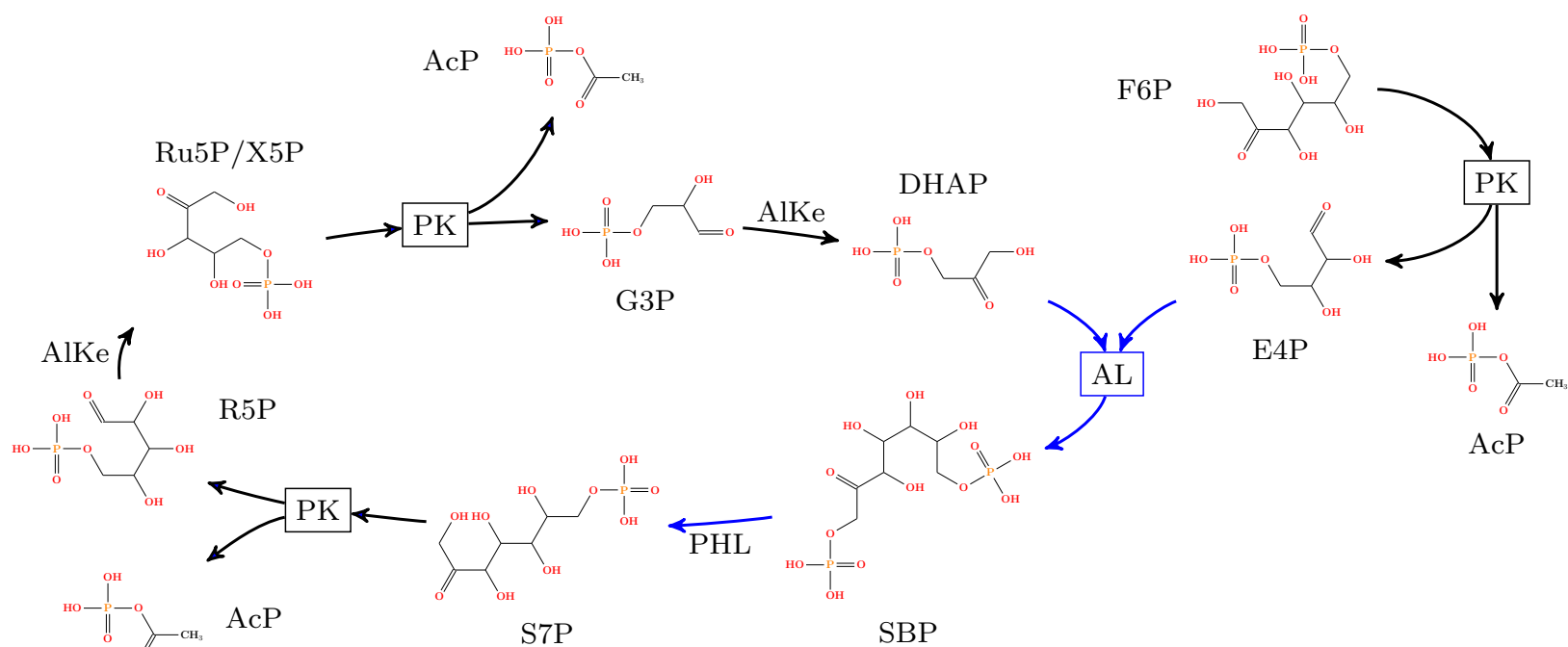
Welcome to Kappa

MØD

Algorithmic Cheminformatics Group

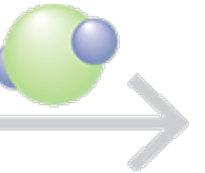
Home Team Research

MedØlDatschgerl



Rewriting in the life sciences: **bio-** and **chemo-informatics**

KAPPA

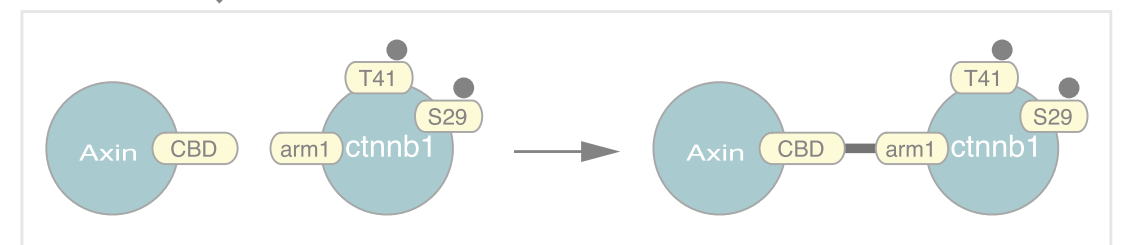
 **Kappa Language**
A rule-based language for modeling interaction networks

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54 'Axn'{'pF'}
```

Sesqui-Pushout (SqPO)
rewriting
for **linear rules with conditions**

iii



iv

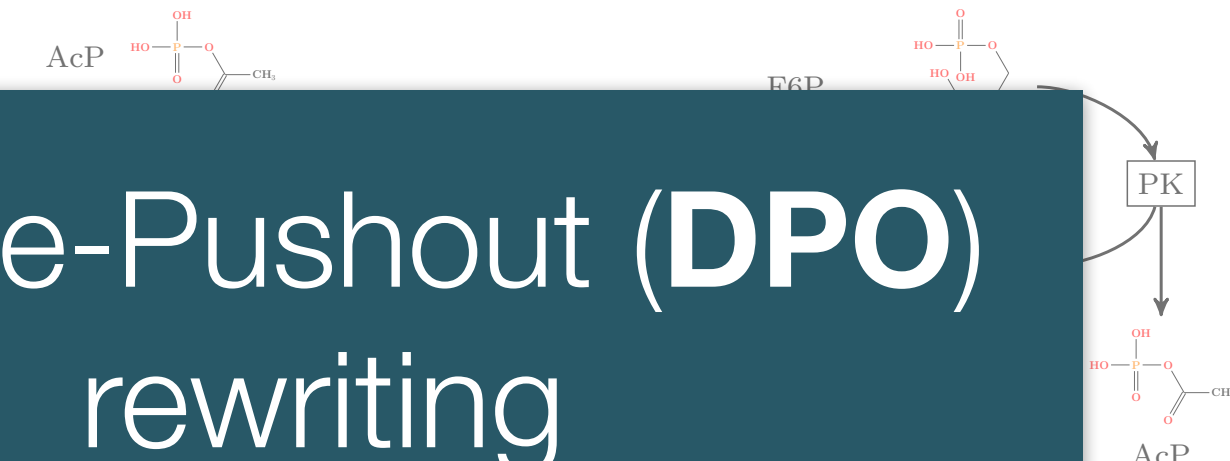
$$\text{Axin}(\text{CBD}[\cdot]), \text{ctnnb1}(\text{arm1}[\cdot], \text{T41}\{\text{u}\}[\cdot], \text{S29}\{\text{u}\}[\cdot]) \rightarrow \text{Axin}(\text{CBD}[1]), \text{ctnnb1}(\text{arm1}[1], \text{T41}\{\text{u}\}[\cdot], \text{S29}\{\text{u}\}[\cdot])$$

MØD

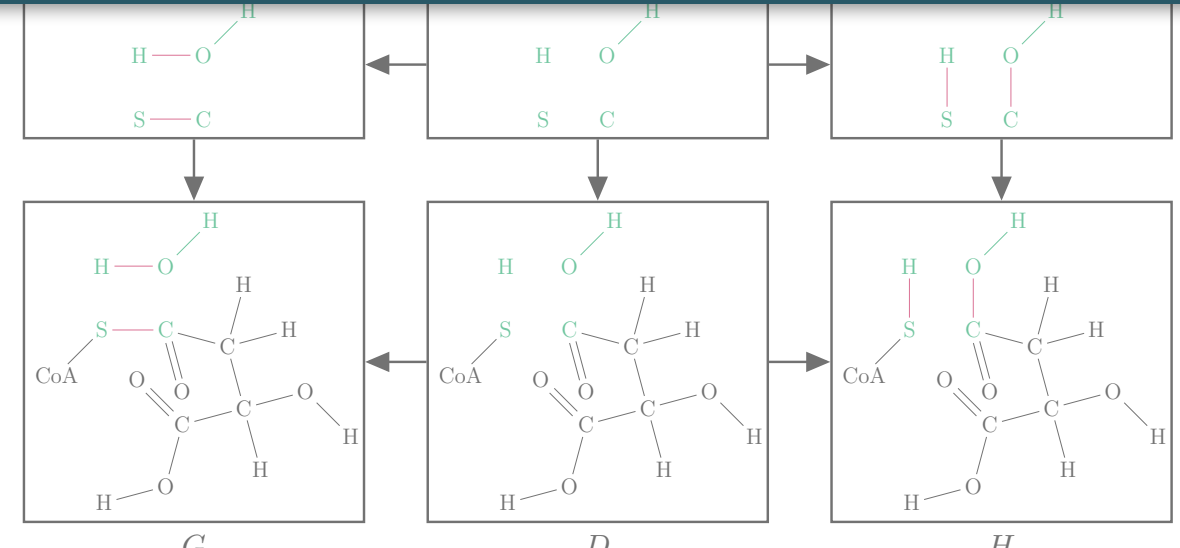
Algorithmic Cheminformatics Group Home Team Research

MedØlDatschgerl

Double-Pushout (DPO)
rewriting
for **linear rules with conditions**



G **D** **H**

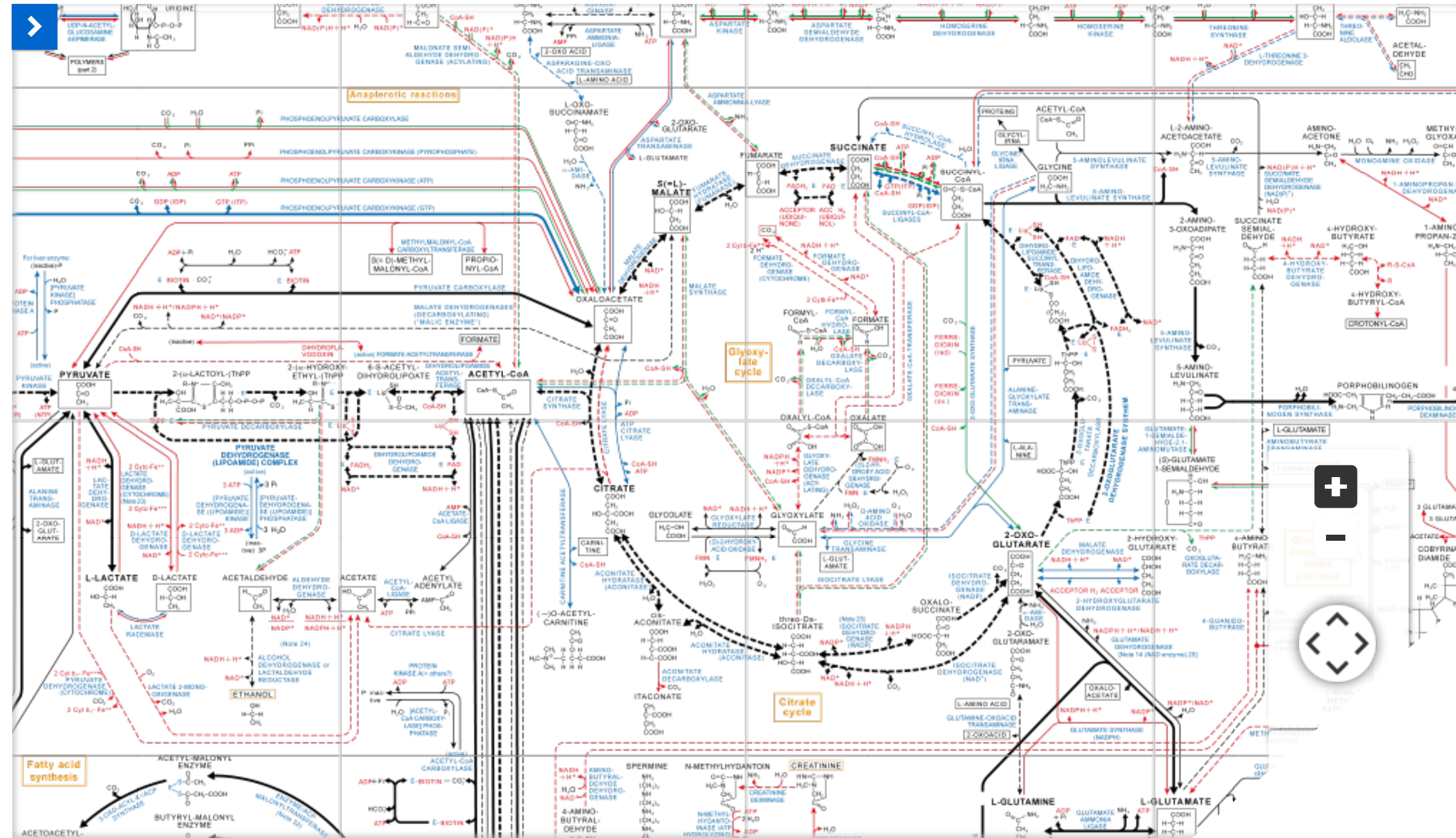


A fundamental challenge: causal pathway dynamics

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Part 1: Metabolic Pathways Part 2: Cellular and Molecular Processes



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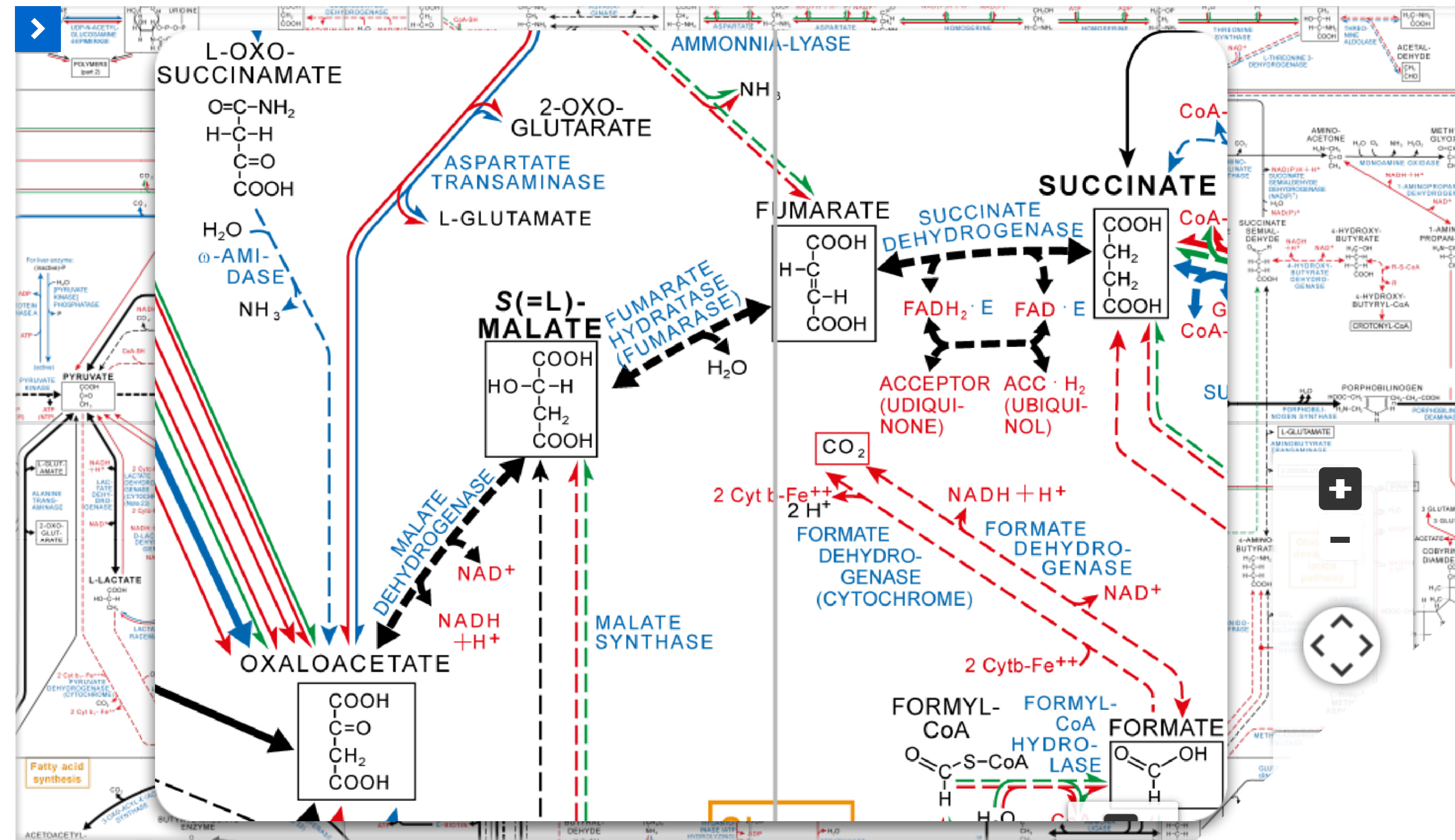
<http://biochemical-pathways.com>

A fundamental challenge: **causal pathway dynamics**

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Part 1: Metabolic Pathways Part 2: Cellular and Molecular Processes

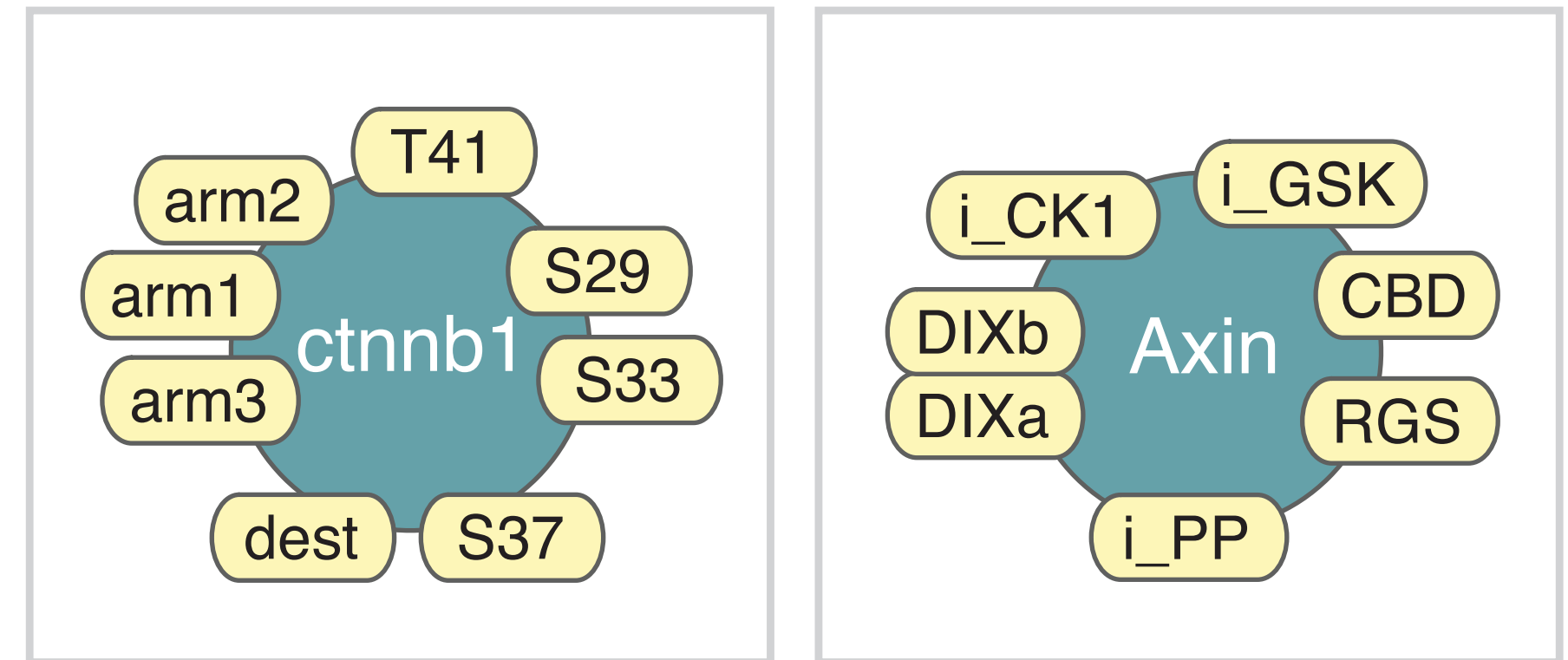


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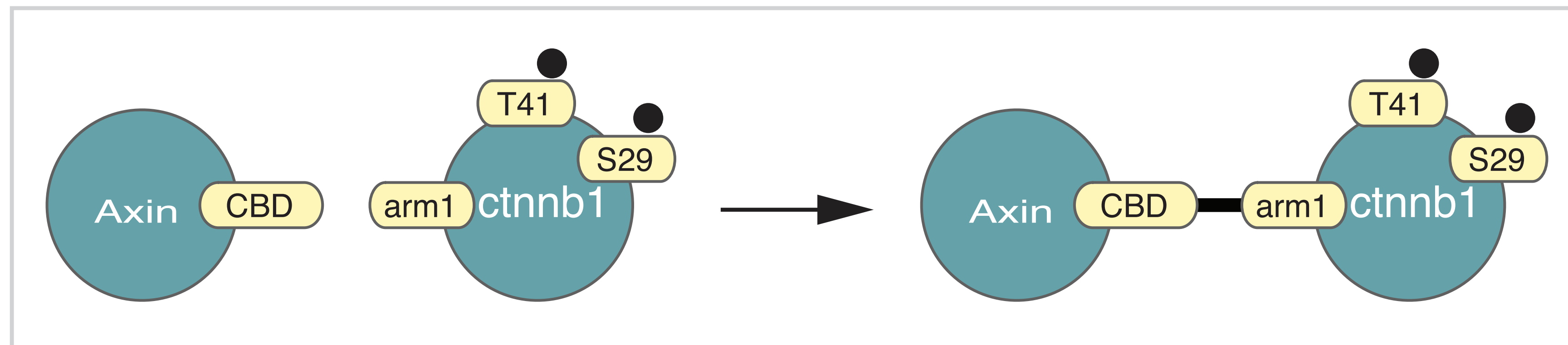
<http://biochemical-pathways.com>

A fundamental challenge: **causal pathway dynamics**

i “Axin binds a region in the armadillo repeat of **β -catenin**, if β -catenin is unphosphorylated at T41 and S29.”



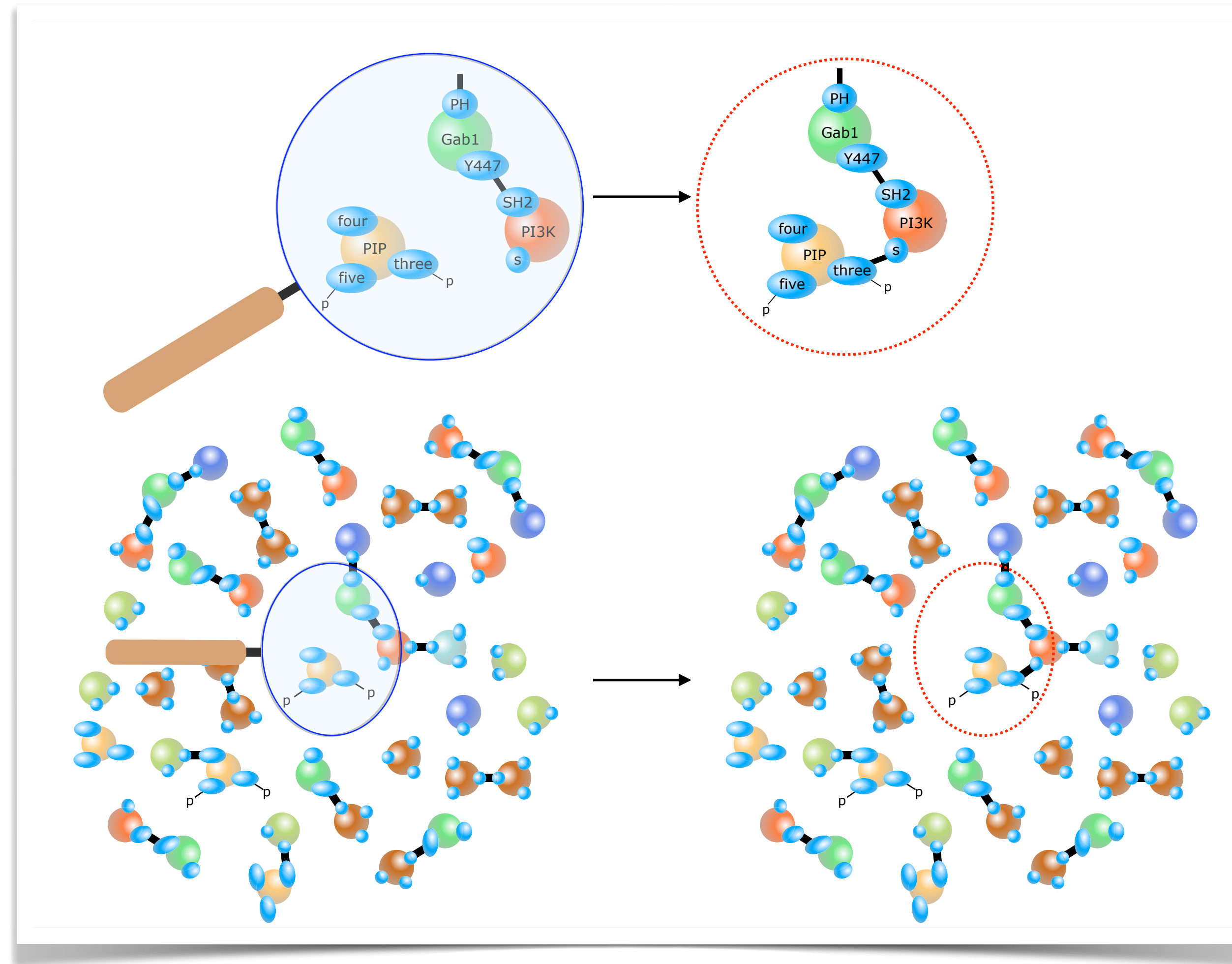
iii



iv

$Axin(CBD[.]), ctnnb1(arm1[.], T41\{u\}[.], S29\{u\}[.]) \rightarrow$
 $Axin(CBD[1]), ctnnb1(arm1[1], T41\{u\}[.], S29\{u\}[.])$

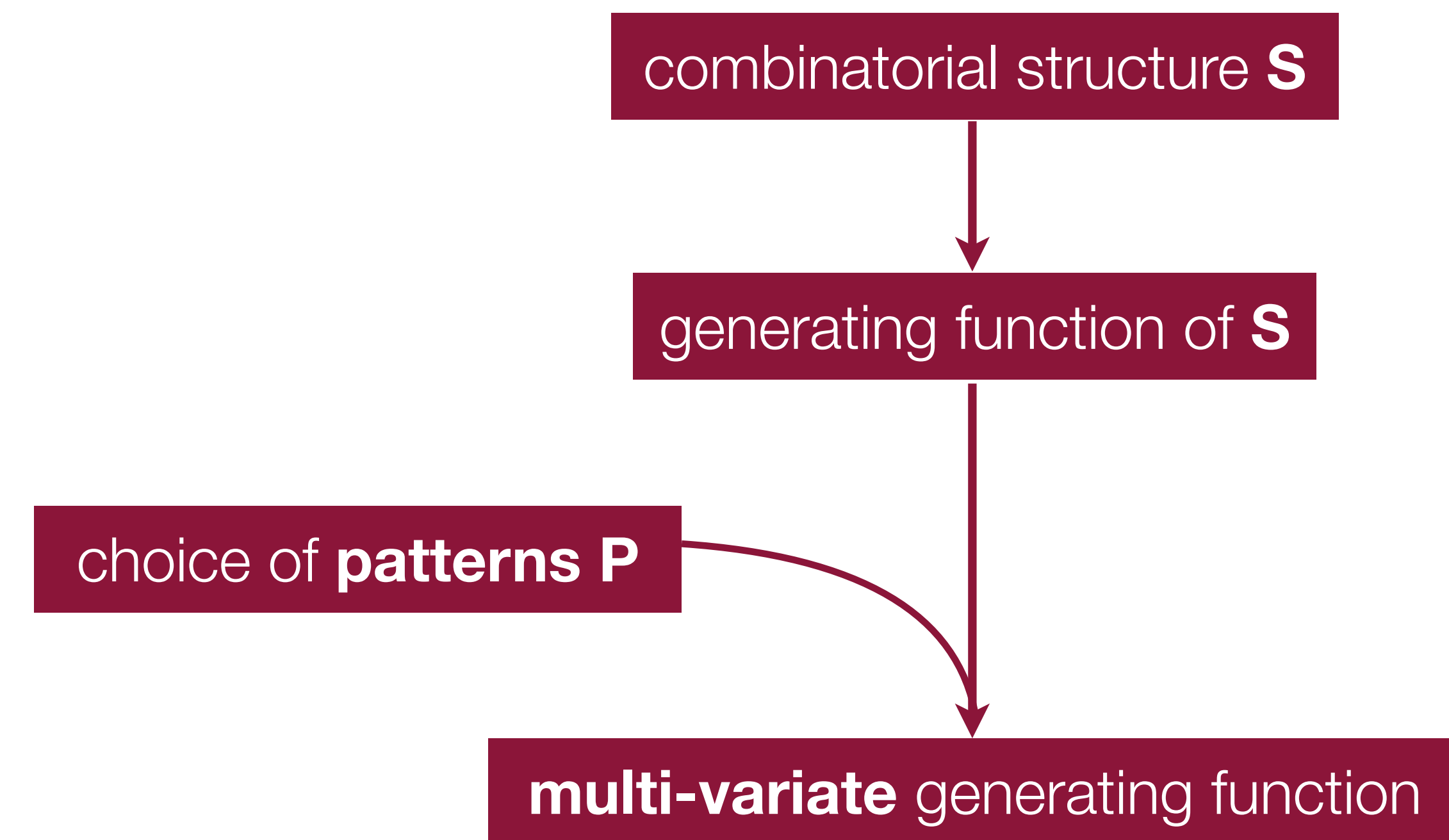
A fundamental challenge: **causal pathway dynamics**



source: *The Kappa platform for rule-based modeling*, Boutillier, P., Maasha, M., Li, X., Medina-Abarca, H.F., Krivine, J., Feret, J., Cristescu, I., Forbes, A.G. and Fontana, W., 2018, Bioinformatics, 34(13), pp.i583-i592.

This talk

An **alternative approach** to enumerative combinatorics based upon **rewriting theory**:



- **generate** structure **S** via applying **rewriting rules** to some **initial configuration** “in all possible ways”
- **count patterns** via applying special types of rewriting rules
- formulate **generating functions** via **linear operators** associated to rewriting rules

Key tool: the **rule-algebra** formalism!

Plan of the talk

- I. Categorical Rewriting Theory**
- II. Rule Algebra Framework**
- III. Rule-Algebraic Combinatorics**

Main references and further reading

On Stochastic Rewriting and Combinatorics via Rule-Algebraic Methods*

Nicolas Behr
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F-75006, Paris, France
nicolas.behr@irif.fr

Building upon the rule-algebraic stochastic mechanics framework, we present new results on the relationship of stochastic rewriting systems described in terms of continuous-time Markov chains, their embedded discrete-time Markov chains and certain types of generating function expressions in combinatorics. We introduce a number of generating function techniques that permit a novel form of static analysis for rewriting systems based upon marginalizing distributions over the states of the rewriting systems via pattern-counting observables.

1 Introduction

An important aspect of the standard theory of continuous-time Markov chains (CTMCs) [23] concerns the well-known fact that the CTMC semantics may be equivalently described via a pair of discrete-time Markov chains (DTMCs), where the so-called *embedded DTMC* encodes the probabilities for each of the possible transitions, and with the second DTMC encoding the jump-times for the transitions. This feature permits to design algorithms for *simulating* CTMCs, for instance in the form of Gillespie’s stochastic simulation algorithms for chemical reaction systems [20], but in particular also in several variations for the simulation of stochastic rewriting systems, such as via the *KaSim* simulation engine of the Kappa platform [13]. The main contribution of the present paper consists in uncovering a hitherto unknown intimate relationship between three types of *moment generating functions* that are constructable from the data that specifies a stochastic rewriting system, and for a chosen set of *pattern count observables*: those of the CTMC itself, those of the embedded DTMC, and those of the (weighted) combinatorial species generated by the rewriting rules.

2 Prerequisite: the rule algebra framework

The methodology developed in the present paper relies heavily upon the mathematical formalism introduced in [2, 3, 9, 4, 7, 10], yet due to space restrictions, we will only provide some notations and essential definitions here, inviting the interested readers to consult loc. cit. for the full technical details.

2.1 DPO- and SqPO-type compositional rewriting semantics

Throughout this paper, we will consider categorical rewriting theories over categories that satisfy the following sets of properties (with DPO- and SqPO-semantics to be introduced below)¹:

*The author would like to thank Paul-André Melliès and Noam Zeilberger for fruitful discussions and valuable feedback.

¹We invite the readers to consult [6] or [7] for compact accounts of the relevant technical definitions of $\mathcal{M}$ -adhesive categories, pullbacks, pushouts, pushout complements, final pullback complements and their respective properties.

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Compositionality of Rewriting Rules with Conditions

Nicolas Behr and Jean Krivine

Université de Paris, CNRS, IRIF, F-75006, Paris, France

We extend the notion of compositional associative rewriting as recently studied in the rule algebra framework literature to the setting of rewriting rules with conditions. Our methodology is category-theoretical in nature, where the definition of rule composition operations is encoding the non-deterministic sequential concurrent application of rules in Double-Pushout (DPO) and Sesqui-Pushout (SqPO) rewriting with application conditions based upon $\mathcal{M}$ -adhesive categories. We uncover an intricate interplay between the category-theoretical concepts of conditions on rules and morphisms, the compositionality and compatibility of certain shift and transport constructions for conditions, and thirdly the property of associativity of the composition of rules.

1 Introduction and relation to previous work

Graph rewriting has emerged as a powerful formalism to represent complex systems whose dynamics can be captured by a finite set of rules. The *rule-based modeling* approach, originally introduced by V. Danos and C. Laneve in the early 2000’s [32–34], has developed into one of the main frameworks for the study of biochemical reaction systems (in the form of the two main frameworks KAPPA [20, 29] and BioNETGEN[18, 50]). The approach proposes to model protein-protein interaction networks using graph rewriting models, in which proteins are the vertices of a graph whose connected components denote molecular complexes. As formal methods are expending in the molecular biology community, it is expected that large models describing signaling pathways and self-assembling processes occurring in the cell will be commonplace in a near future.

While the algorithmic aspects of graph rewriting are well-studied, programming language approaches to modeling with graphs are to date still a comparatively underdeveloped topic. Contrary to classical term rewriting, the notion of a *match* of a graph rewriting rule and its *effects* on a term (a graph) is subject to various definitions, allowing more or less control over possible rewrites. In addition, the mere nature of the graphs that are being rewritten impacts both the algorithmic design and expressiveness of graph rewriting. Category theory is a practical toolkit for equipping graphs with well-defined operational semantics. *Double-Pushout (DPO) rewriting* [27] is a popular technique, partly because it does not yield side effects when rules are applied (which makes it amenable to static analysis for instance). However, when a graph rewriting rule entails node deletion, DPO semantics will not allow a match of such a rule to trigger if the node that is deleted is connected outside the domain of the match (which would yield side effect). This has limited the practicality of DPO semantics in the context of biological modeling, where more permissive techniques have been employed. *Sesqui-Pushout (SqPO) rewriting* [26] in particular is the technique that is used to rewrite KAPPA graphs [29], one of the main graph rewriting formalisms for biological models.

Quite orthogonal to the issue of defining rule matches and effects, having access to a fine-grained control over rule triggering is a key issue when graph rewriting is used as a modeling language. To this aim, graph rewriting rules have been equipped with *application conditions* [38, 47], which can be seen as constraints that need to be checked “on the fly” when a rewrite rule is applied.

This paper presents a *compositional* variant of DPO and SqPO-type rewriting for rules with conditions in a very general category-theoretical setting. From a mathematical perspective, while

Nicolas Behr: nicolas.behr@irif.fr, <http://nicolasbehr.com>, corresponding author; the work of N.B. was supported by a Marie Skłodowska-Curie Individual Fellowship (Grant Agreement No. 753750 – RaSiR)
Jean Krivine: jean.krivine@irif.fr, <https://www.irif.fr/~jkrivine/homepage/Home.html>

Rewriting Theory for the Life Sciences: A Unifying Theory of CTMC Semantics*

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² Institut de Recherche en Informatique Fondamentale (IRIF)
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jean.krivine@irif.fr

Abstract. The Kappa biochemistry and the MØD organo-chemistry frameworks are amongst the most intensely developed applications of rewriting theoretical methods in the life sciences to date. A typical feature of these types of rewriting theories is the necessity to implement certain structural constraints on the objects to be rewritten (a protein is empirically found to have a certain signature of sites, a carbon atom can form at most four bonds, . . .). In this paper, we contribute to the theoretical foundations of these types of rewriting theory a number of conceptual and technical developments that permit to implement a universal theory of continuous-time Markov chains (CTMCs) for stochastic rewriting systems. Our core mathematical concepts are a novel rule algebra construction for the relevant setting of rewriting rules with conditions, both in Double- and in Sesqui-Pushout semantics, augmented by a suitable stochastic mechanics formalism extension that permits to derive dynamical evolution equations for pattern-counting statistics.

Keywords: Double-Pushout rewriting · Sesqui-pushout rewriting · rule algebra · stochastic mechanics · biochemistry · organic chemistry.

1 Motivation

One of the key applications that rewriting theory may be considered for in the life sciences is the theory of continuous-time Markov chains (CTMCs) modeling complex systems. In fact, since Delbrück’s seminal work on autocatalytic reaction systems in the 1940s [20], the mathematical theory of chemical reaction systems has effectively been formulated as a rewriting theory in disguise, namely via the rule algebra of discrete graph rewriting [11]. In the present paper, we provide the necessary technical constructions in order to consider

* An extended version of this paper containing additional technical appendices is available online [9].

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2 Prerequisite: the rule algebra framework

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Building upon the rule-algebraic stochastic mechanics framework, we present new results on the relationship of stochastic rewriting systems described in terms of continuous-time Markov chains, their embedded discrete-time Markov chains and certain types of generating function expressions in combinatorics. We introduce a number of generating function techniques that permit a novel form of static analysis for rewriting systems based upon marginalizing distributions over the states of the rewriting systems via pattern-counting observables.

Theory for the Life Sciences: Theory of CTMC Semantics*

Nicolas Behr¹ and Jean Krivine²
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Chemistry and the MØD organo-chemistry are the most intensely developed applications of stochastic rewriting theory in the life sciences to date. A typical feature of these theories is the necessity to implement rewriting rules on the objects to be rewritten (a protein structure, a certain signature of sites, a carbon atom, ...). In this paper, we contribute to the theory of stochastic rewriting by introducing these types of rewriting theory a number of mathematical concepts that permit to implement a unified Markov chains (CTMCs) for stochastic rewriting. These mathematical concepts are a novel rule algebraic setting of rewriting rules with conditional semantics, augmented by a formalism extension that permits to derive statistics for pattern-counting statistics.

Stochastic rewriting · Sesqui-pushout rewriting · rule algebra · biochemistry · organic chemistry.

Stochastic rewriting theory may be considered for in the context of continuous-time Markov chains (CTMCs) modeling. Since Delbrück's seminal work on autocatalytic systems [10], the mathematical theory of chemical reaction networks has been formulated as a rewriting theory in disguise, with discrete graph rewriting [11]. In the present paper, we formalize these technical constructions in order to consider stochastic rewriting theory as a rewriting theory. The paper containing additional technical appendices is available at <https://arxiv.org/abs/2012.01111>.

Main references and further reading

On Stochastic Rewriting and Computation via Rule-Algebraic Methods

Nicolas Behr
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Building upon the rule-algebraic stochastic mechanics framework, we study the relationship of stochastic rewriting systems described in terms of their embedded discrete-time Markov chains and certain types of combinatorics. We introduce a number of generating function techniques for the static analysis of rewriting systems based upon marginalizing rewriting systems via pattern-counting observables.

1 Introduction

An important aspect of the standard theory of continuous-time Markov chains is the well-known fact that the CTMC semantics may be equivalently described in terms of Markov chains (DTMCs), where the so-called *embedded DTMC* encodes the possible transitions, and with the second DTMC encoding the jump times. This permits to design algorithms for *simulating* CTMCs, for instance simulation algorithms for chemical reaction systems [20], but in the simulation of stochastic rewriting systems, such as via the *Kappa* platform [13]. The main contribution of the present paper consists in the intimate relationship between three types of *moment generating functions*: data that specifies a stochastic rewriting system, and for a chosen set of the CTMC itself, those of the embedded DTMC, and those of the DTMC generated by the rewriting rules.

2 Prerequisite: the rule algebra framework

The methodology developed in the present paper relies heavily upon the results introduced in [2, 3, 9, 4, 7, 10], yet due to space restrictions, we will only recall the definitions here, inviting the interested readers to consult loc. cit. for more details.

2.1 DPO- and SqPO-type compositional rewriting semantics

Throughout this paper, we will consider categorical rewriting theories equipped with the following sets of properties (with DPO- and SqPO-semantics to be defined below).

*The author would like to thank Paul-André Mellies and Noam Zeilberger for fruitful discussions.
¹We invite the readers to consult [6] or [7] for compact accounts of the relevant categories, pullbacks, pushouts, pushout complements, final pullback complements and the like.

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Compositionality of Rewriting Rules with Conditions

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We extend the notion of compositional associative rewriting as recently studied in the rule algebra framework literature to the setting of rewriting rules with conditions. Our methodology is category-theoretical in nature, where the definition of rule composition operations is encoding the non-deterministic sequential concurrent application of rules in Double-Pushout (DPO) and Sesqui-Pushout (SqPO) rewriting with application conditions based upon $\mathcal{M}$ -adhesive categories. We uncover an intricate interplay between the category-theoretical concepts of conditions on rules and morphisms, the compositionality and compatibility of certain shift and transport constructions for conditions, and thirdly the property of associativity of the composition of rules.

A Theory for the Life Sciences: The Theory of CTMC Semantics*

Nicolas Behr^[0000-0001-7261-7462] and Jean Krivine^[0000-0001-7261-7462]

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Chemistry and the MØD organo-chemistry are the most intensely developed applications of rewriting theory in the life sciences to date. A typical feature of these theories is the necessity to implement rewriting rules on the objects to be rewritten (a protein structure, a certain signature of sites, a carbon atom, a gene, ...). In this paper, we contribute to the theory of these types of rewriting theory a number of new developments that permit to implement a unified theory of continuous-time Markov chains (CTMCs) for stochastic rewriting. These mathematical concepts are a novel rule algebraic setting of rewriting rules with conditions. We develop Sesqui-Pushout semantics, augmented by a new formalism extension that permits to derive rewriting rules for pattern-counting statistics.

Keywords: rewriting · Sesqui-pushout rewriting · rule algebra · biochemistry · organic chemistry.

Abstract: Rewriting theory may be considered for in the modeling of continuous-time Markov chains (CTMCs) modeling life processes. Since Delbrück's seminal work on autocatalytic sets [10], the mathematical theory of chemical reaction networks has been formulated as a rewriting theory in disguise, where the discrete graph rewriting [11]. In the present paper, we develop several technical constructions in order to consider the theory of these types of rewriting theory a number of new developments that permit to implement a unified theory of continuous-time Markov chains (CTMCs) for stochastic rewriting. These mathematical concepts are a novel rule algebraic setting of rewriting rules with conditions. We develop Sesqui-Pushout semantics, augmented by a new formalism extension that permits to derive rewriting rules for pattern-counting statistics.

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Rewriting Theory for the Life Sciences: A Unifying Theory of CTMC Semantics*

Nicolas Behr¹ ✉[0000–0002–8738–5040] and Jean Krivine²[0000–0001–7261–7462]

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On Stochastic Rewriting and Computation
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Building upon the rule-algebraic stochastic mechanics, we establish the relationship of stochastic rewriting systems described by their embedded discrete-time Markov chains and certain combinatorics. We introduce a number of generating functions for static analysis for rewriting systems based upon macro-rewriting systems via pattern-counting observables.

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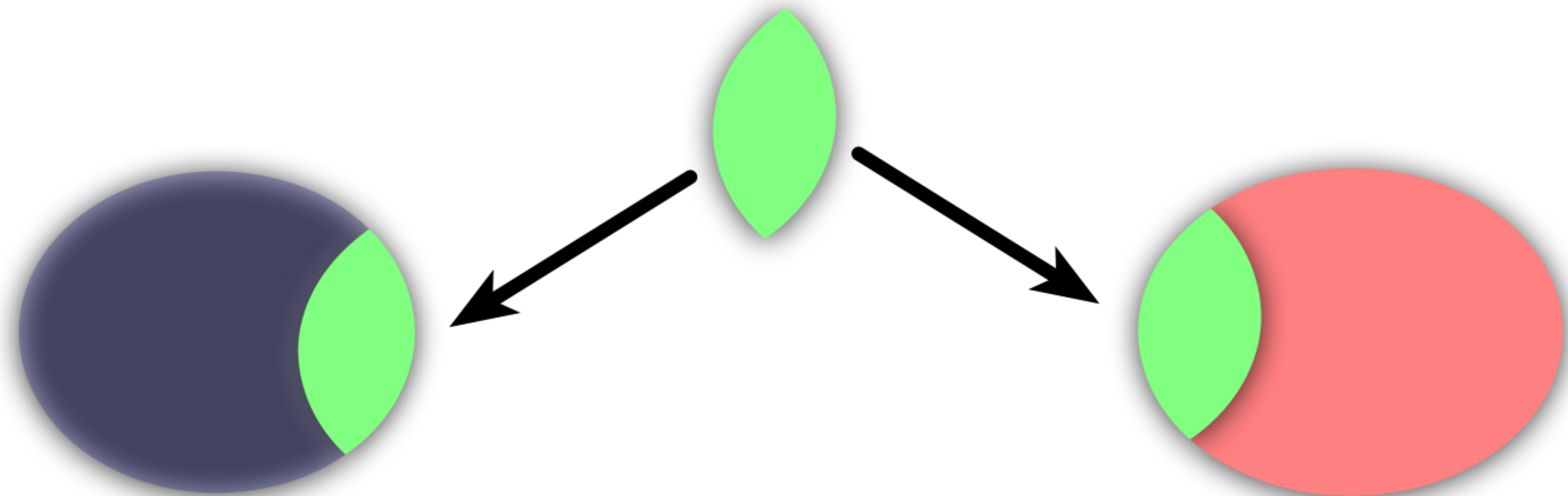
and the MØD organo-chemistry have intensely developed applications of rewriting theory to life sciences to date. A typical feature is the necessity to implement rewriting theory on objects to be rewritten (a protein signature of sites, a carbon atom in a molecule). In this paper, we contribute to the development of rewriting theory a number of results that permit to implement a unifying theory of stochastic rewriting (CTMCs) for stochastic rewriting. The main technical concepts are a novel rule algebra for rewriting rules with conditional rewriting rules, a pushout semantics, augmented by a new extension that permits to derive rewriting statistics.

Keywords: Sesqui-pushout rewriting · rule algebra · organic chemistry.

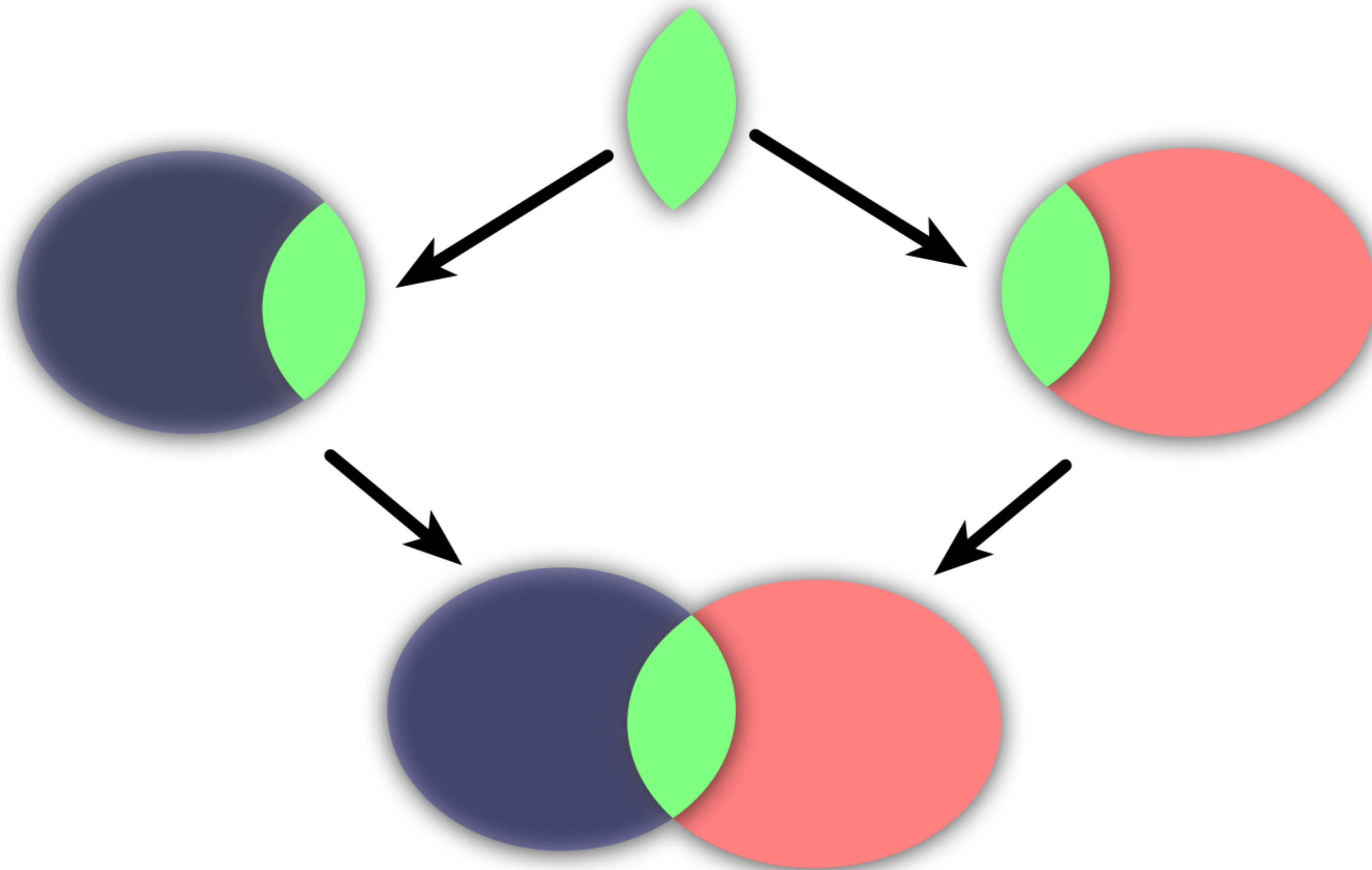
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- I. Categorical Rewriting Theory**
- II. Rule Algebra Framework
- III. Rule-Algebraic Combinatorics

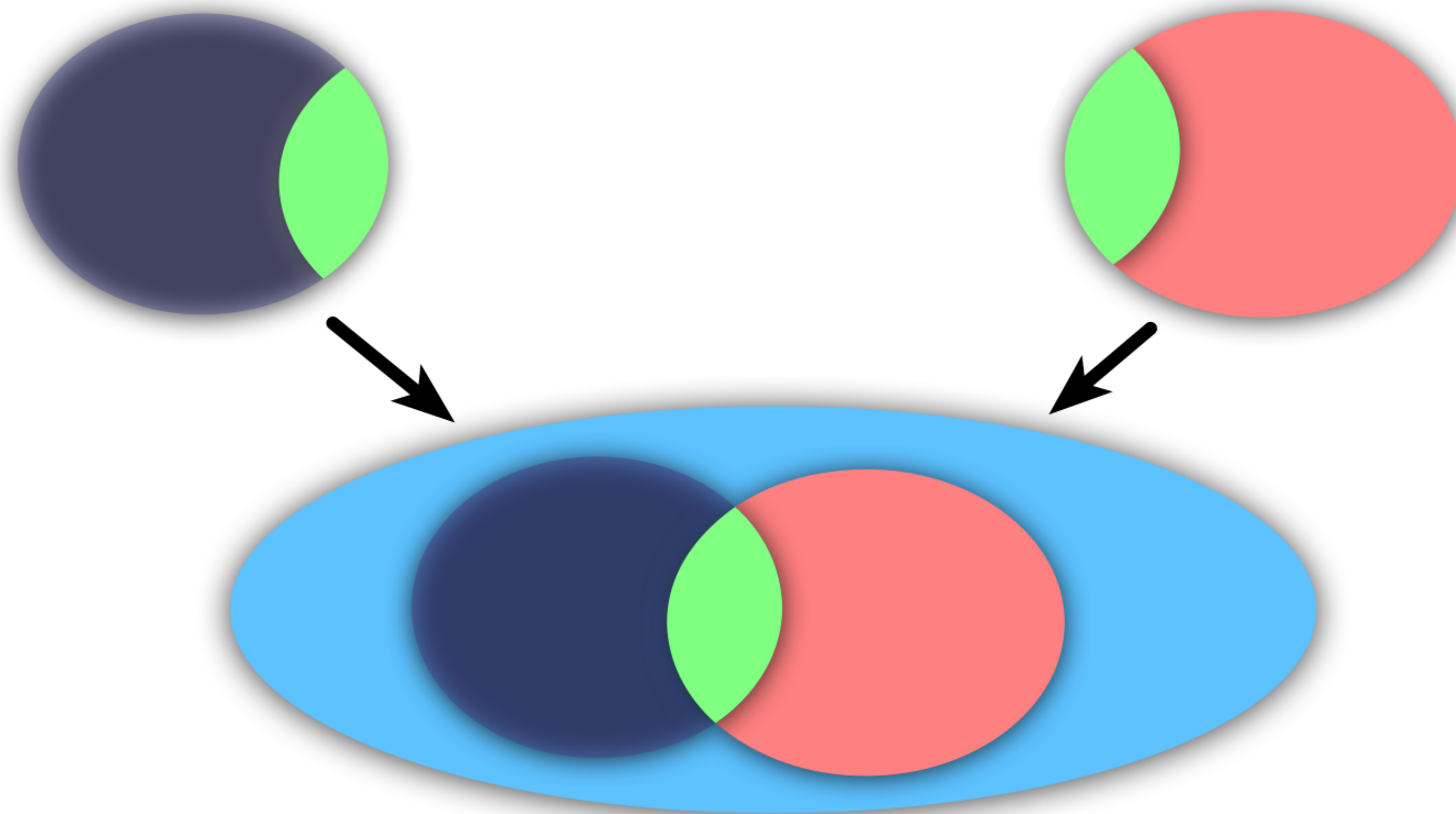
Set union



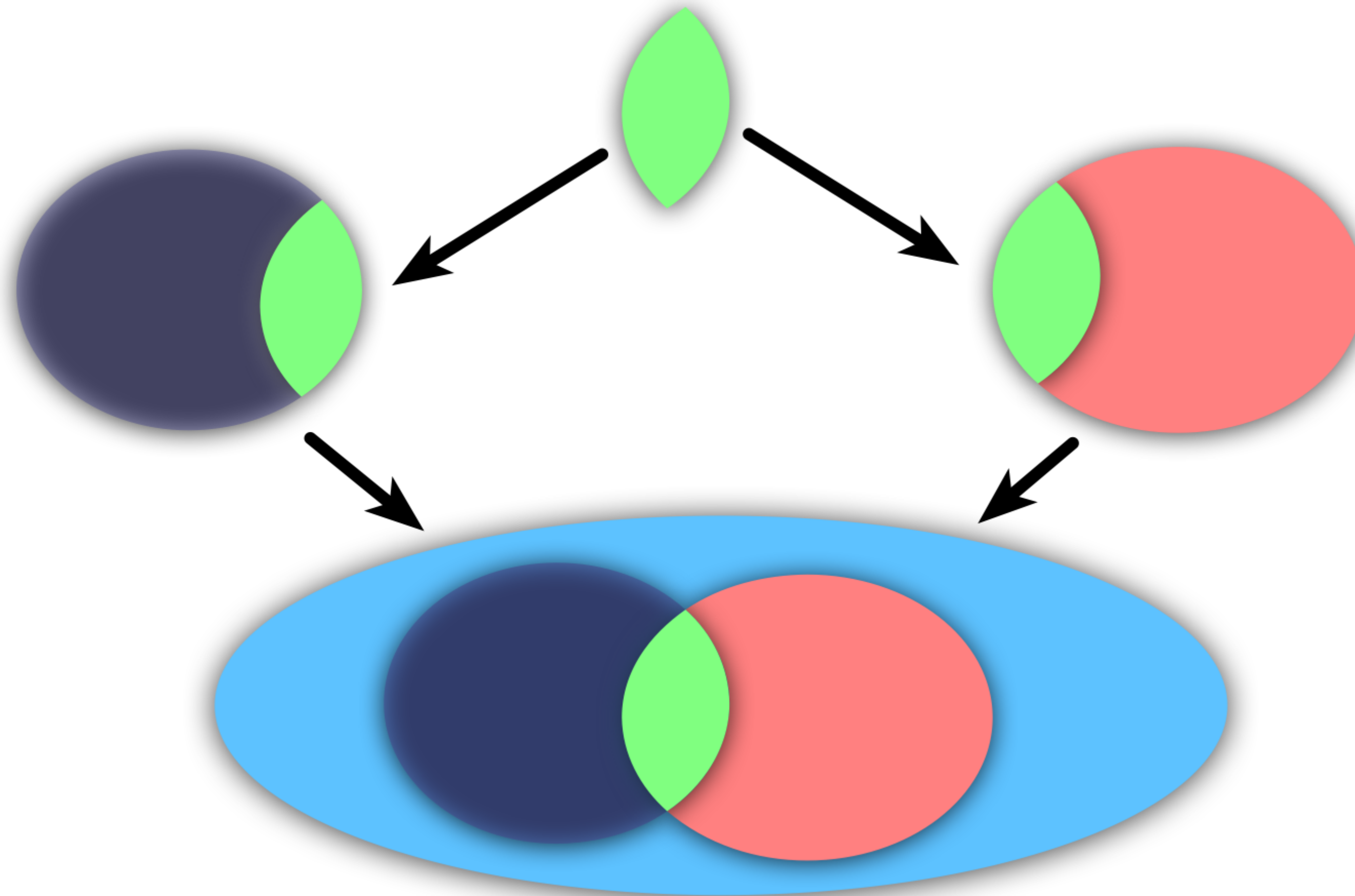
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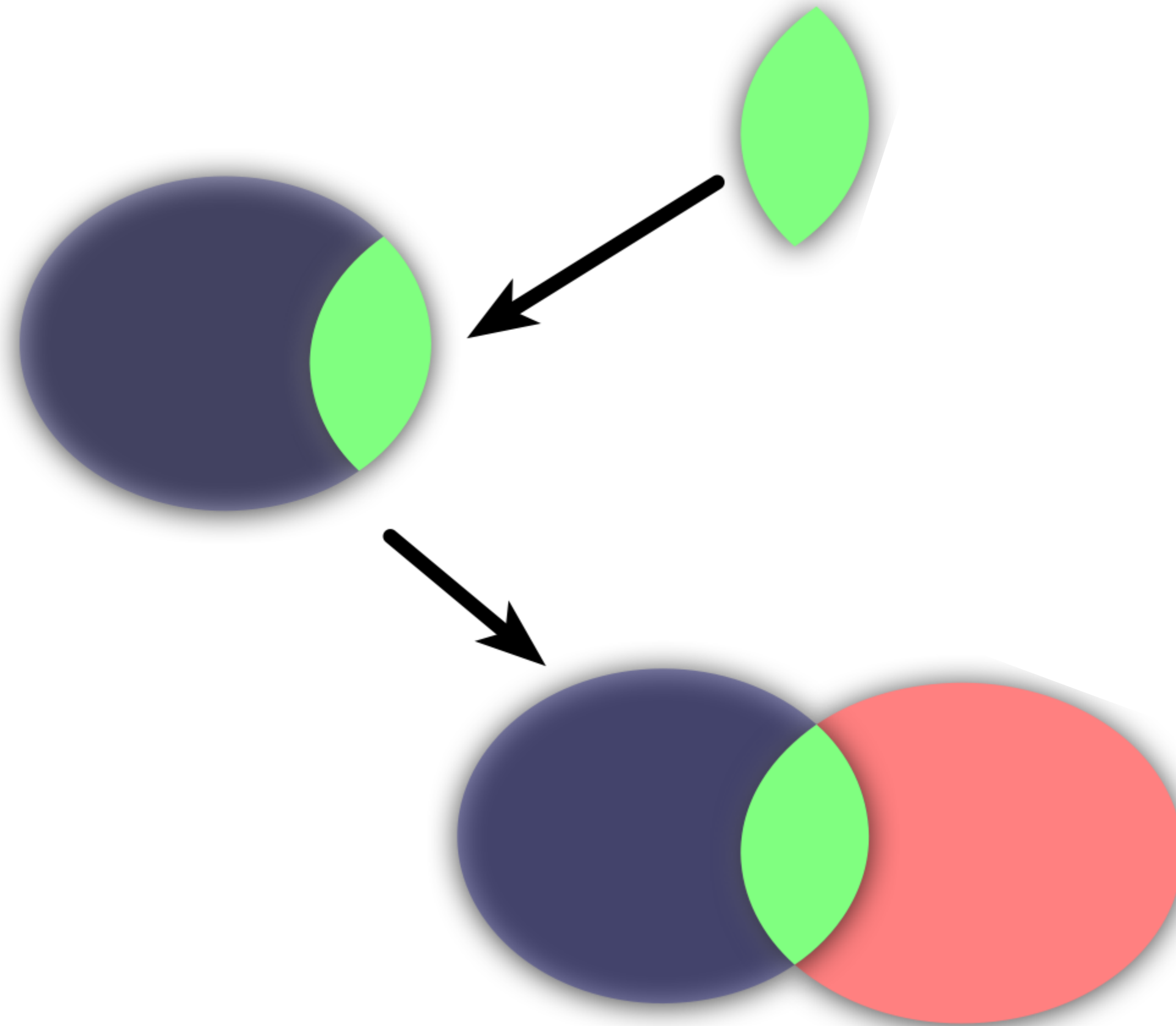
Set **intersection** (of two sets within another set)



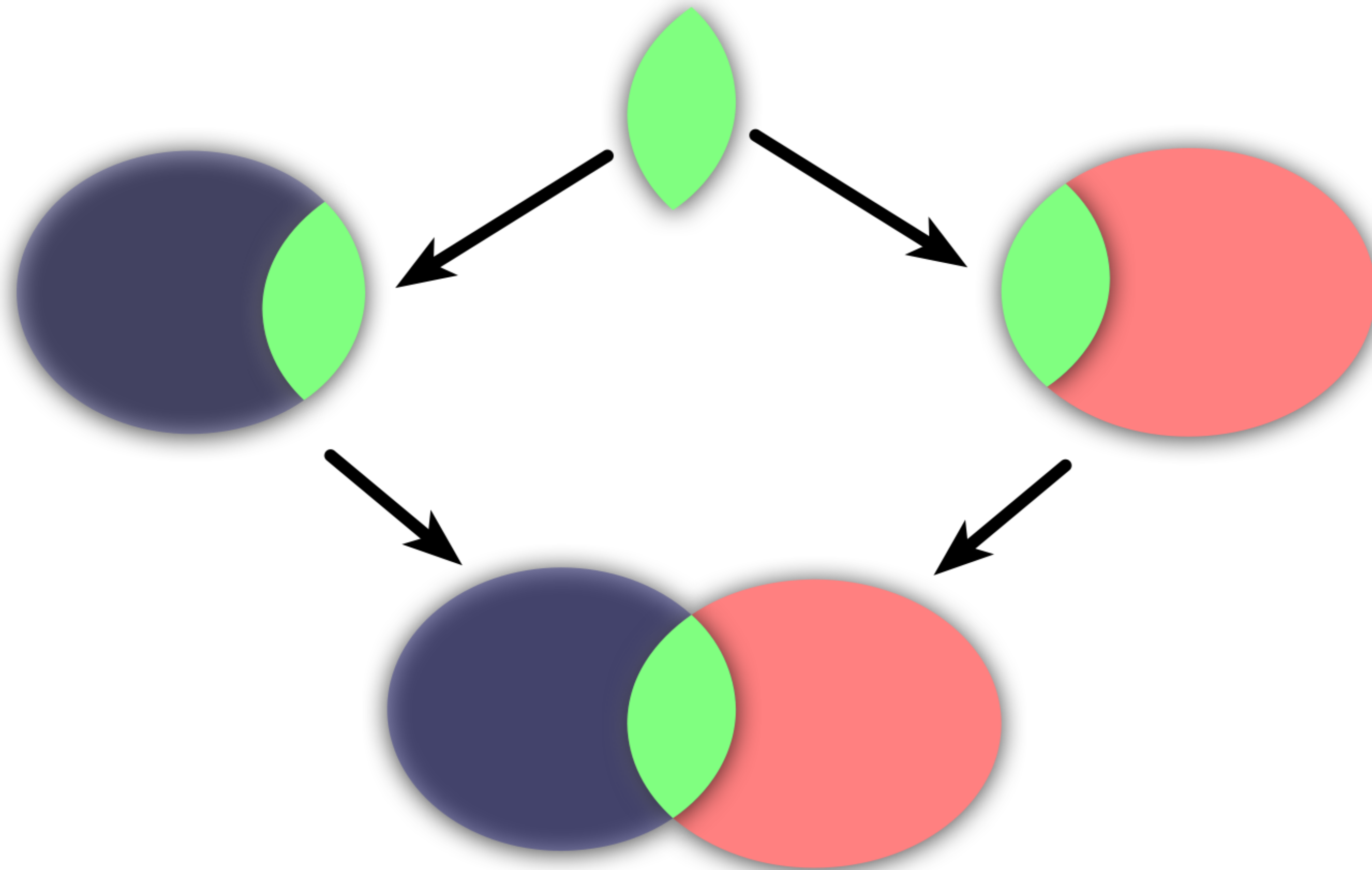
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Set (relative) complement

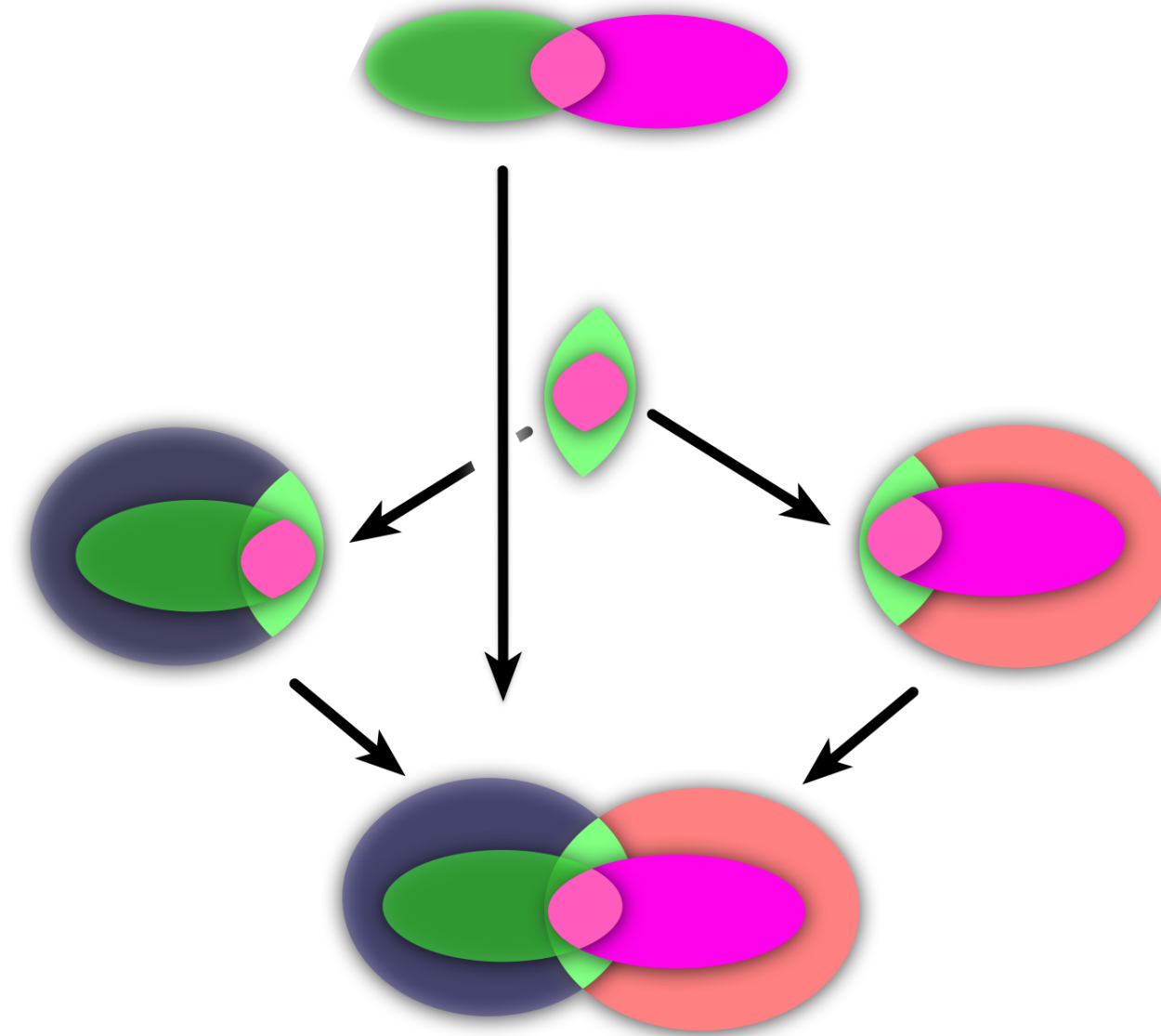


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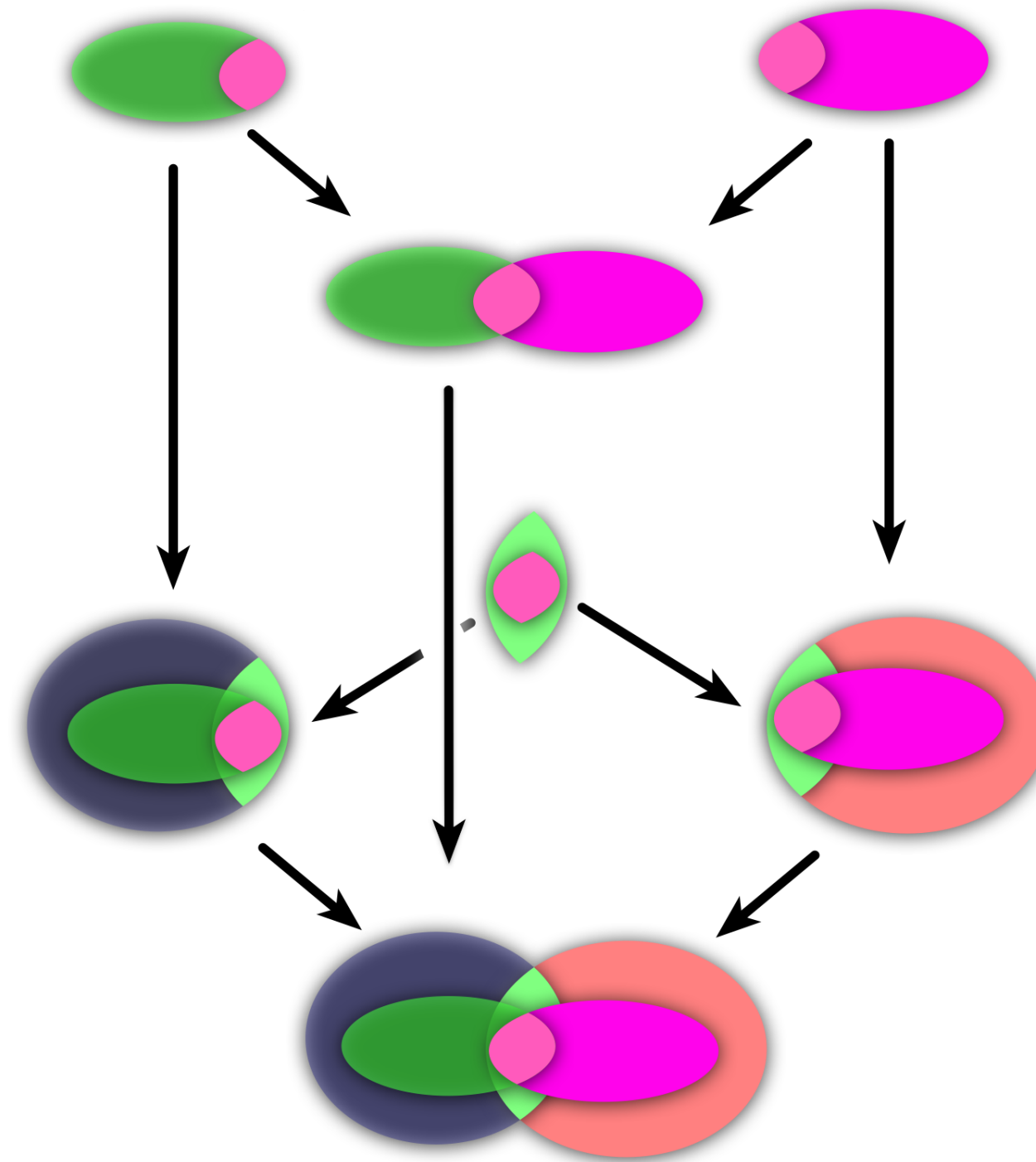
Van Kampen property (*Lack & Sobocinski 2003*)

If the bottom square is a **pushout**



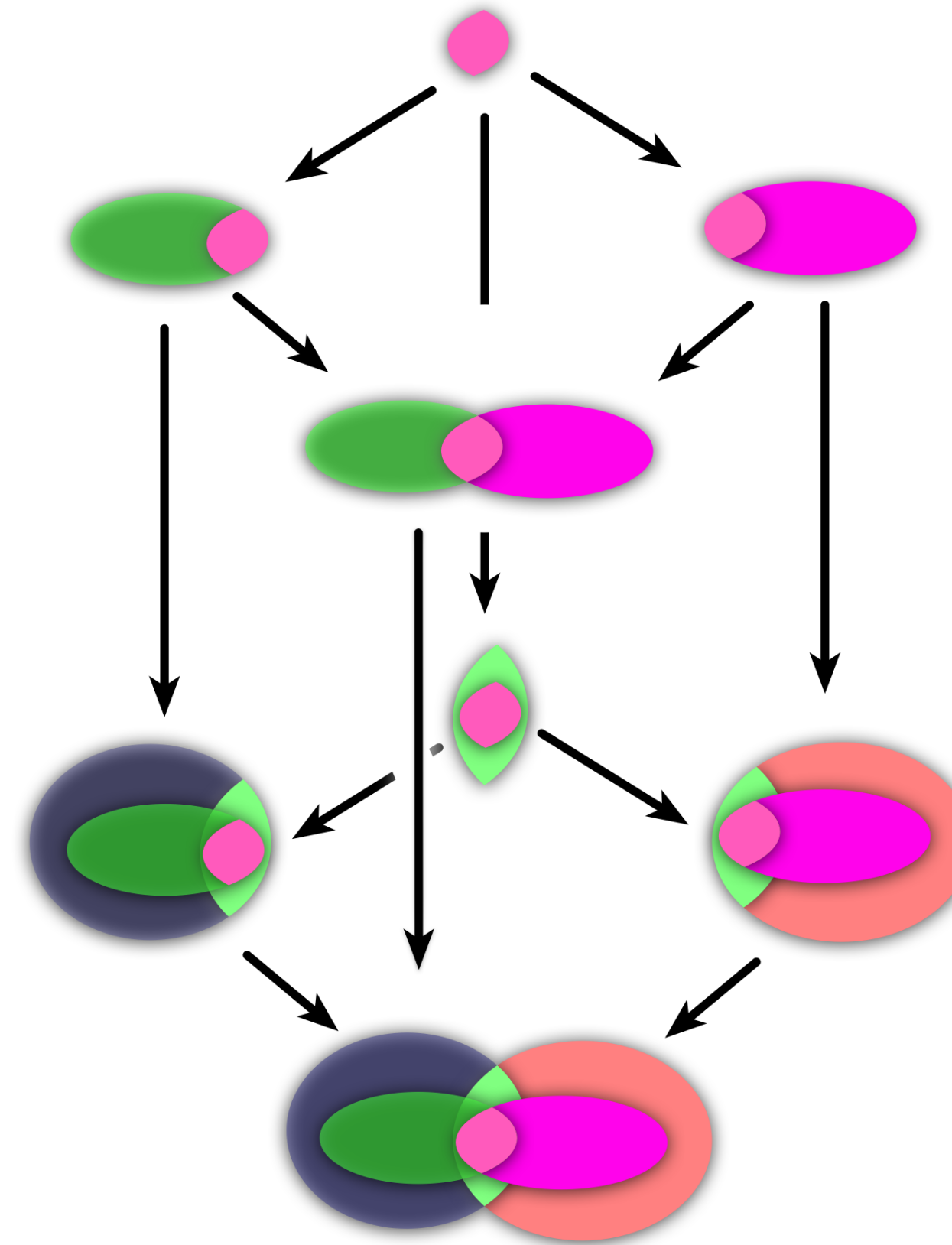
Van Kampen property (*Lack & Sobocinski 2003*)

If the bottom square is a **pushout**
and the front squares are **pullbacks**...



... then the bottom square is a
van Kampen square, i.e. the
following property holds:

Van Kampen property (*Lack & Sobocinski 2003*)



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The back squares are
pullbacks if and only if the
top square is a pushout.

The basic prerequisites for **category-theoretical rewriting theories**

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A category **C** is **adhesive** if

1. **C** has **all pullbacks**
2. **C** has **pushouts along monomorphisms**
3. Pushouts along monomorphisms are **van Kampen squares**.

S. Lack & P. Sobociński (2005). *Adhesive and quasiadhesive categories*. RAIRO-Theoretical Informatics and Applications, 39(3), 511-545.

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A category **C** is **finitary** if every object $X \in \text{ob}(\mathbf{C})$ has only **finitely many subobjects** (up to isomorphism).

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A category **C** possesses a **strict initial object** $\emptyset \in |\mathbf{C}|$ (the “empty object”) if

1. $\forall X \in \text{ob}(\mathbf{C}) : \exists ! (l_X : \emptyset \hookrightarrow X) \in \text{mono}(\mathbf{C})$
2. $\forall X \in \text{ob}(\mathbf{C}) : \exists (X \rightarrow \emptyset) \Rightarrow X \cong \emptyset$

Example: **presheaves**

Definition: For $\mathbb{S}$ a (small) category, the category $\hat{\mathbb{S}}$ of **presheaves** over $\mathbb{S}$ has

- **objects** of $\hat{\mathbb{S}}$ are functors $F : \mathbb{S}^{op} \rightarrow \mathbf{SET}$
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$\Rightarrow$ a **graph homomorphism** $\phi = (\phi_V, \phi_E) : G_1 \rightarrow G_2$ is a natural transformation, i.e.

$$G_1(E) \xrightarrow{G_1(s)} G_1(V)$$

$$\begin{array}{c} \downarrow \\ \phi_V \end{array} \quad \begin{array}{c} \downarrow \\ \phi_E \end{array}$$

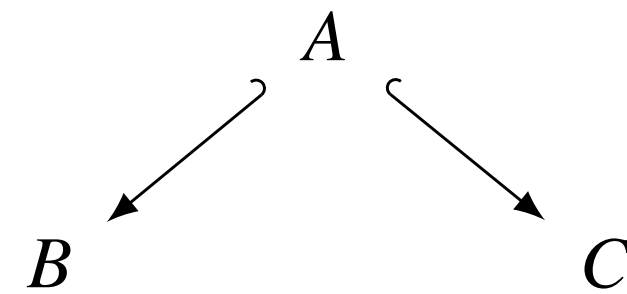
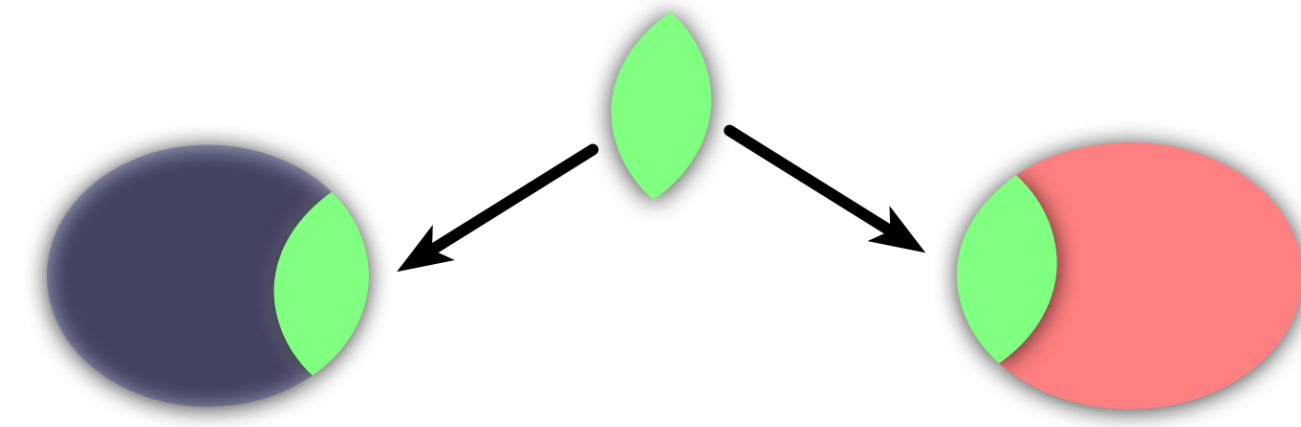
$$G_2(E) \xrightarrow{G_2(s)} G_2(V)$$

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commute

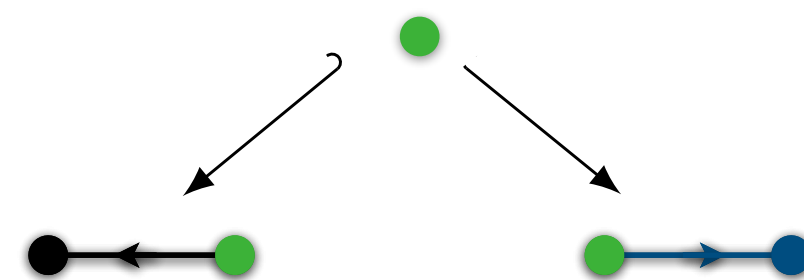
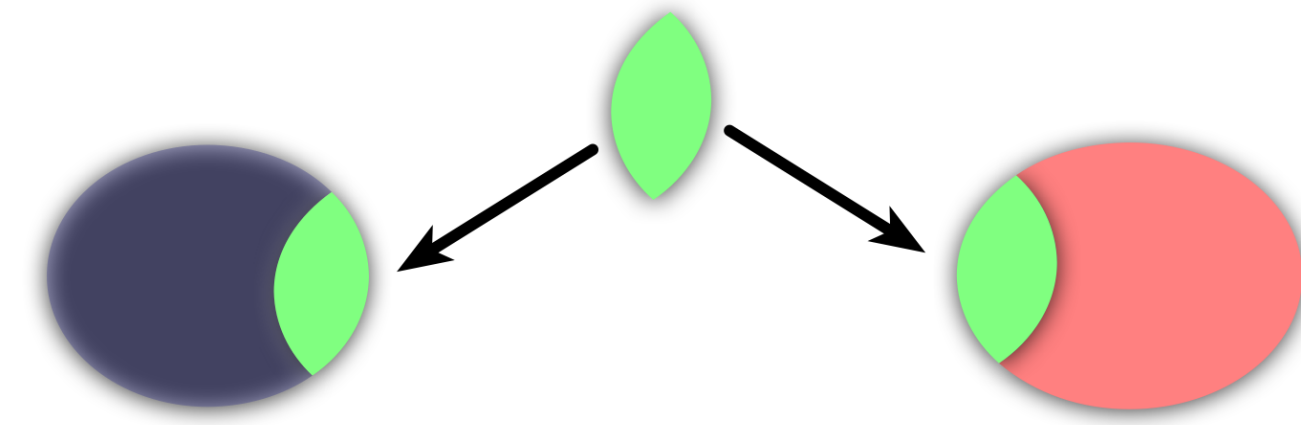
Pushouts implement “gluing” along partial overlaps



Interpretation:

A	—	intersection of B and C in D
D	—	union of B and C along A

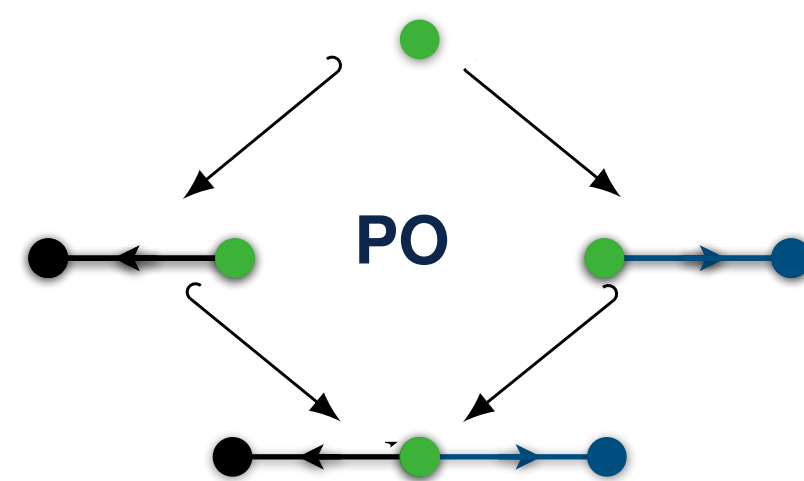
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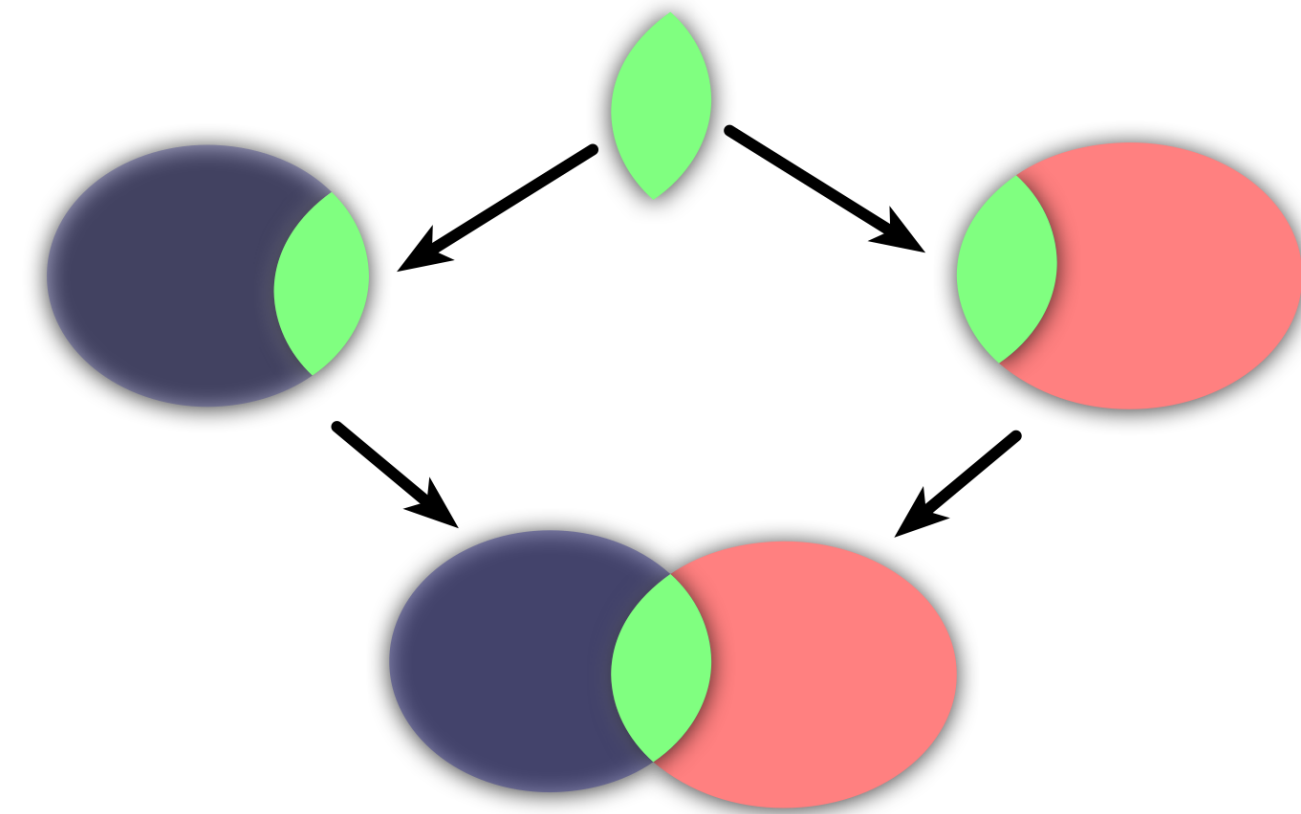
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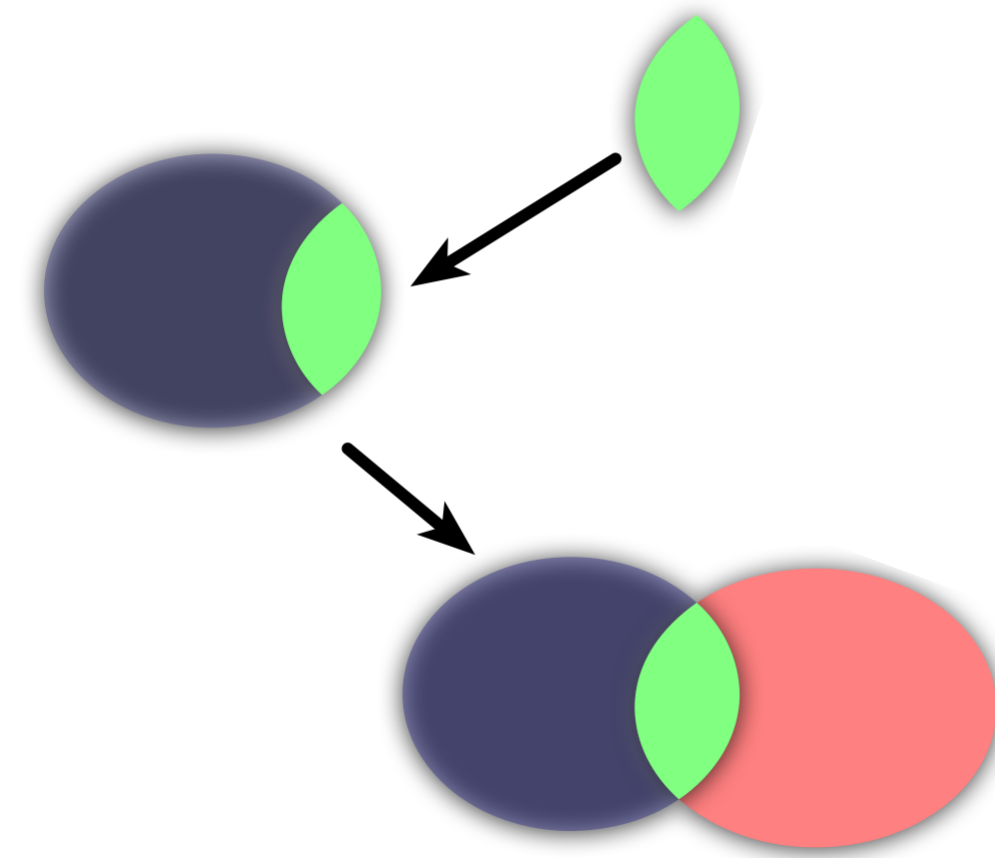
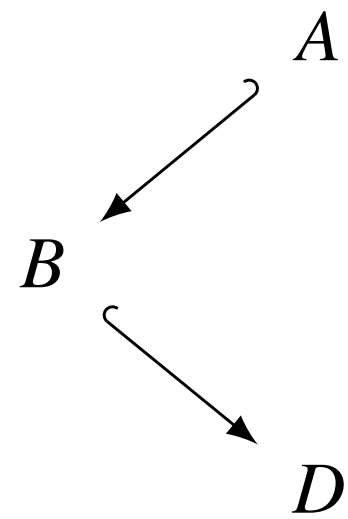


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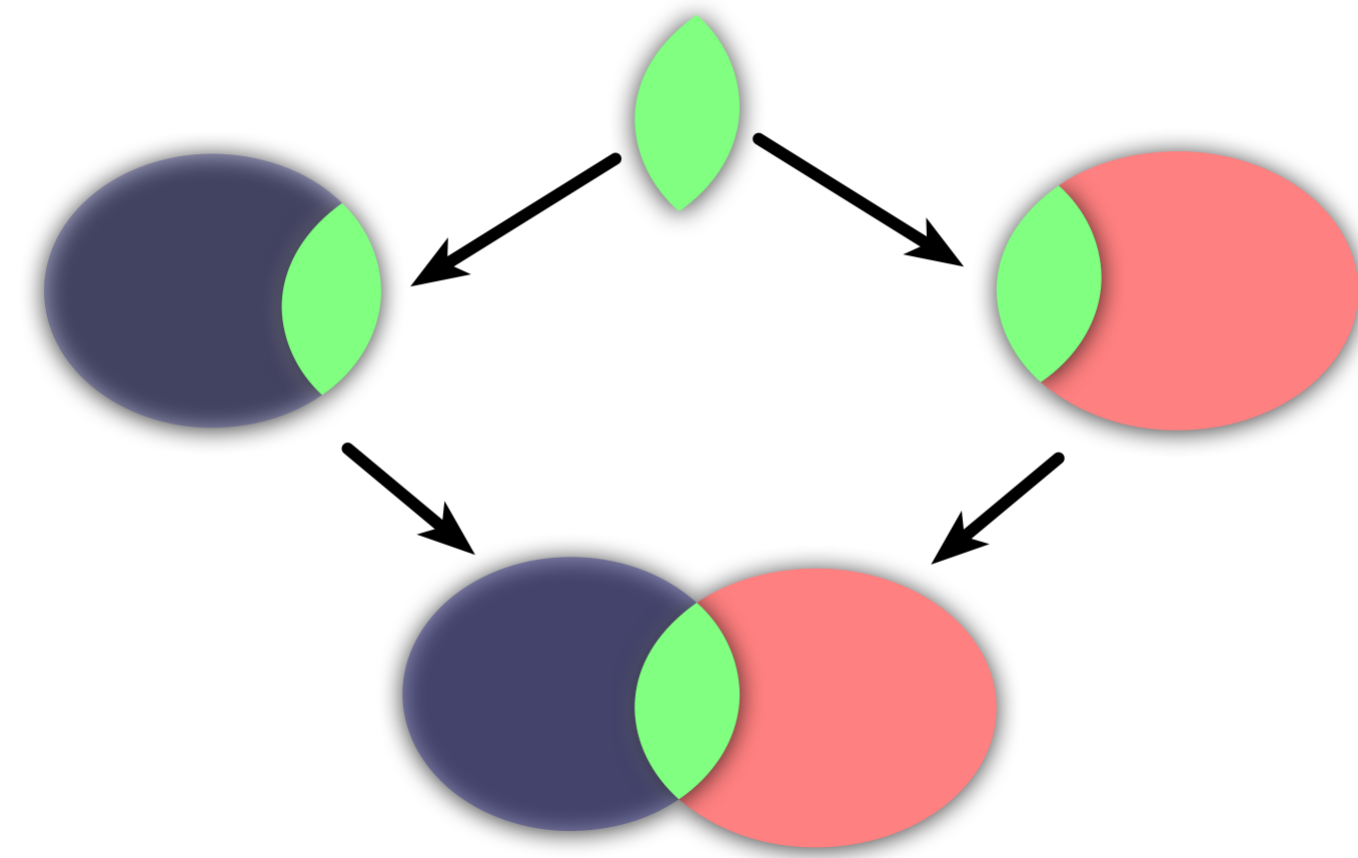
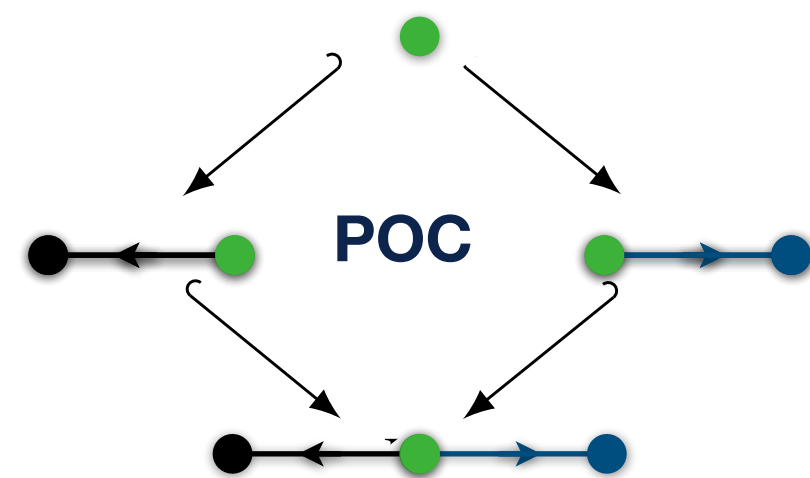
Pushout complements implement **partial deletions**



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Sources of constructions of adhesive categories

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- Every **topos** (elementary or Grothendieck) is an adhesive category.

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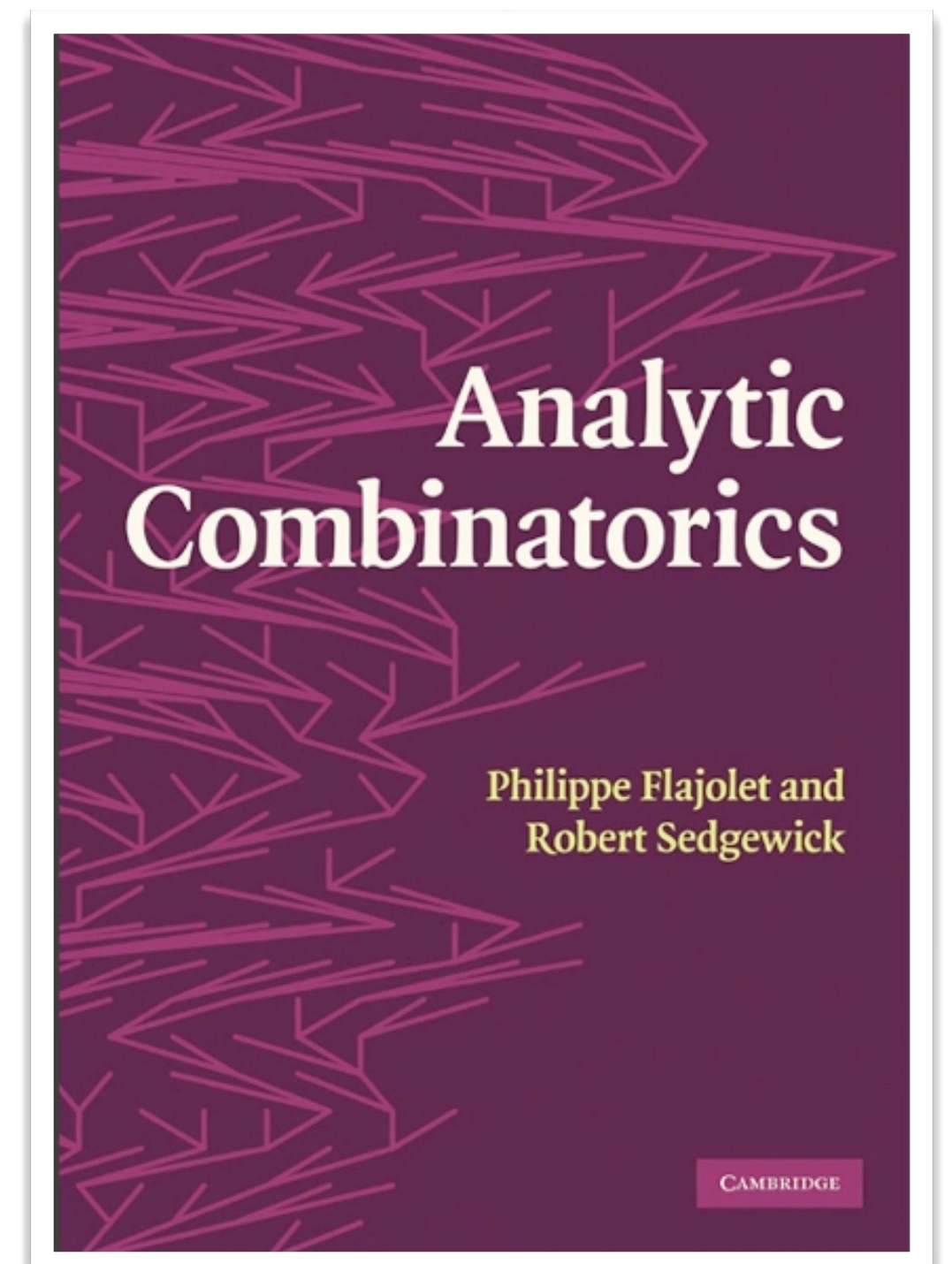
- **Categorical constructions** that yield new adhesive categories:
 - cartesian product
 - slice and coslice
 - comma categories (and other functor category constructions)
 - ...

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But: how to encode the **structural properties** of PRBTs?

Constraints formalism for adhesive categories

Definition. For an adhesive, extensive and finitary category **C**, **constraints** are recursively defined as follows: let $p : P \hookrightarrow P'$ be a monomorphism.

Constraints formalism for adhesive categories

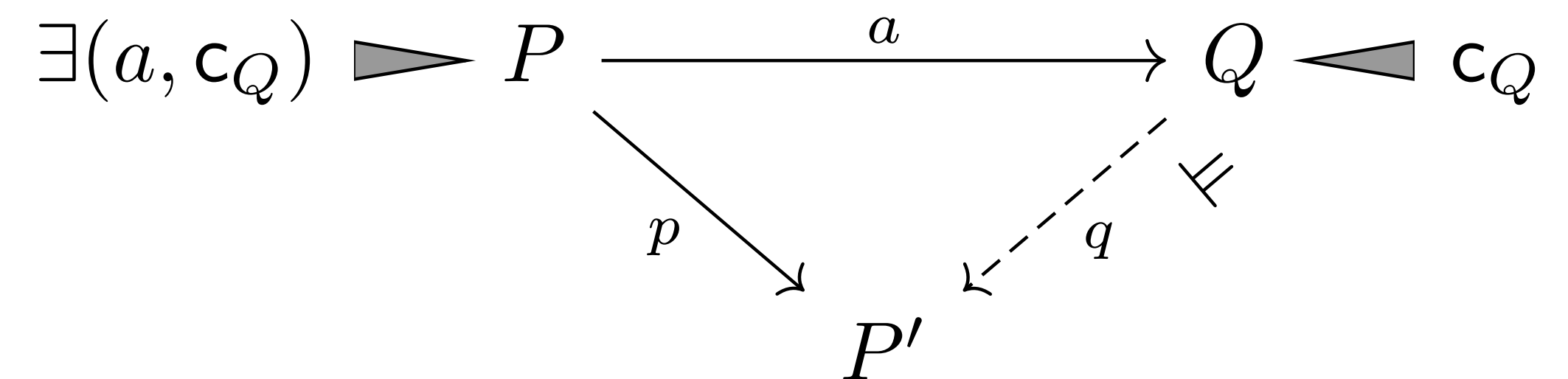
Definition. For an adhesive, extensive and finitary category **C**, **constraints** are recursively defined as follows: let $p : P \hookrightarrow P'$ be a monomorphism.

- $p \models \text{true}$ — in words: “**p satisfies the condition true**”

Constraints formalism for adhesive categories

Definition. For an adhesive, extensive and finitary category **C**, **constraints** are recursively defined as follows: let $p : P \hookrightarrow P'$ be a monomorphism.

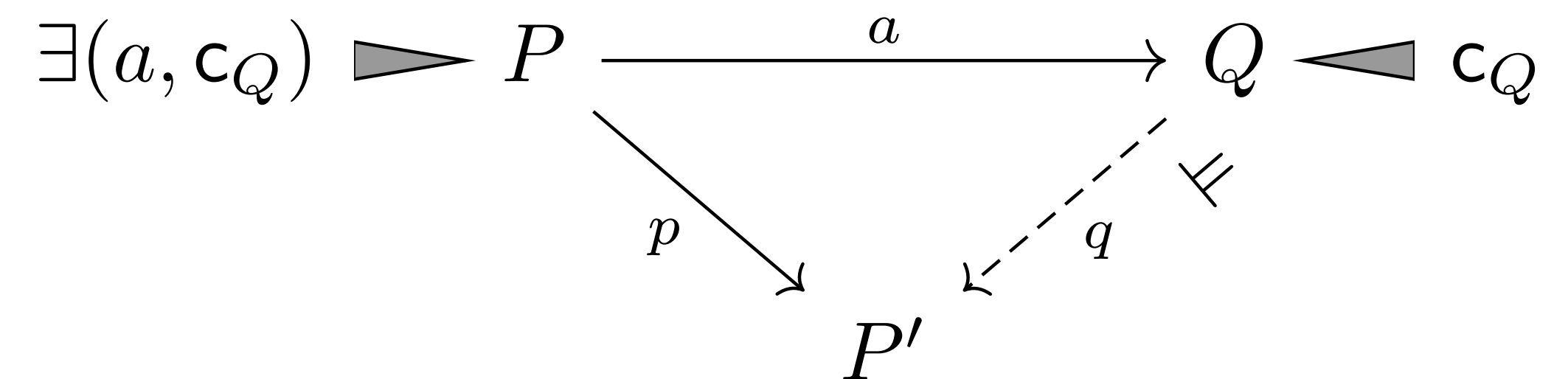
- $p \models \text{true}$ — in words: “**p satisfies the condition true**”
- for every mono $a : P \hookrightarrow Q$ and every condition c_Q (over Q), $p \models \exists(a, c_Q)$ iff there exists a mono $q : Q \hookrightarrow P'$ such that $p = q \circ a$ and $q \models c_Q$



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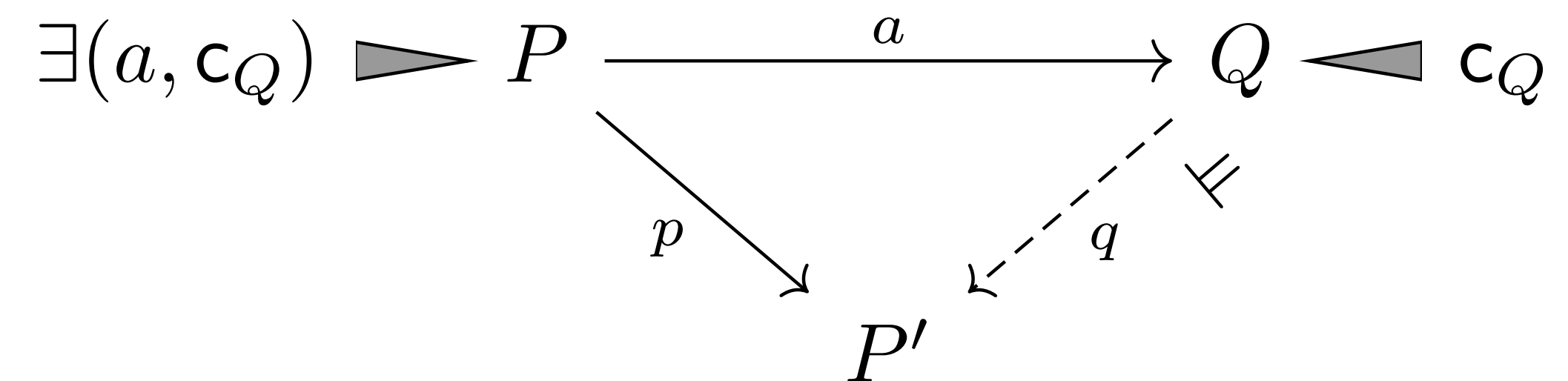
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Definition. An **object** $X \in \text{obj}(\mathbf{C})$ is defined to satisfy a **constraint** $c_\emptyset$ (i.e. a condition formulated over the **initial object** $\emptyset \in \text{obj}(\mathbf{C})$) iff $(\iota_X : \emptyset \hookrightarrow X) \models c_\emptyset$.

Running example: **planar rooted binary trees (PBRTs)**

$$\mathcal{T}_0 := \left\{ \begin{array}{c} \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_1 := \left\{ \begin{array}{c} \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_2 := \left\{ \begin{array}{c} \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \end{array}, \begin{array}{c} \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \\ \swarrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \dots$$

Running example: **planar rooted binary trees (PBRTs)**

$$\mathbf{prePRBF} := \mathbf{FinGraph} / T_{PRBF}, \quad T_{PRBF} := \begin{array}{c} L \quad R \\ \swarrow \quad \searrow \\ \bullet \\ \uparrow \quad \downarrow \\ I \end{array}$$

$$\mathcal{T}_0 := \left\{ \begin{array}{c} \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_1 := \left\{ \begin{array}{c} L \quad R \\ \swarrow \quad \searrow \\ \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_2 := \left\{ \begin{array}{c} L \quad R \\ \swarrow \quad \searrow \\ \bullet \\ \swarrow \quad \searrow \\ L \quad R \\ \downarrow \quad \downarrow \\ \bullet \quad \bullet \end{array} \right\}, \dots$$

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$$\mathcal{T}_0 := \left\{ \begin{array}{c} \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_1 := \left\{ \begin{array}{c} L \quad R \\ \downarrow \quad \downarrow \\ \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \quad \mathcal{T}_2 := \left\{ \begin{array}{c} L \quad R \\ \downarrow \quad \downarrow \\ \bullet \quad \bullet \\ \downarrow \quad \downarrow \\ \bullet \quad \bullet \\ \downarrow \\ \bullet \end{array}, \begin{array}{c} L \quad R \\ \downarrow \quad \downarrow \\ \bullet \quad \bullet \\ \downarrow \quad \downarrow \\ \bullet \quad \bullet \\ \downarrow \\ \bullet \end{array} \right\}, \dots$$

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We then define the set $\mathbf{P}_{PRBF}$ of **PRBF patterns** and the set $\mathbf{S}_{PRBF}$ of **states** (with the latter coinciding of course with the set of PRBFs):

$$\mathbf{P}_{PRBF} := \{X \in \text{obj}(\mathbf{prePRBF})_{\cong} \mid X \models c_{PRBF}^{(-)}\}, \quad \mathbf{S}_{PRBF} := \{X \in \mathbf{P}_{PRBF} \mid X \models c_{PRBF}^{(+)}\}$$

The central “workflow” of **categorical rewriting theory**

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- Isomorphism classes of **objects** of $\mathbf{C}$ will model the **configurations**.
- Isomorphism classes of **spans of monomorphisms** will model the **transitions**, also referred to as (linear) **rewriting rules**:

$$r = (O \xleftarrow{r} I) \equiv (O \xleftarrow{o} K \xrightarrow{i} I)$$

Note: here, “isomorphism” refers to entry-wise isomorphisms of the spans that encode rules, i.e. not the standard notion of isomorphism of spans

The foundation: "**compositional**" rewriting theory for **linear rules with conditions** (DPO & SqPO)

Compositionality of Rewriting Rules with Conditions

Nicolas Behr and Jean Krivine

IRIF, Université Paris-Diderot (Paris 07), F-75013 Paris, France

We extend the notion of compositional associative rewriting as recently studied in the rule algebra framework literature to the setting of rewriting rules with conditions. Our methodology is category-theoretical in nature, where the definition of rule composition operations is encoding the non-deterministic sequential concurrent application of rules in Double-Pushout (DPO) and Sesqui-Pushout (SqPO) rewriting with application conditions based upon $\mathcal{M}$ -adhesive categories. We uncover an intricate interplay between the category-theoretical concepts of conditions on rules and morphisms, the compositionality and compatibility of certain shift and transport constructions for conditions, and thirdly the property of associativity of the composition of rules.

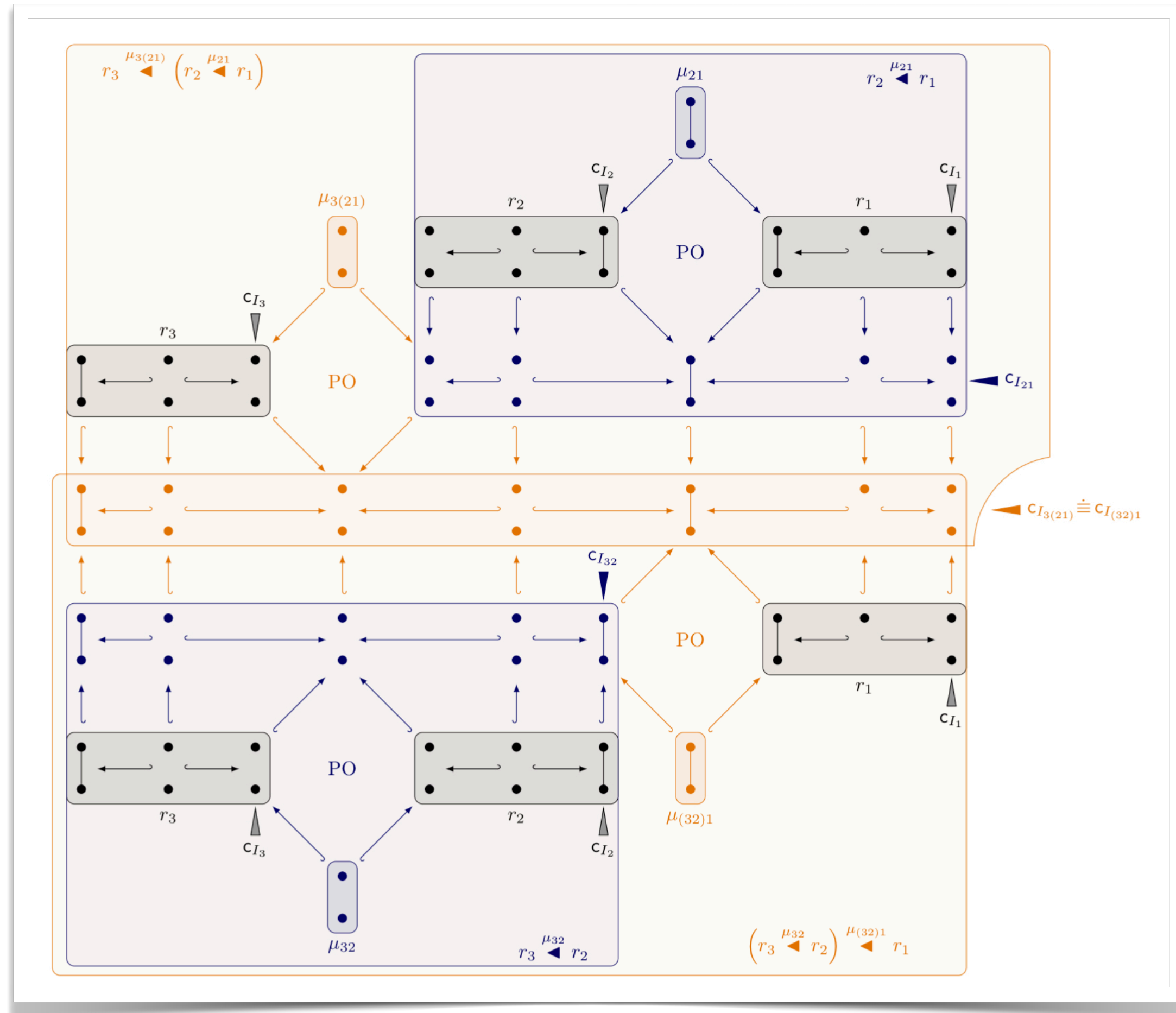
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The suitable notion of **rules with conditions**

Definition 1. Let $\overline{\text{Lin}}(\mathbf{C})$ denote the class of **(linear) rules with conditions**, defined as

$$\overline{\text{Lin}}(\mathbf{C}) := \{ (O \xleftarrow{o} K \xrightarrow{i} I; c_I) \mid o, i \in \mathcal{M}, c_I \in \text{cond}(\mathbf{C}) \}. \quad (1)$$

We define two rules with conditions $R_j = (r_j, c_{I_j})$ ($j = 1, 2$) **equivalent**, denoted $R_2 \sim R_1$, iff $c_{I_1} \equiv c_{I_2}$ and if there exist isomorphisms $\omega, \kappa, \iota \in \text{iso}(\mathbf{C})$ such that the diagram on the right commutes. We denote by $\overline{\text{Lin}}(\mathbf{C})_{\sim}$ the set of equivalence classes under $\sim$ of rules with conditions.

$$\begin{array}{ccccc} O_1 & \longleftarrow & K_1 & \longrightarrow & I_1 \\ \cong \downarrow \omega & & \cong \downarrow \kappa & & \cong \downarrow \iota \\ O_2 & \longleftarrow & K_2 & \longrightarrow & I_2 \end{array} \quad (2)$$

The suitable notion of **rule applications**

Definition 2. Let $r = (O \hookleftarrow K \hookrightarrow I) \in \text{Lin}(\mathbf{C})$ and $c_I \in \text{cond}(\mathbf{C})$ be concrete representatives of some equivalence class $R \in \overline{\text{Lin}}(\mathbf{C})_{\sim}$, and let $X, Y \in \text{obj}(\mathbf{C})$ be objects. Then a **type $\mathbb{T}$ direct derivation** is defined as a commutative diagram such as below right, where all morphism are in $\mathcal{M}$ (and with the left representation a shorthand notation)

$$\begin{array}{ccc} O & \xleftarrow{r} & I \\ m^* \downarrow & \mathbb{T} & \downarrow m \\ Y & \xleftarrow{\quad} & X \end{array} \quad := \quad \begin{array}{ccccc} O & \xleftarrow{\quad} & K & \xrightarrow{\quad} & I \\ m^* \downarrow & (B) & \downarrow k & (A) & \downarrow m \\ Y & \xleftarrow{\quad} & \overline{K} & \xrightarrow{\quad} & X \end{array} . \quad (3)$$

with the following pieces of information required relative to the type:

1. $\mathbb{T} = \mathbf{DPO}$: given $(m : I \hookrightarrow X) \in \mathcal{M}$, m is a **DPO-admissible match of R into X** , denoted $m \in M_R^{\text{DPO}}(X)$, if $m \models c_I$ and (A) is constructable as a **pushout complement**, in which case (B) is constructed as a **pushout**.

The suitable notion of **rule applications**

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with the following pieces of information required relative to the type:

2. $\mathbb{T} = \mathbf{SqPO}$: given $(m : I \hookrightarrow X) \in \mathcal{M}$, m is a **SqPO-admissible match of R into X** , denoted $m \in M_R^{\text{SqPO}}(X)$, if $m \models c_I$, in which case (A) is constructed as a **final pullback complement** and (B) as a **pushout**.

The suitable notion of **rule applications**

Definition 2. Let $r = (O \hookleftarrow K \hookrightarrow I) \in \text{Lin}(\mathbf{C})$ and $c_I \in \text{cond}(\mathbf{C})$ be concrete representatives of some equivalence class $R \in \overline{\text{Lin}}(\mathbf{C})_{\sim}$, and let $X, Y \in \text{obj}(\mathbf{C})$ be objects. Then a **type $\mathbb{T}$ direct derivation** is defined as a commutative diagram such as below right, where all morphism are in $\mathcal{M}$ (and with the left representation a shorthand notation)

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For types $\mathbb{T} \in \{\text{DPO}, \text{SqPO}\}$, we will sometimes employ the notation $R_m(X)$ for the object Y .

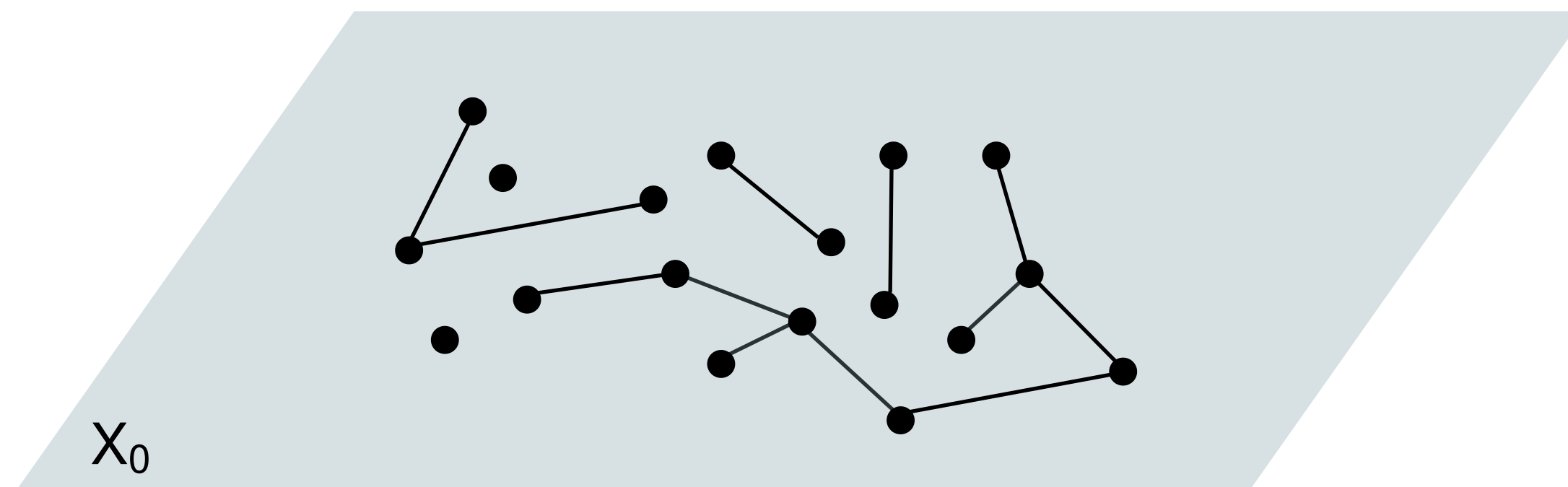
The suitable notion of **rule applications**

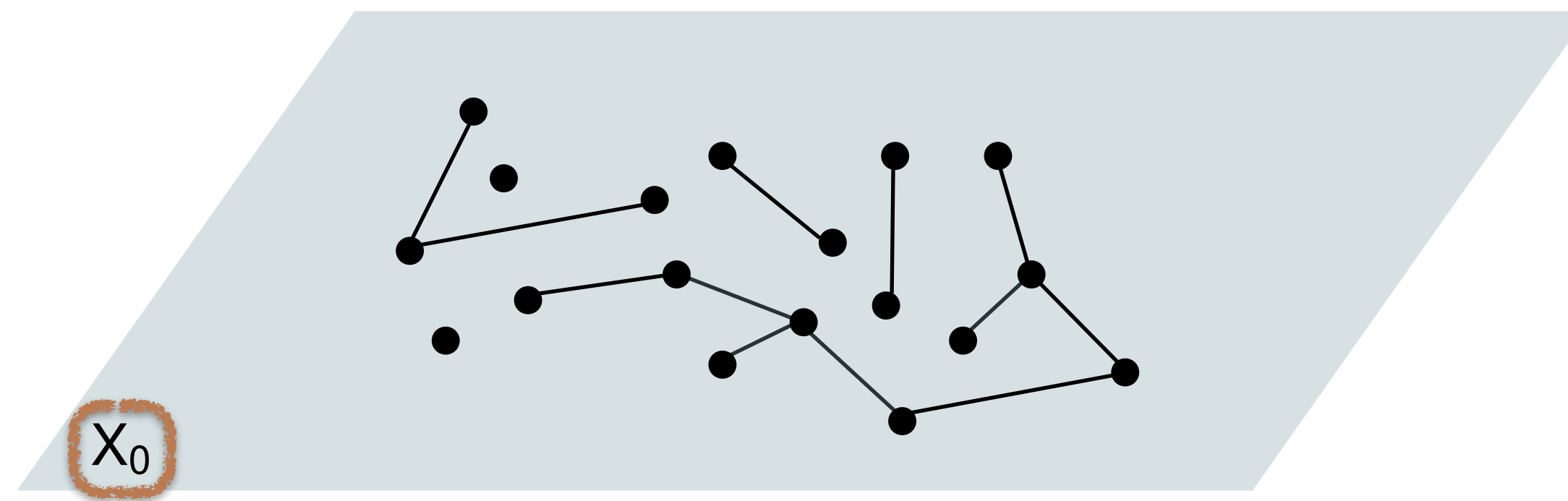
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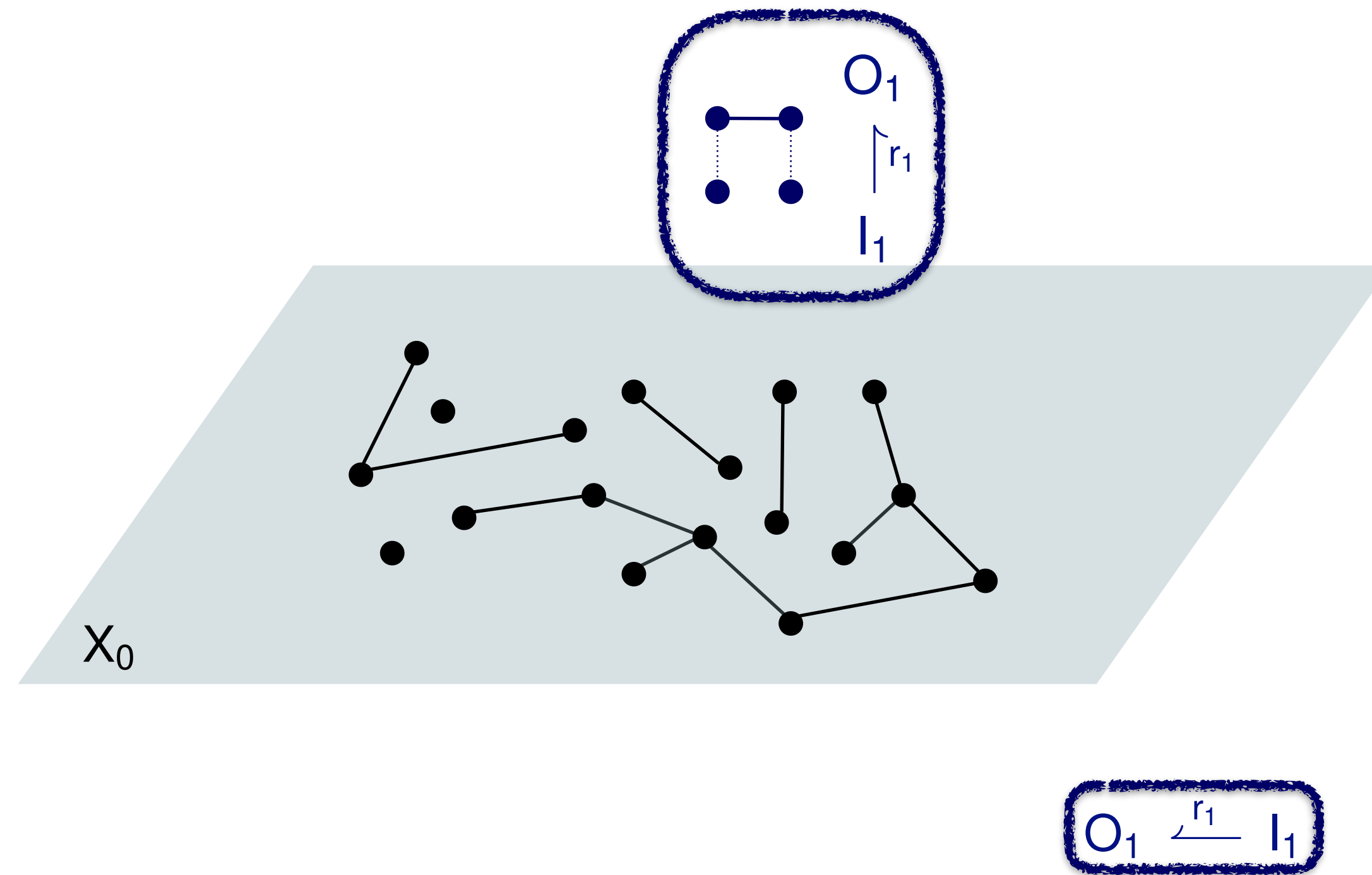
with the following pieces of information required relative to the type:

3. $\mathbb{T} = \mathbf{DPO}^\dagger$: given just the “plain rule” r and $(m^* : O \hookrightarrow Y) \in \mathcal{M}$, m^* is a **$\mathbf{DPO}^\dagger$ -admissible match of r into X** , denoted $m \in M_r^{\mathbf{DPO}^\dagger}(Y)$, if (B) is constructable as a **pushout complement**, in which case (B) is constructed as a **pushout**.

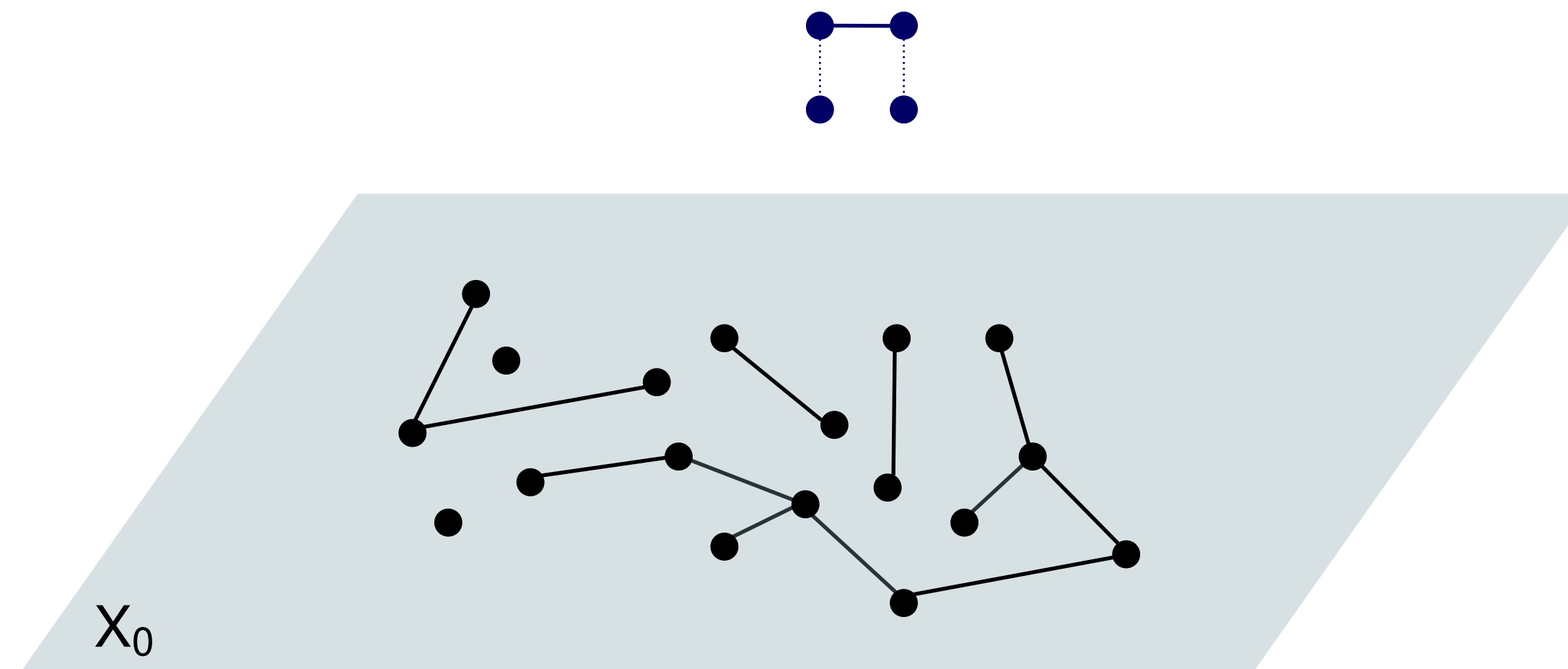




X_0

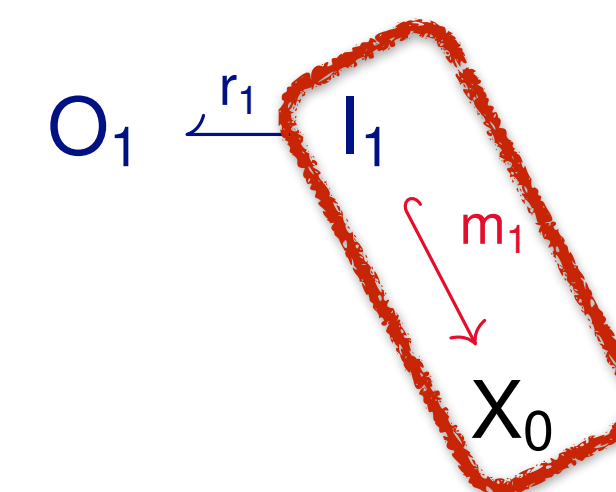
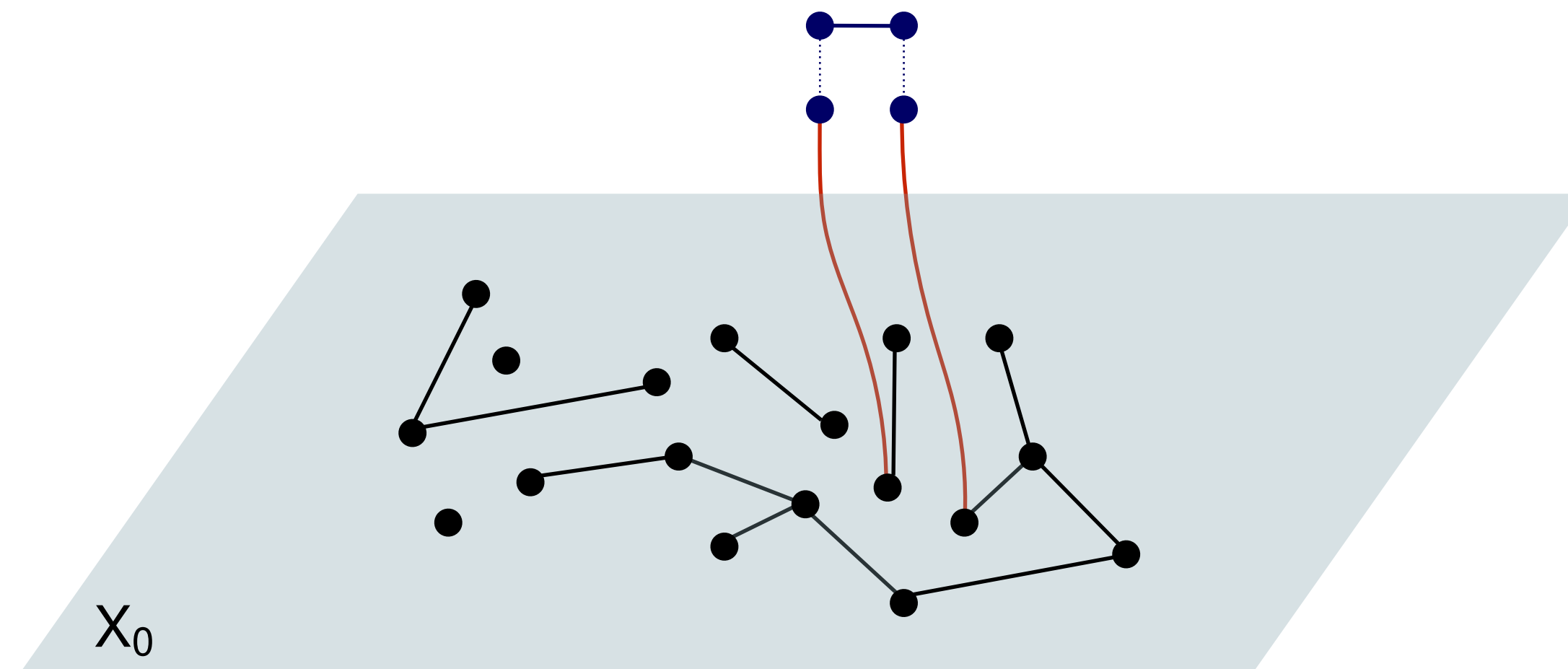


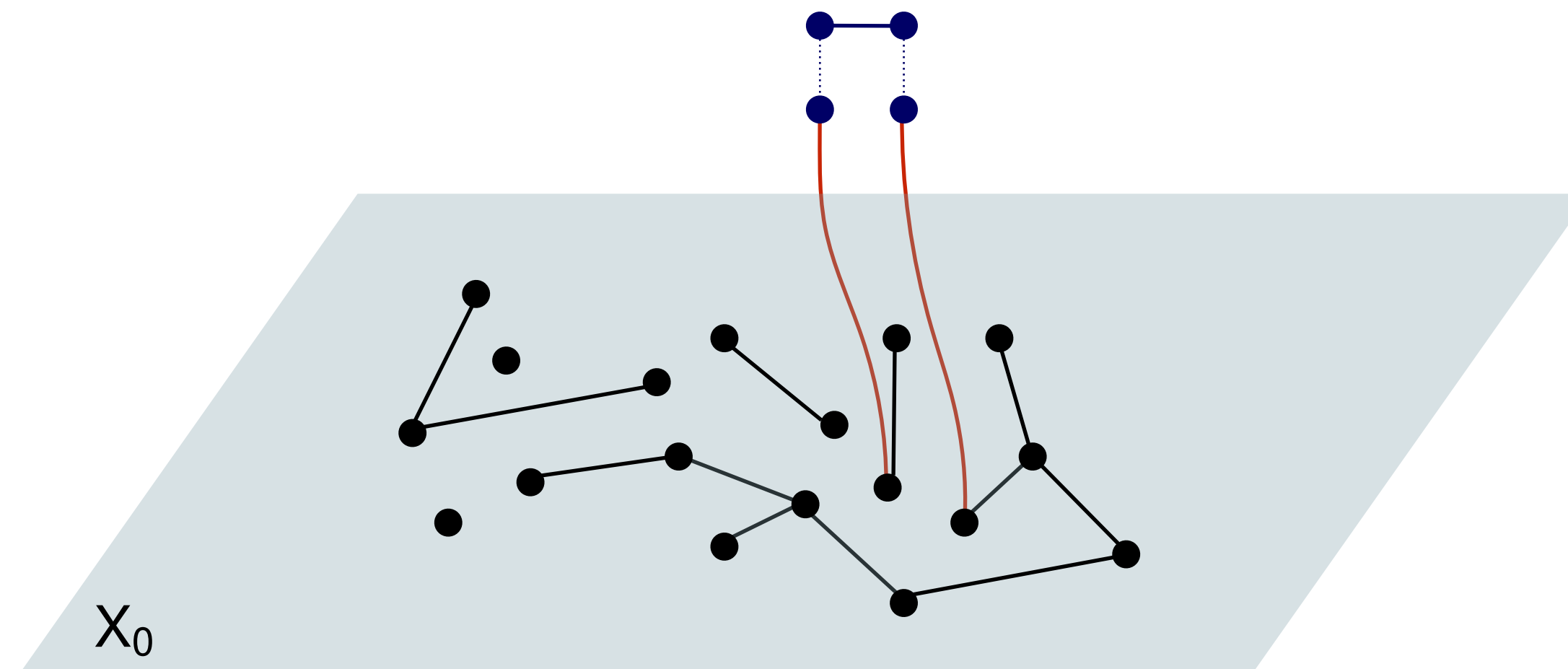
X_0



$$O_1 \stackrel{r_1}{\longmapsto} I_1$$

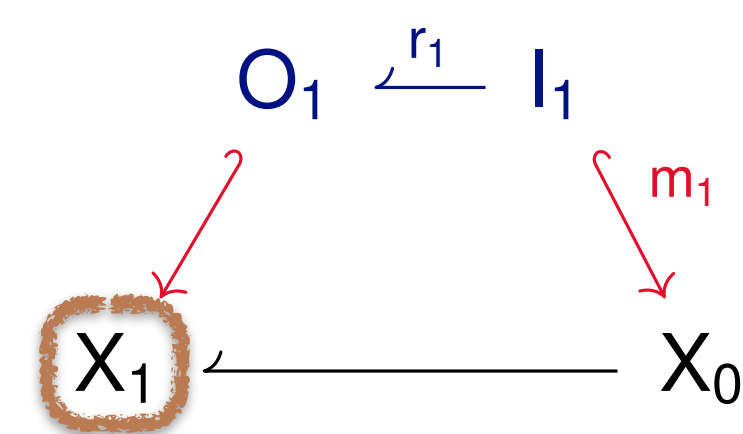
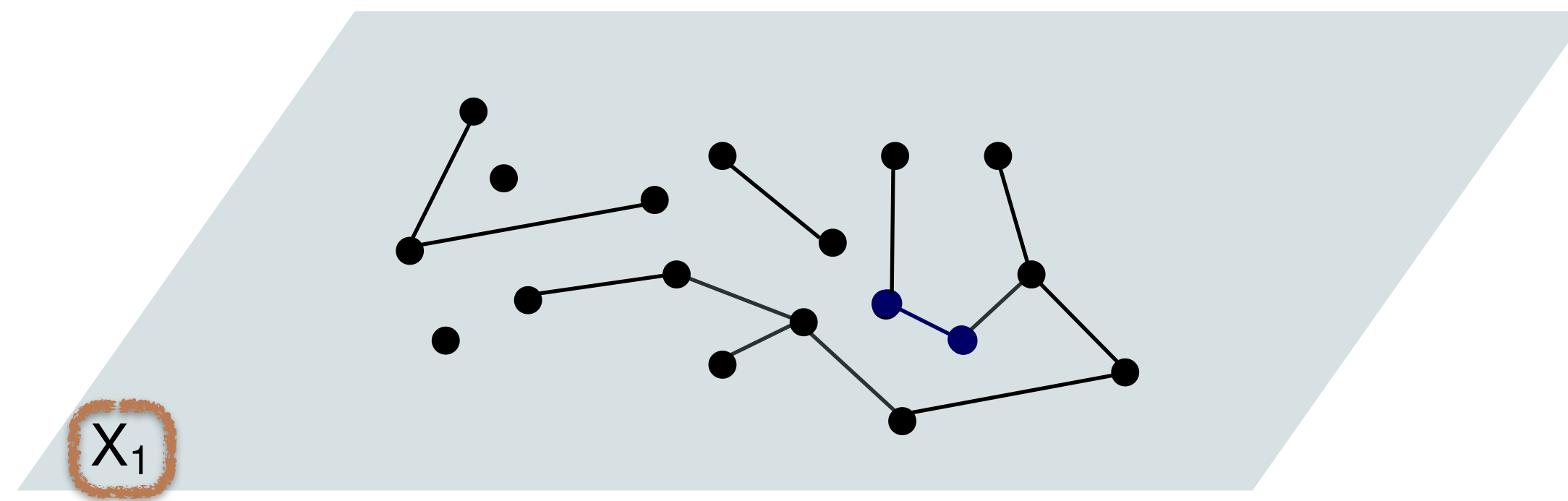
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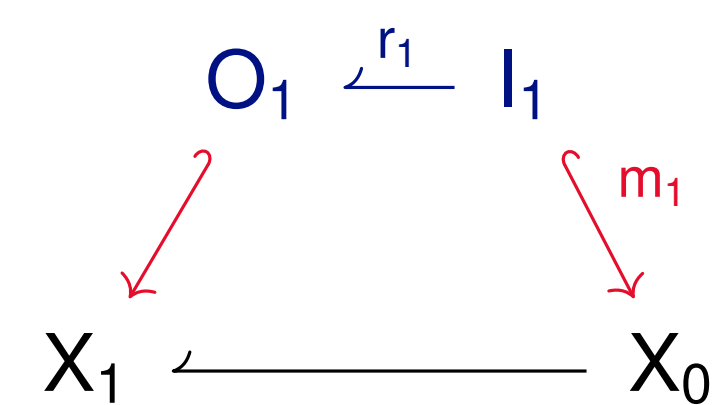
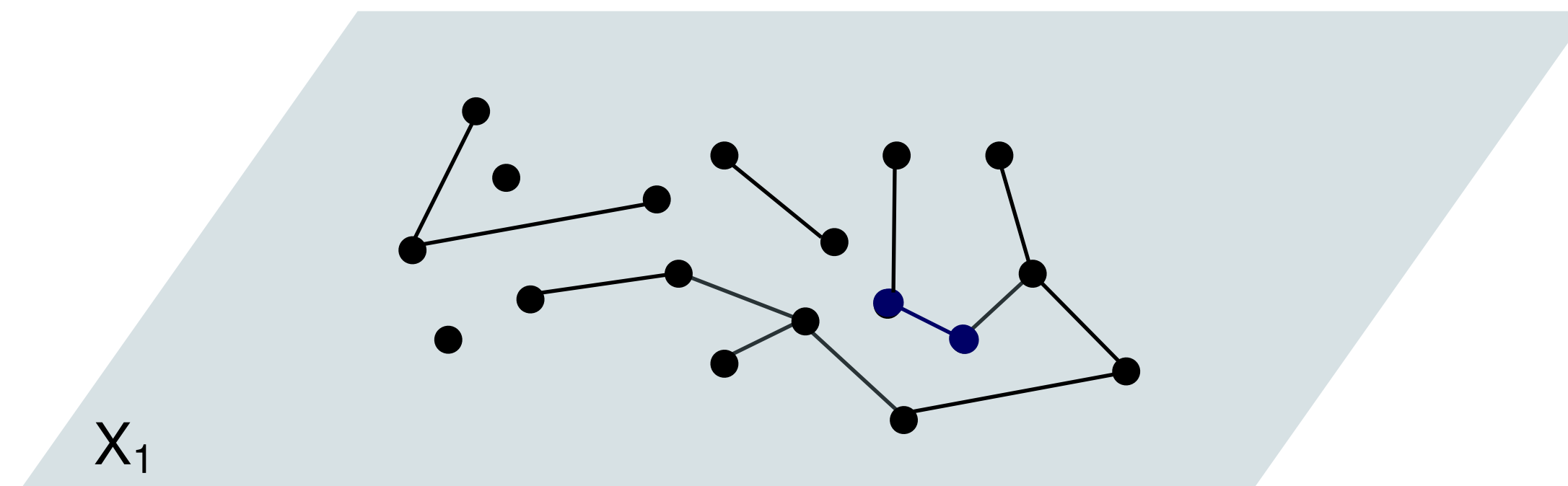


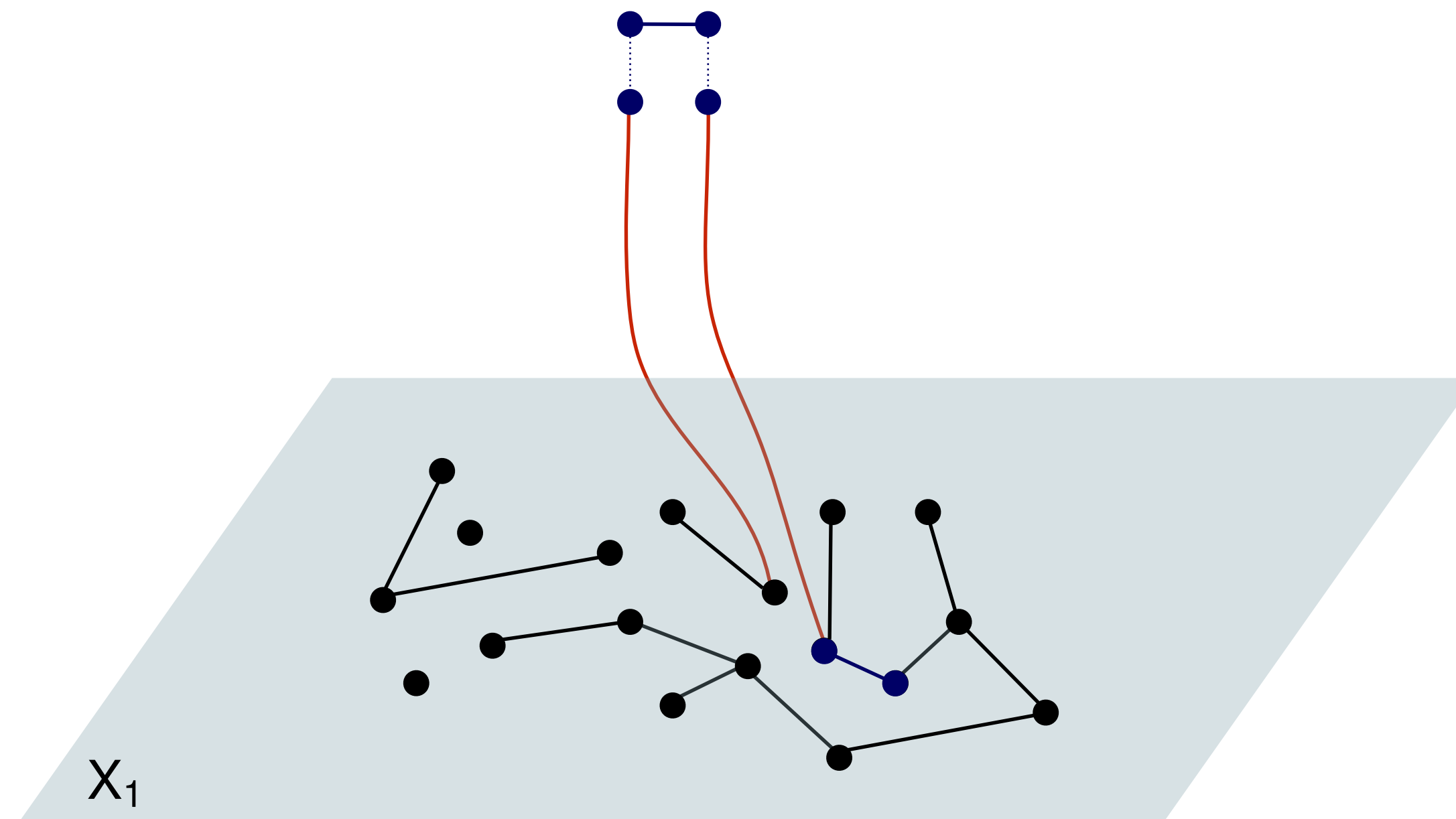


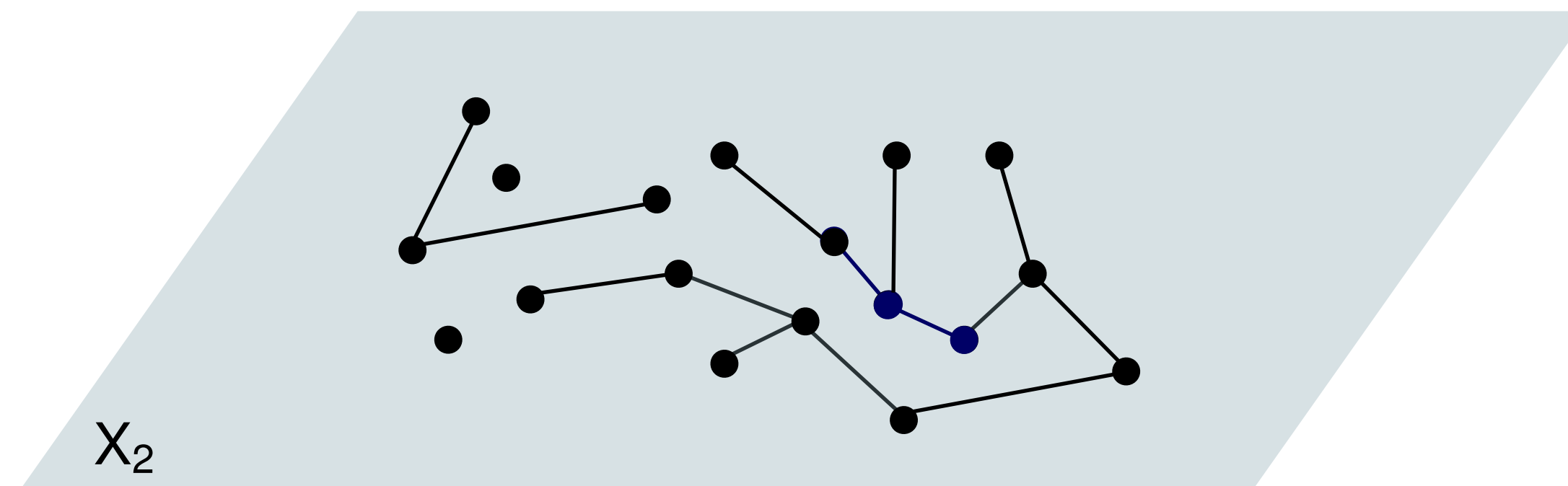
$$O_1 \xrightarrow{r_1} I_1$$

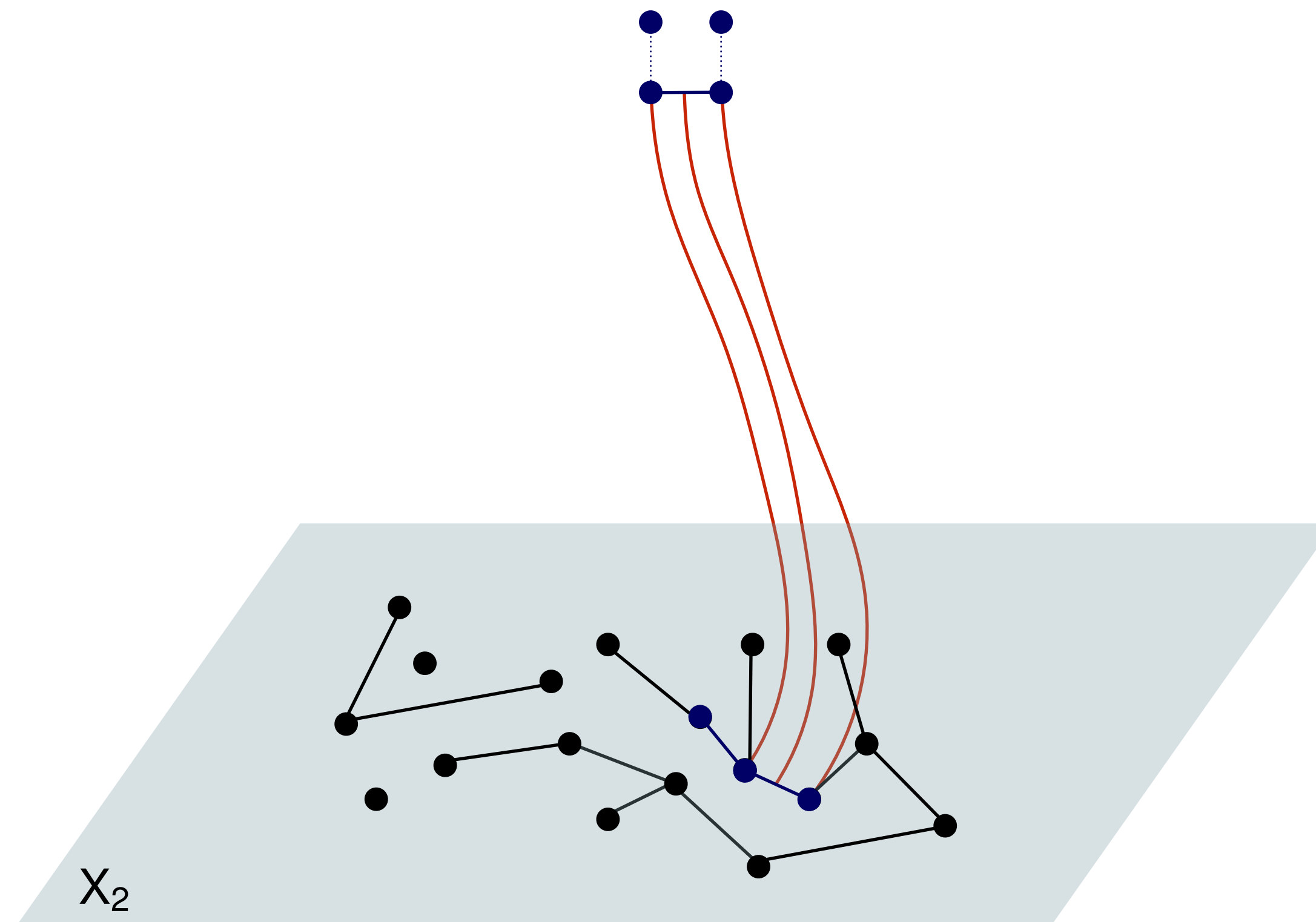
$\xrightarrow{m_1}$
 X_0

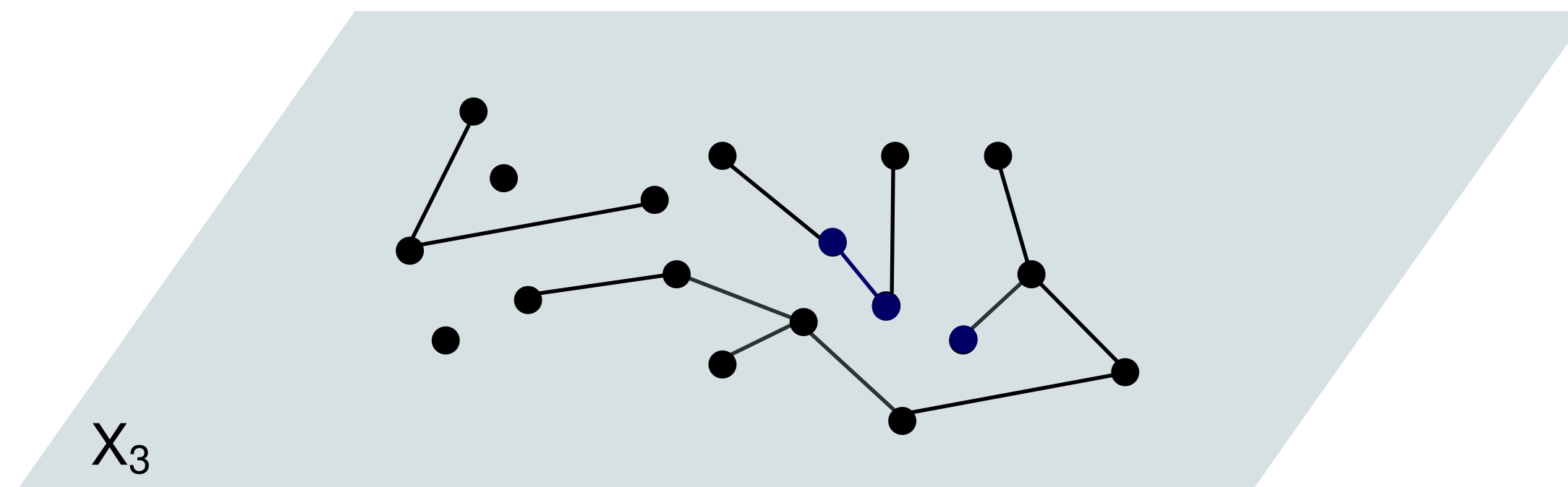


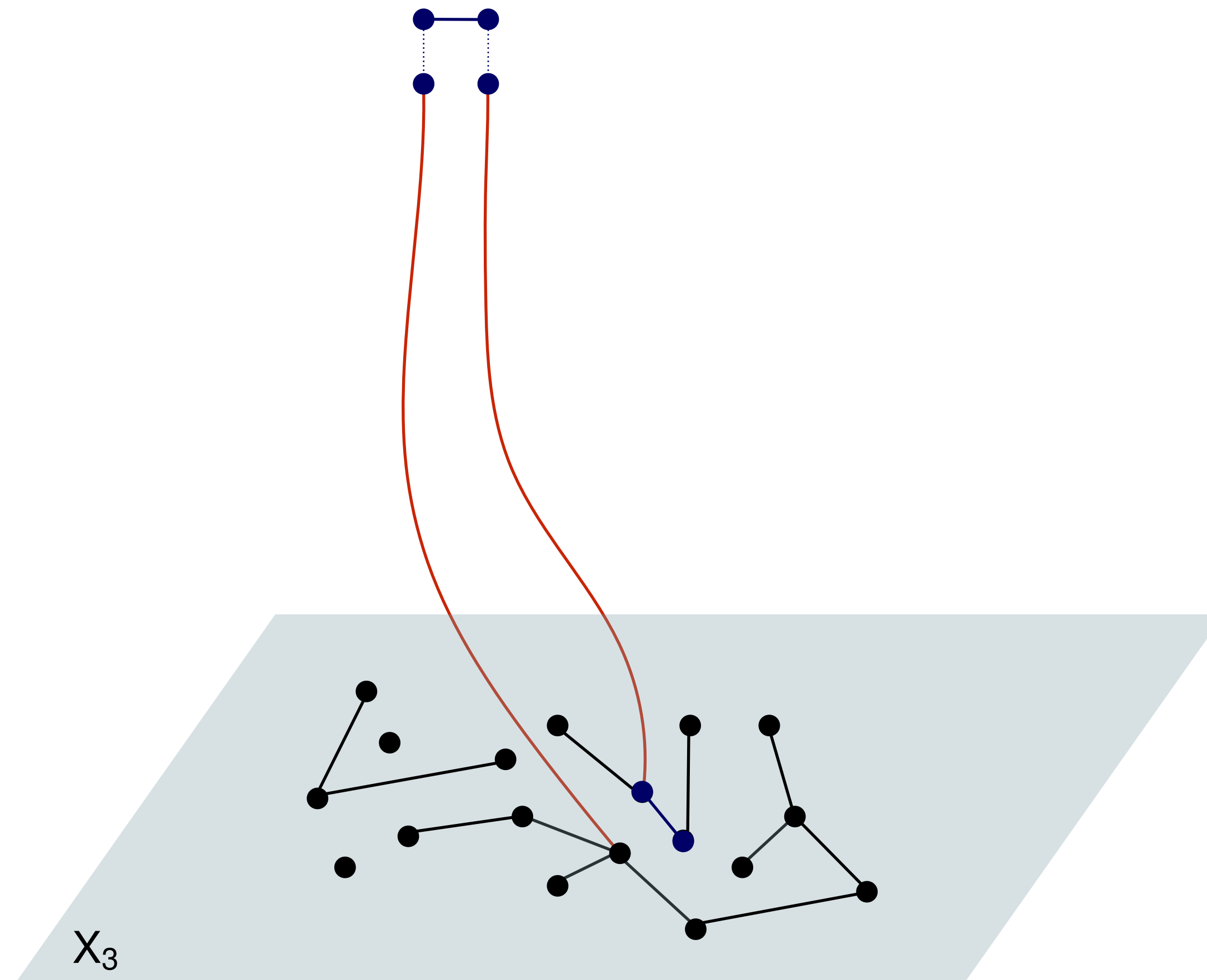


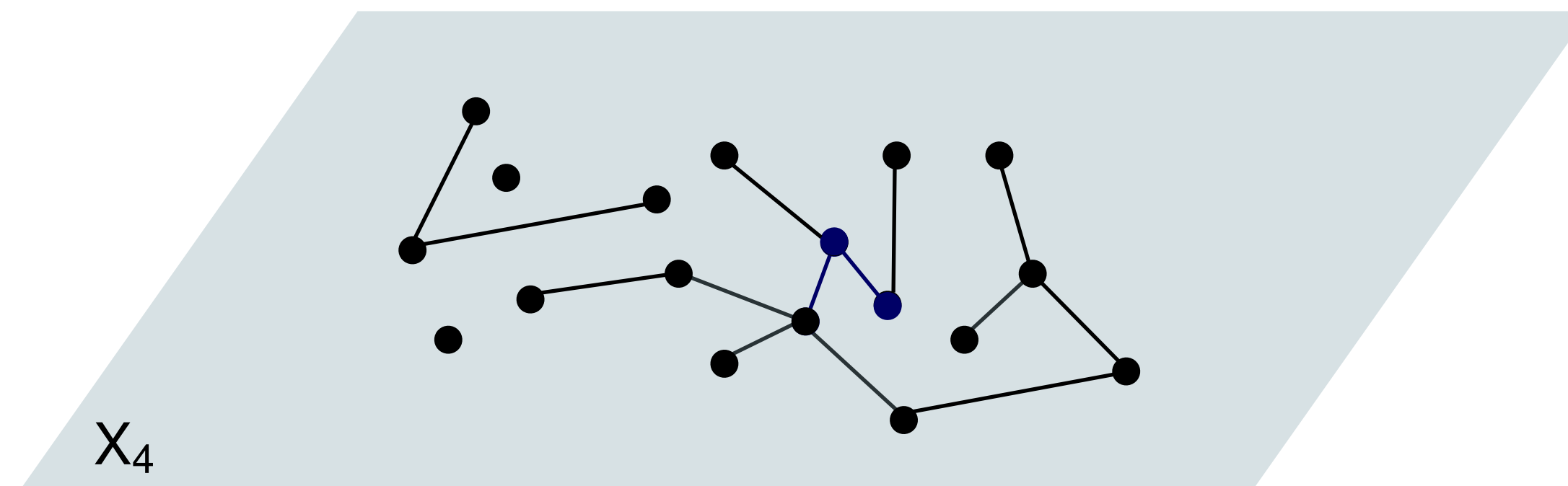


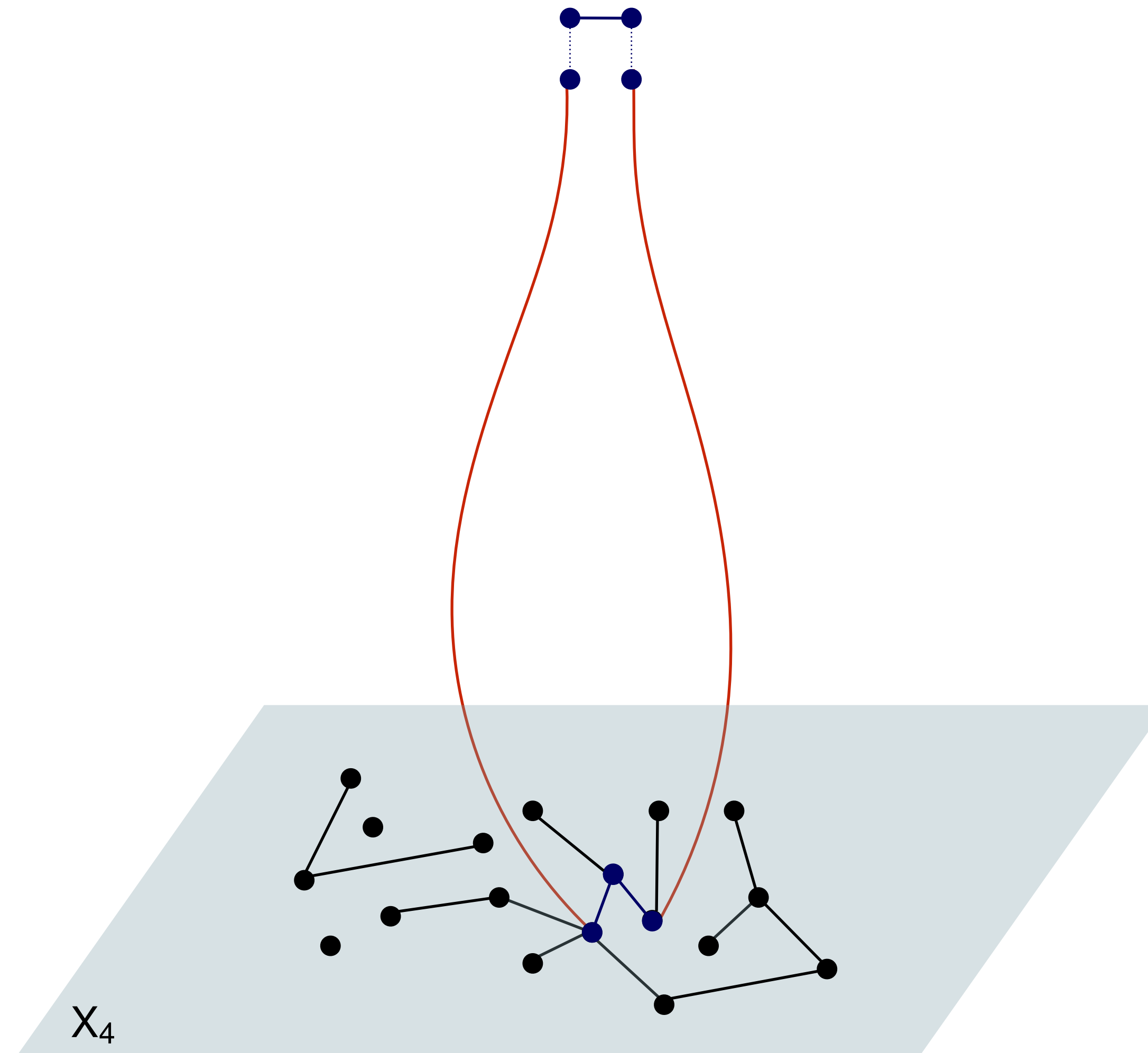


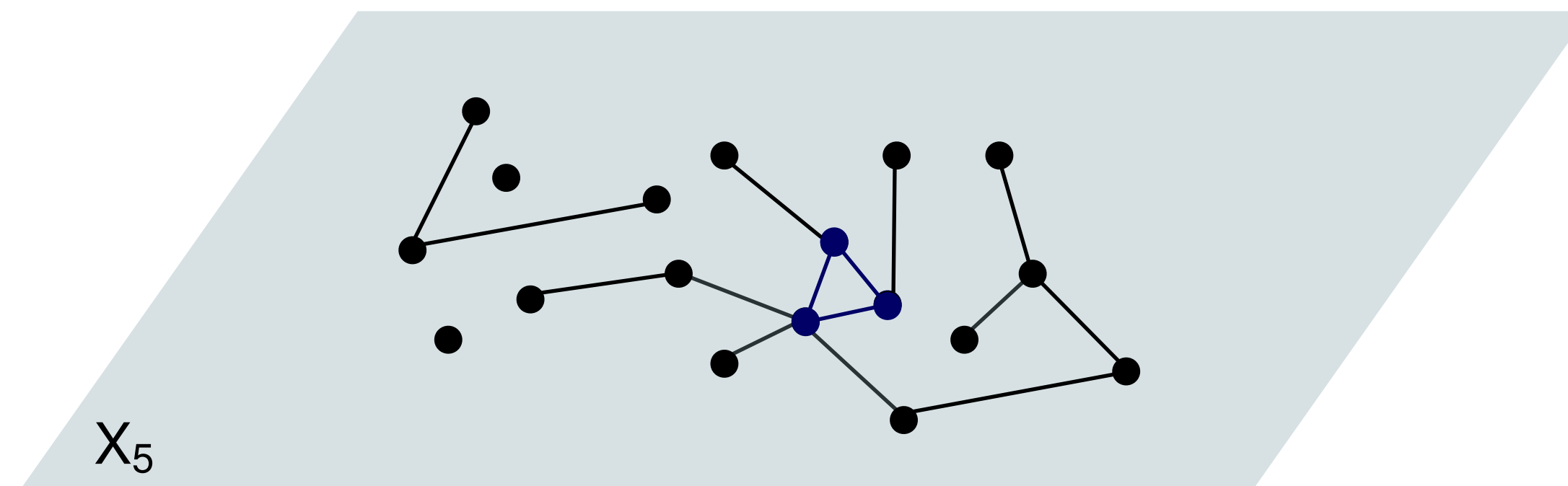


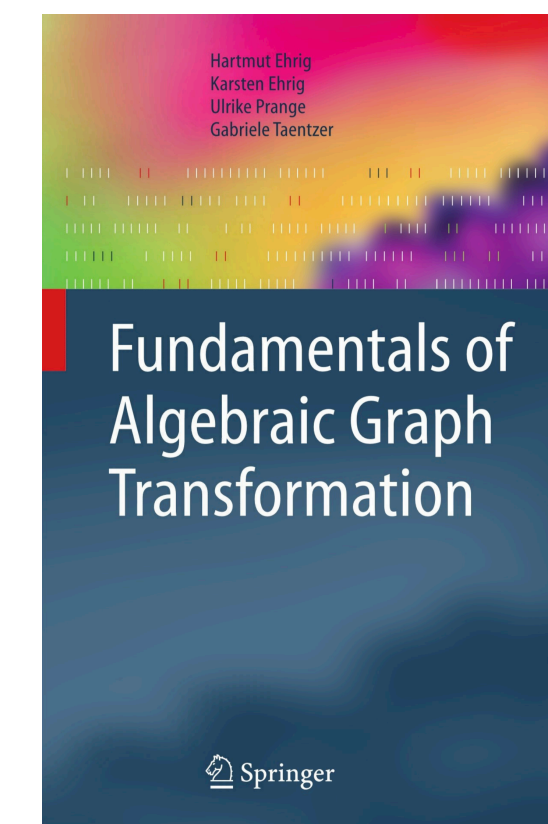




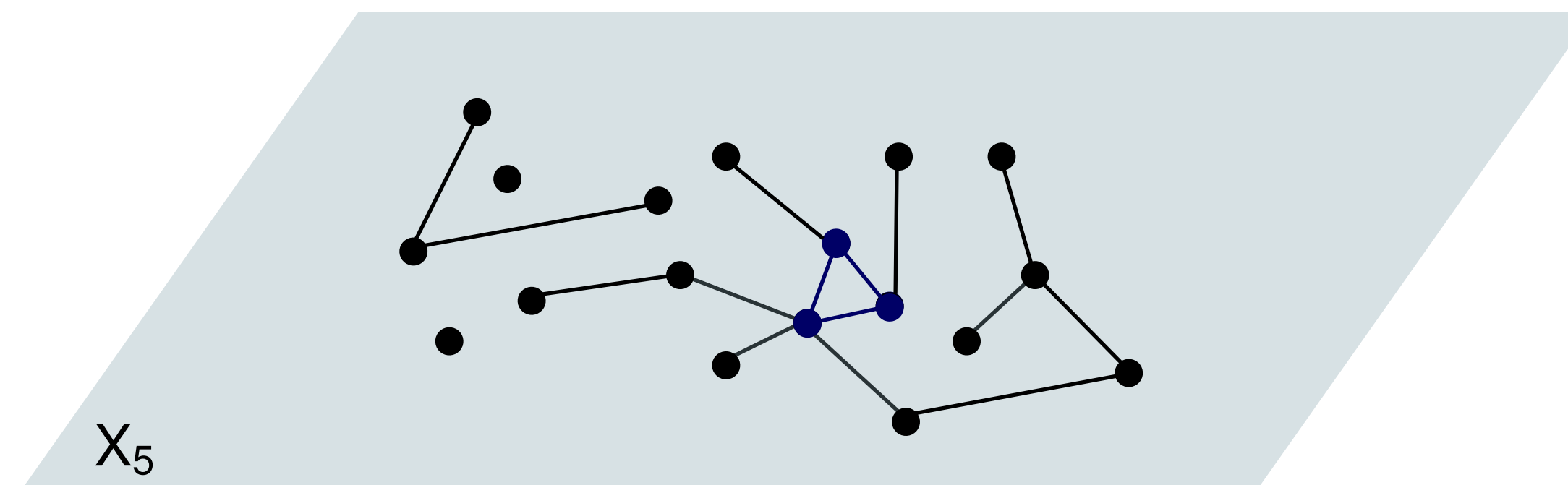


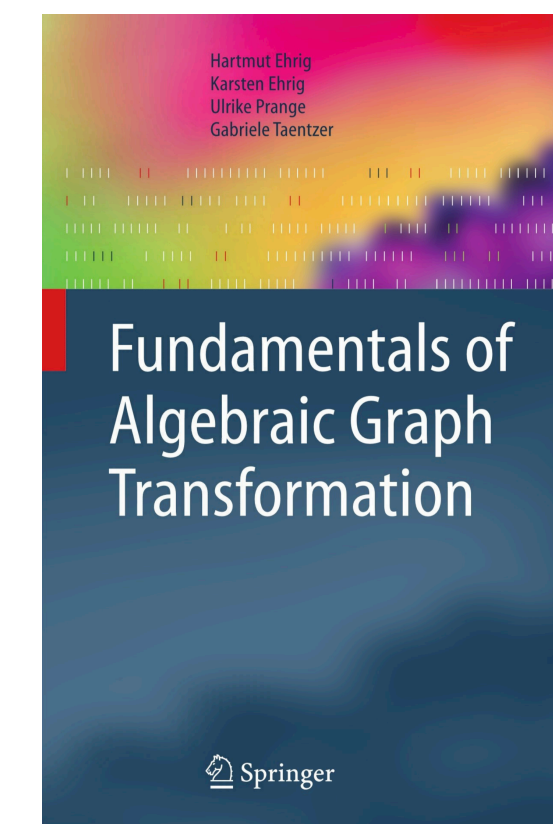




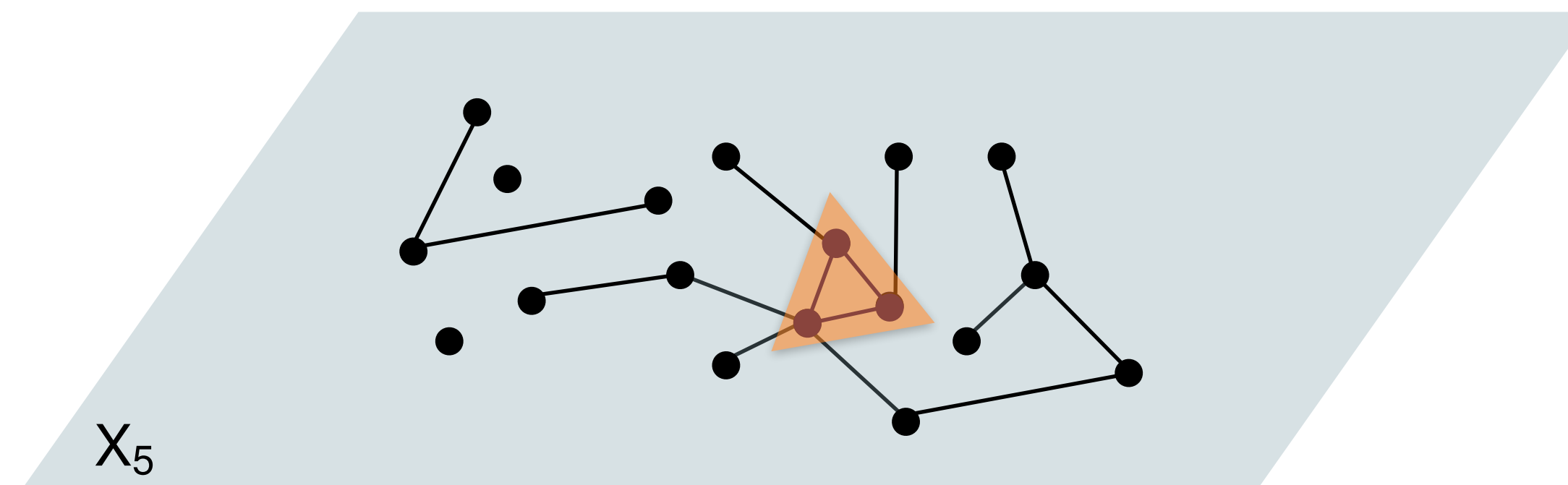


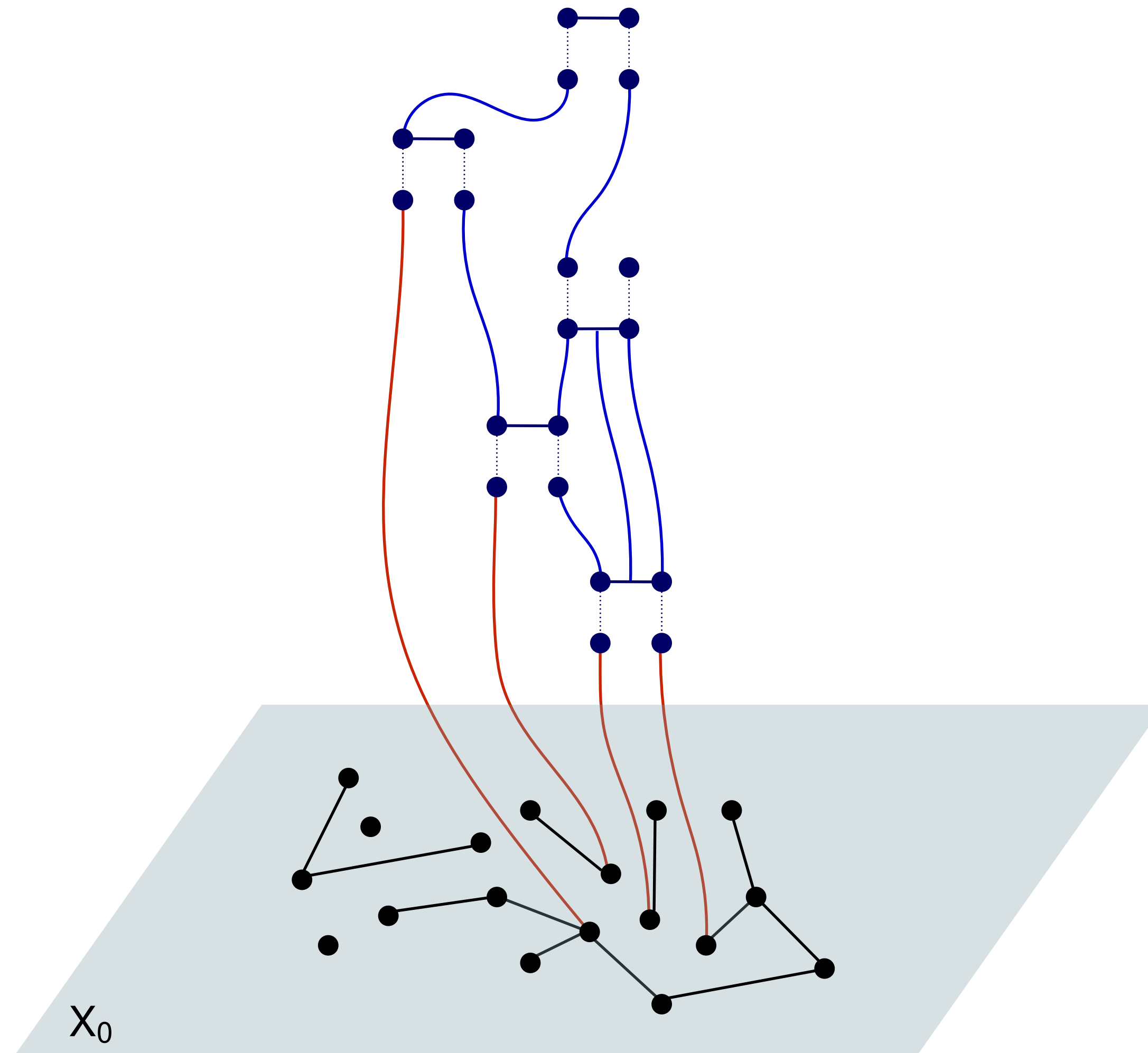
**categorical
rewriting theory**
(since the mid-70's)

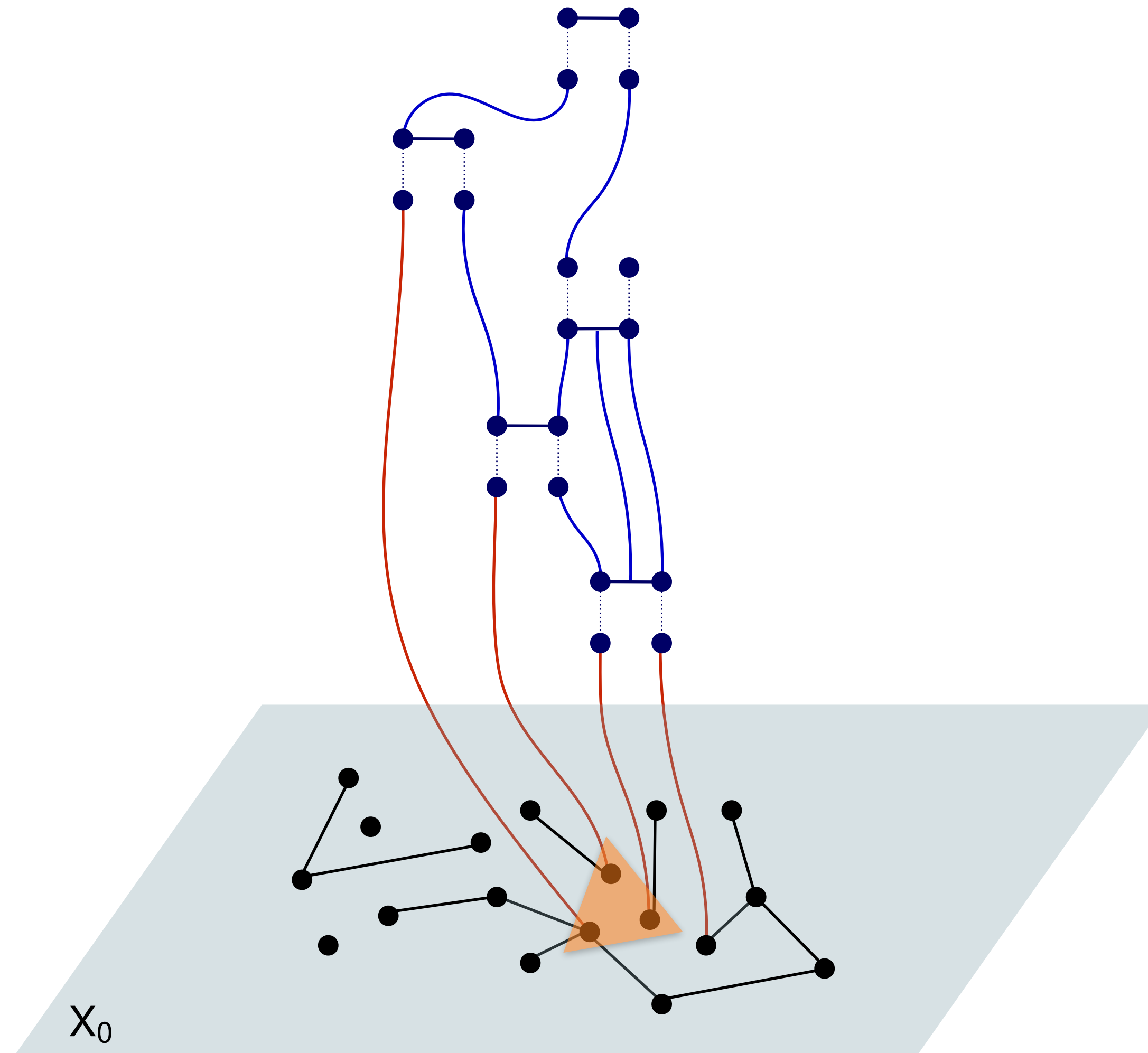


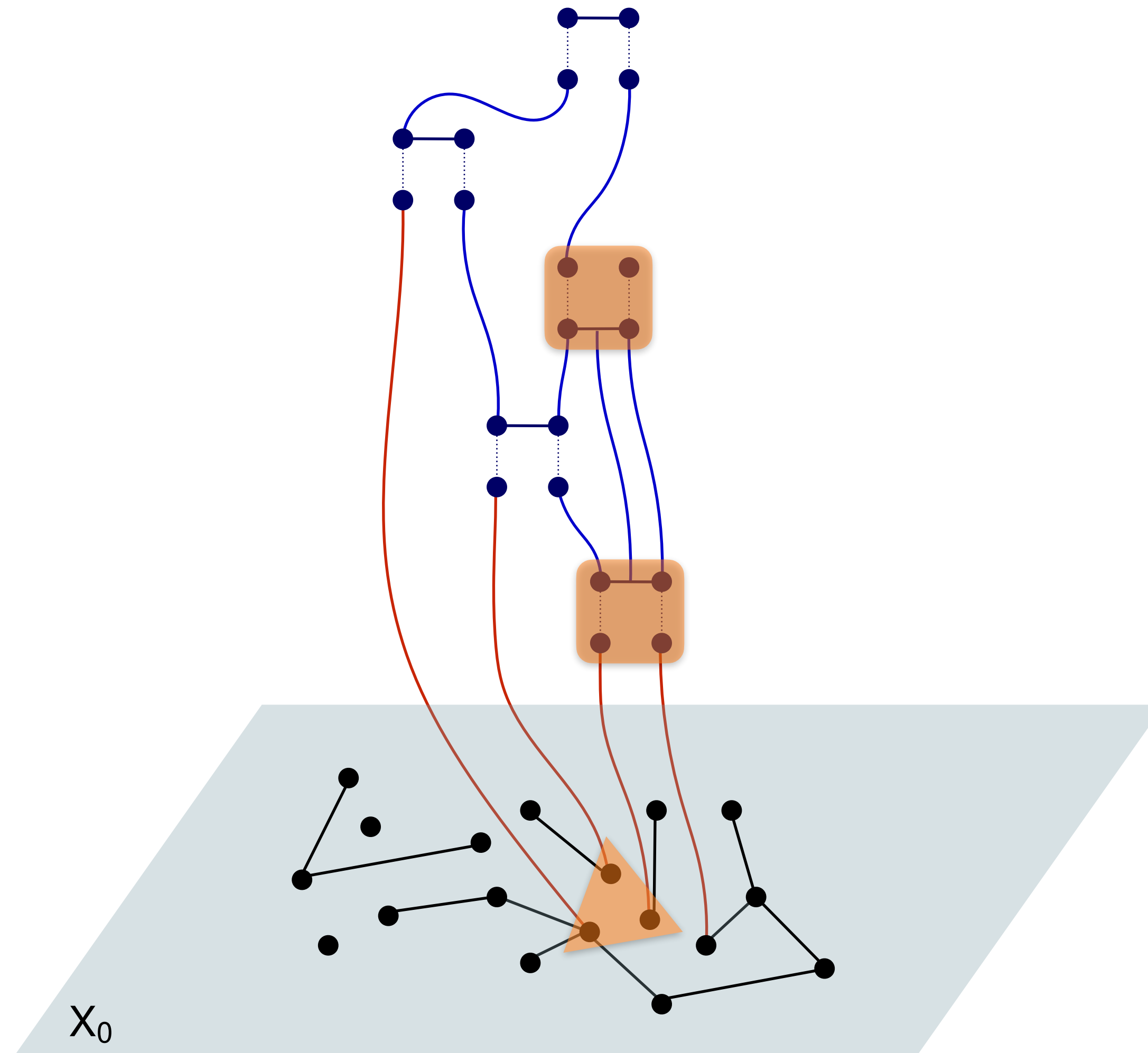


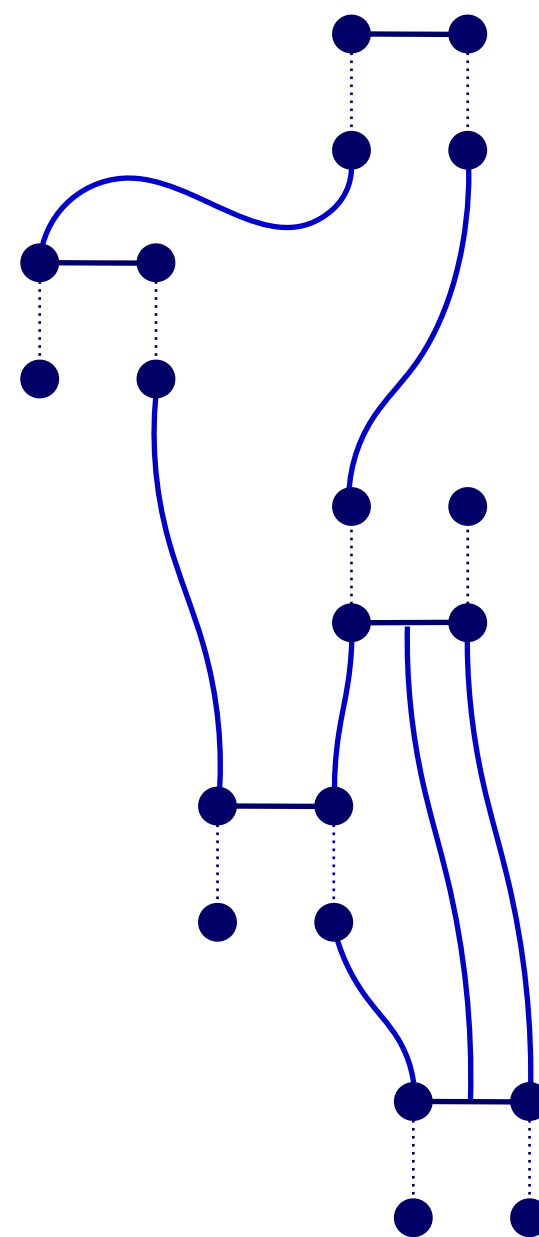
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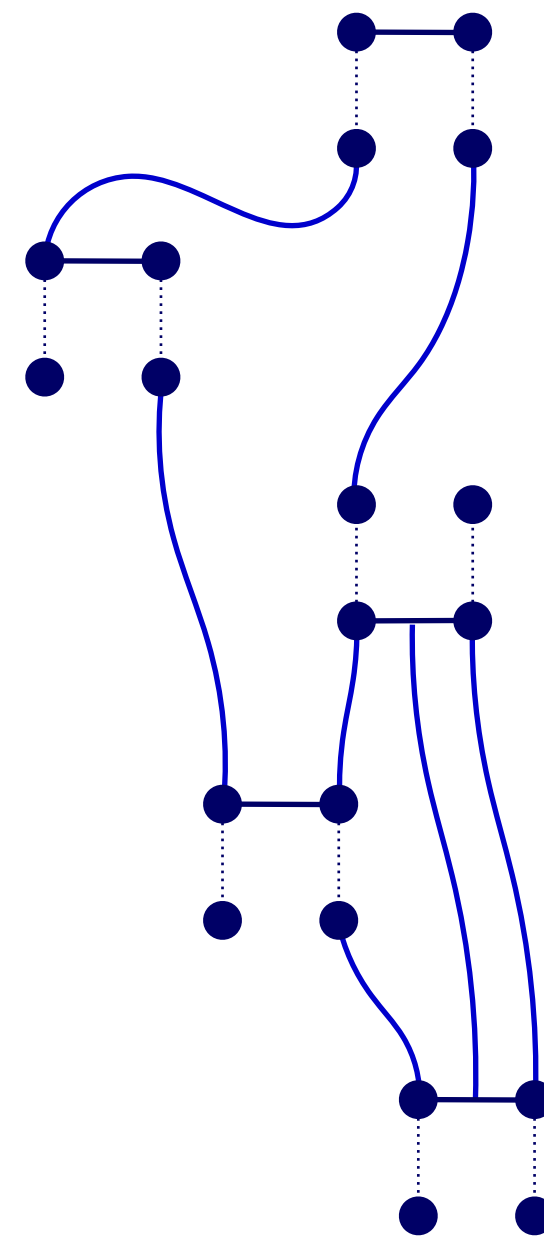












a **TRACELET**
(of length 5)

The suitable notion of **rule compositions**

Definition 3. Let $R_1, R_2 \in \overline{\text{Lin}}(\mathbf{C})_{\sim}$ be two equivalence classes of rules with conditions, and let $r_j \in \text{Lin}(\mathbf{C})$ and $\mathbf{c}_{I_j}$ be concrete representatives of R_j (for $j = 1, 2$). For $\mathbb{T} \in \{\text{DPO}, \text{SqPO}\}$, an $\mathcal{M}$ -span $\mu = (I_2 \leftarrow M_{21} \hookrightarrow O_1)$ (i.e. with $(M_{21} \hookrightarrow O_1), (M_{21} \hookrightarrow I_2) \in \mathcal{M}$) is a **$\mathbb{T}$ -admissible match of R_2 into R_1** if the diagram below is constructable (with $\mathbf{N}_{21}$ constructed by taking pushout)

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and if $c_{I_{21}} \neq \text{false}$. Here, the condition $c_{I_{21}}$ is computed as

$$c_{I_{21}} := \text{Shift}(I_1 \hookrightarrow I_{21}, c_{I_1}) \wedge \text{Trans}(N_{21} \leftarrow I_{21}, \text{Shift}(I_2 \hookrightarrow N_{21}, c_{I_2})) . \quad (5)$$

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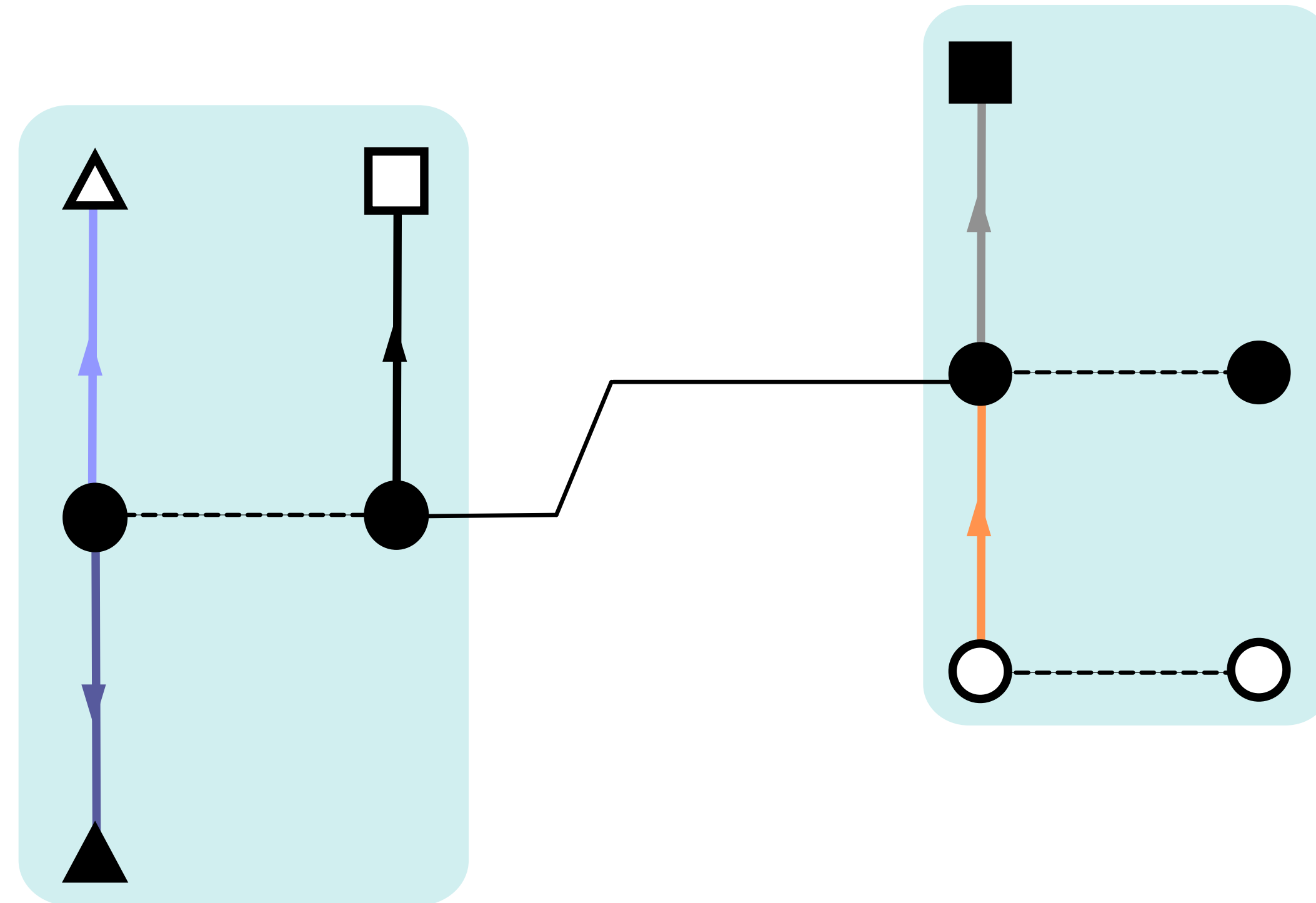
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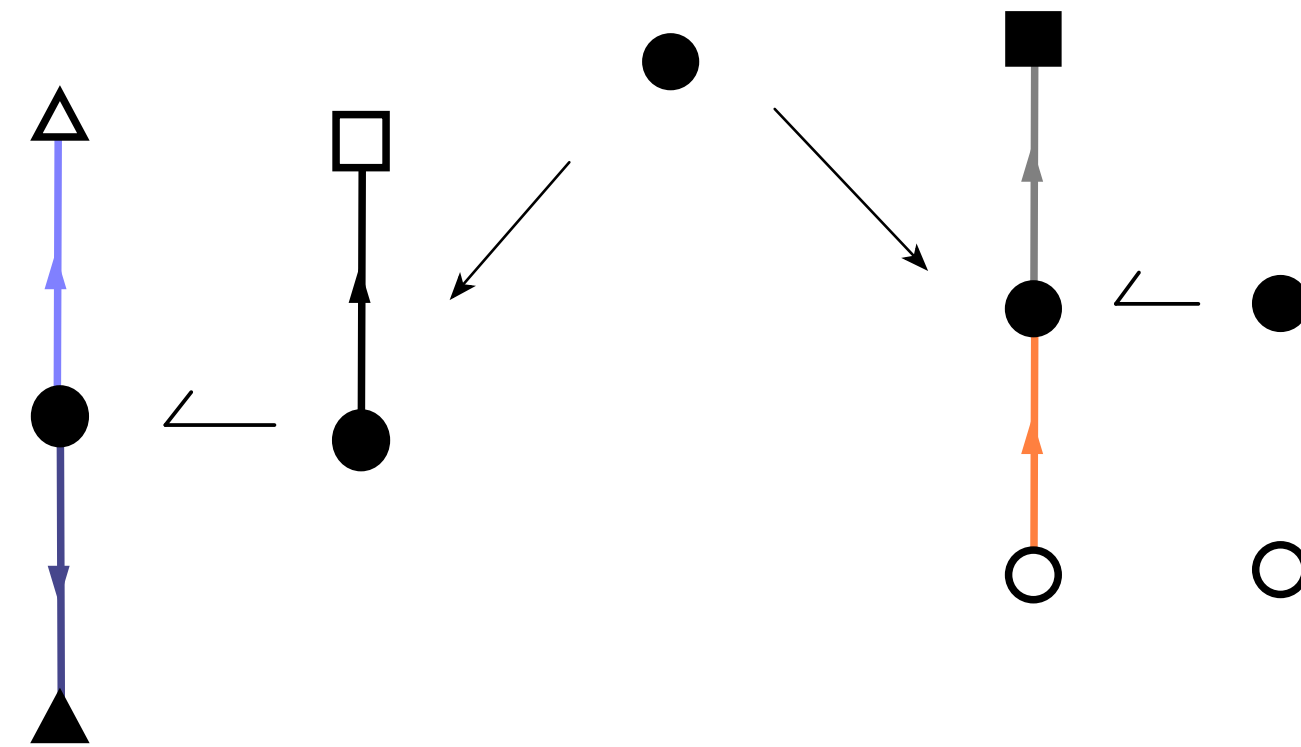
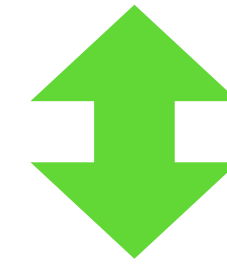
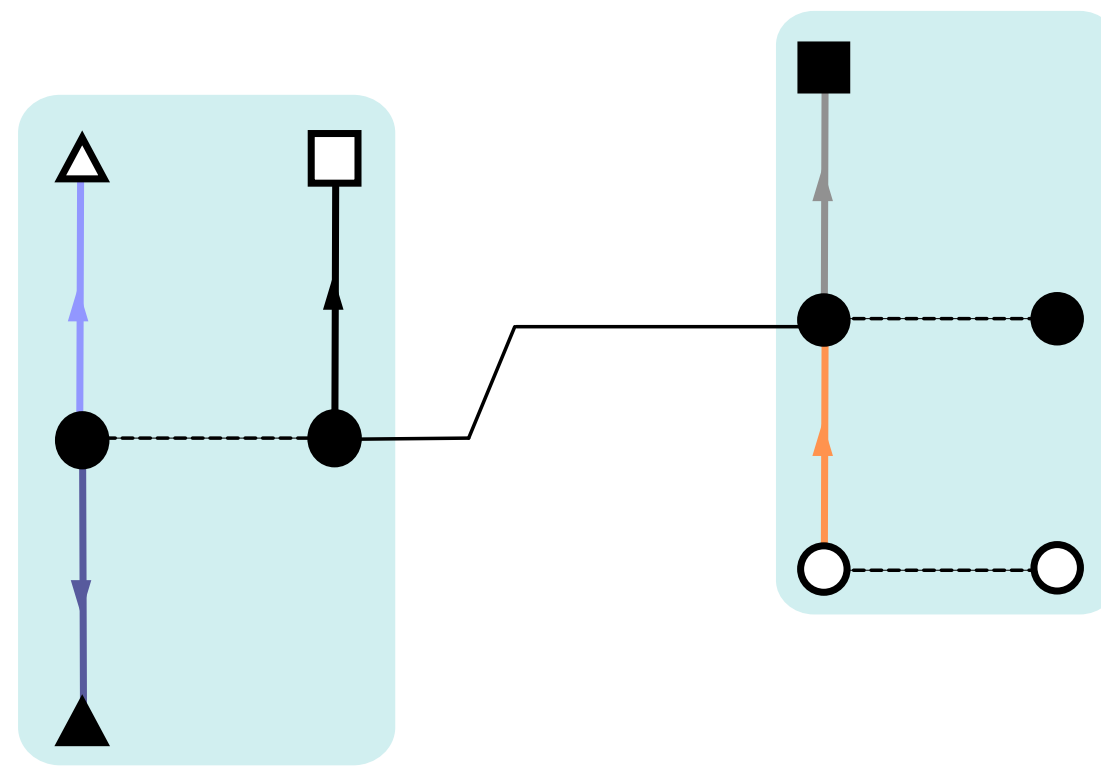
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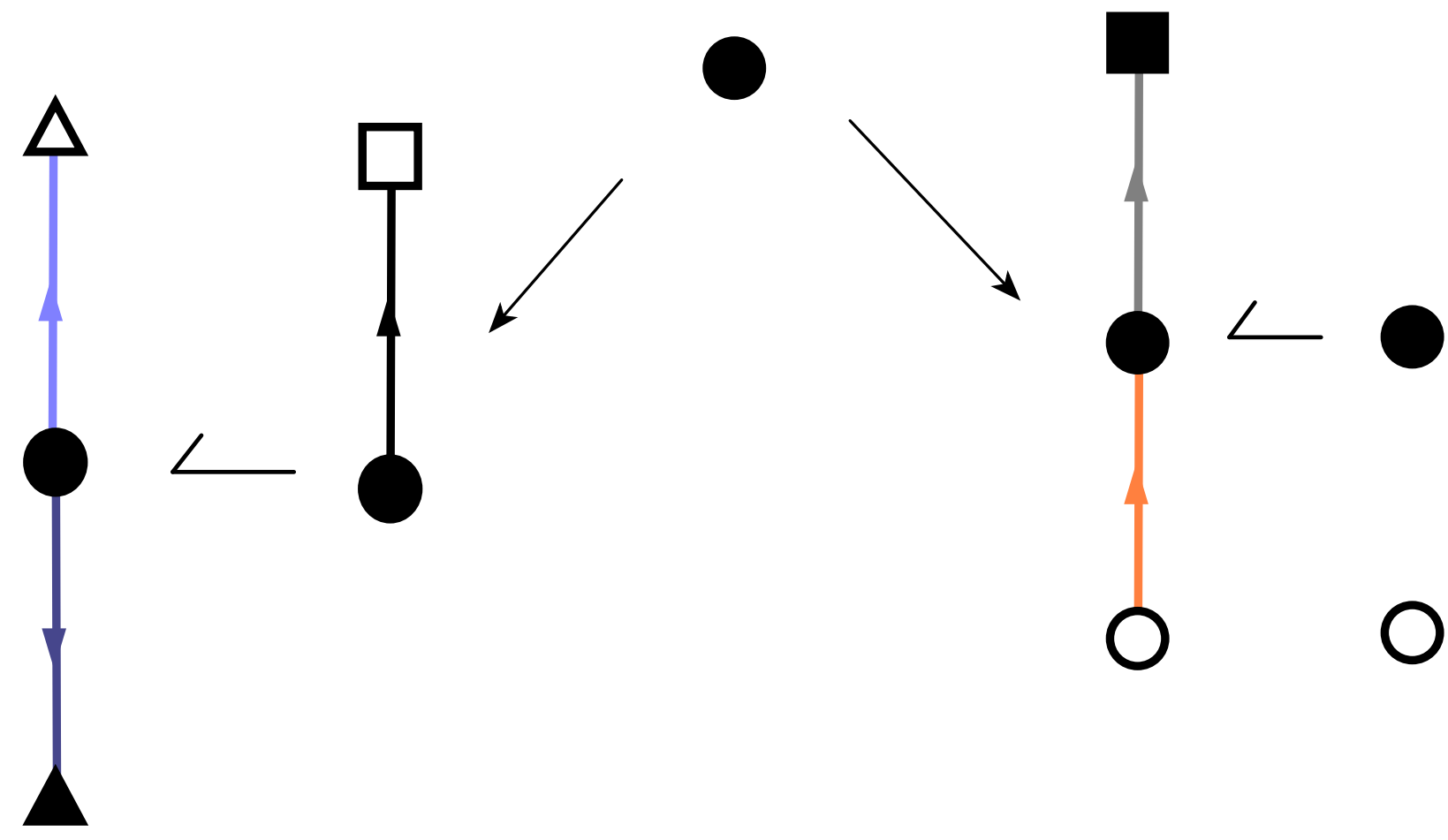
In this case, we define the **type $\mathbb{T}$ composition of R_2 with R_1 along μ** , denoted $R_2^{\mu \triangleleft_{\mathbb{T}}} R_1$, as

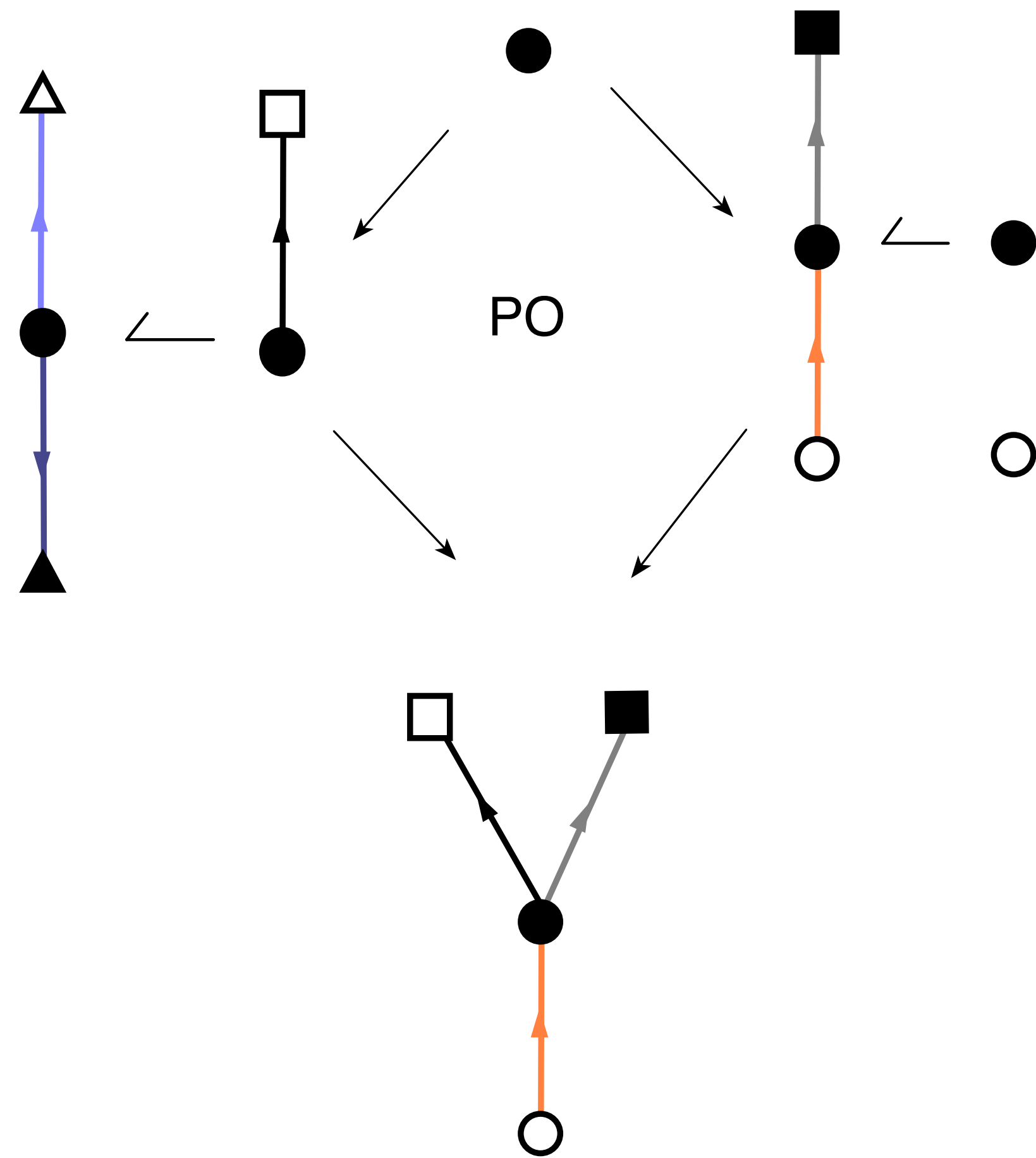
$$R_2^{\mu \triangleleft_{\mathbb{T}}} R_1 := [(O_{21} \leftarrow I_{21}; \mathbf{c}_{I_{21}})]_\sim, \quad (6)$$

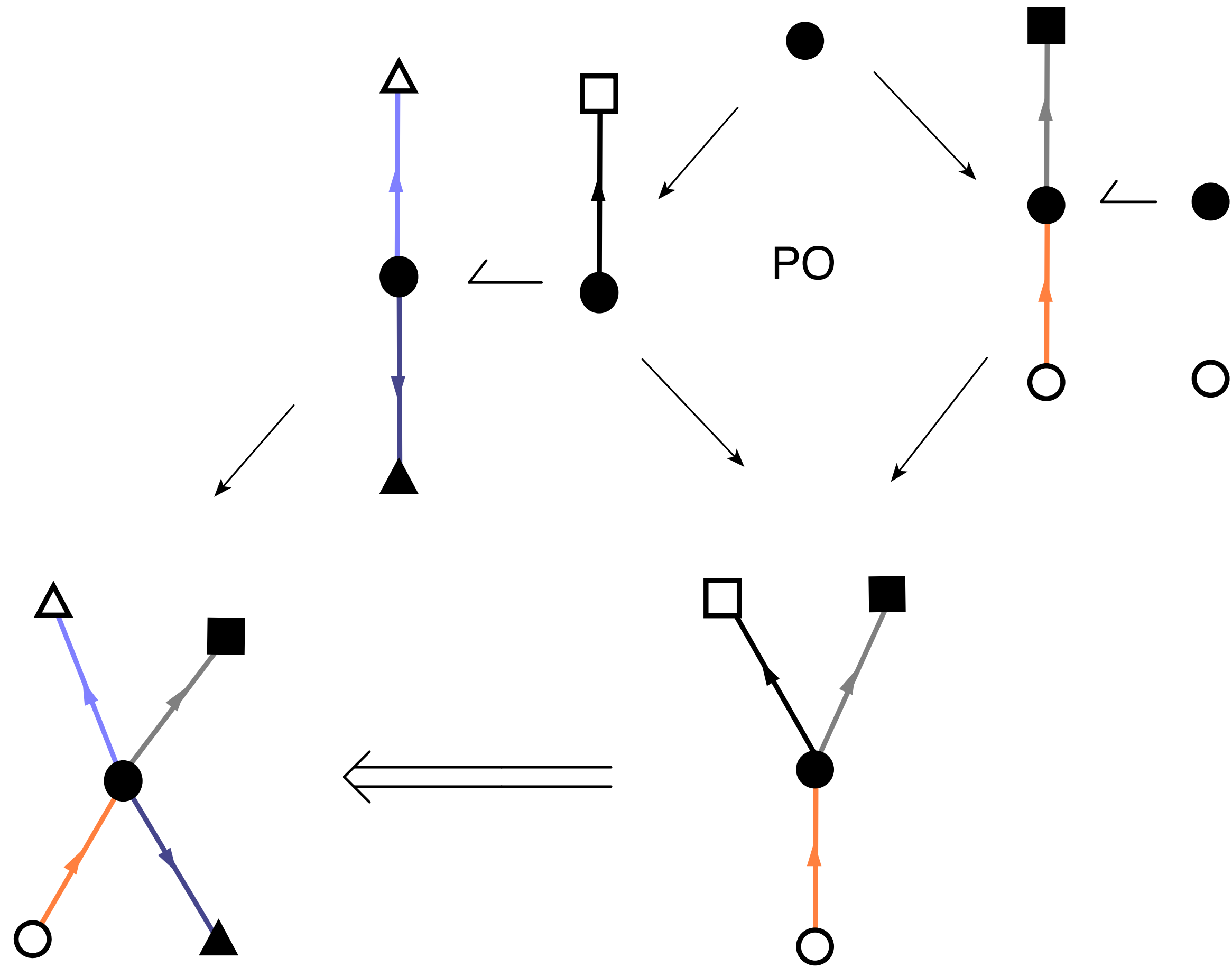
where $(O_{21} \leftarrow I_{21}) := (O_{21} \leftarrow N_{21}) \circ (N_{21} \leftarrow I_{21})$ (with $\circ$ the **span composition** operation).

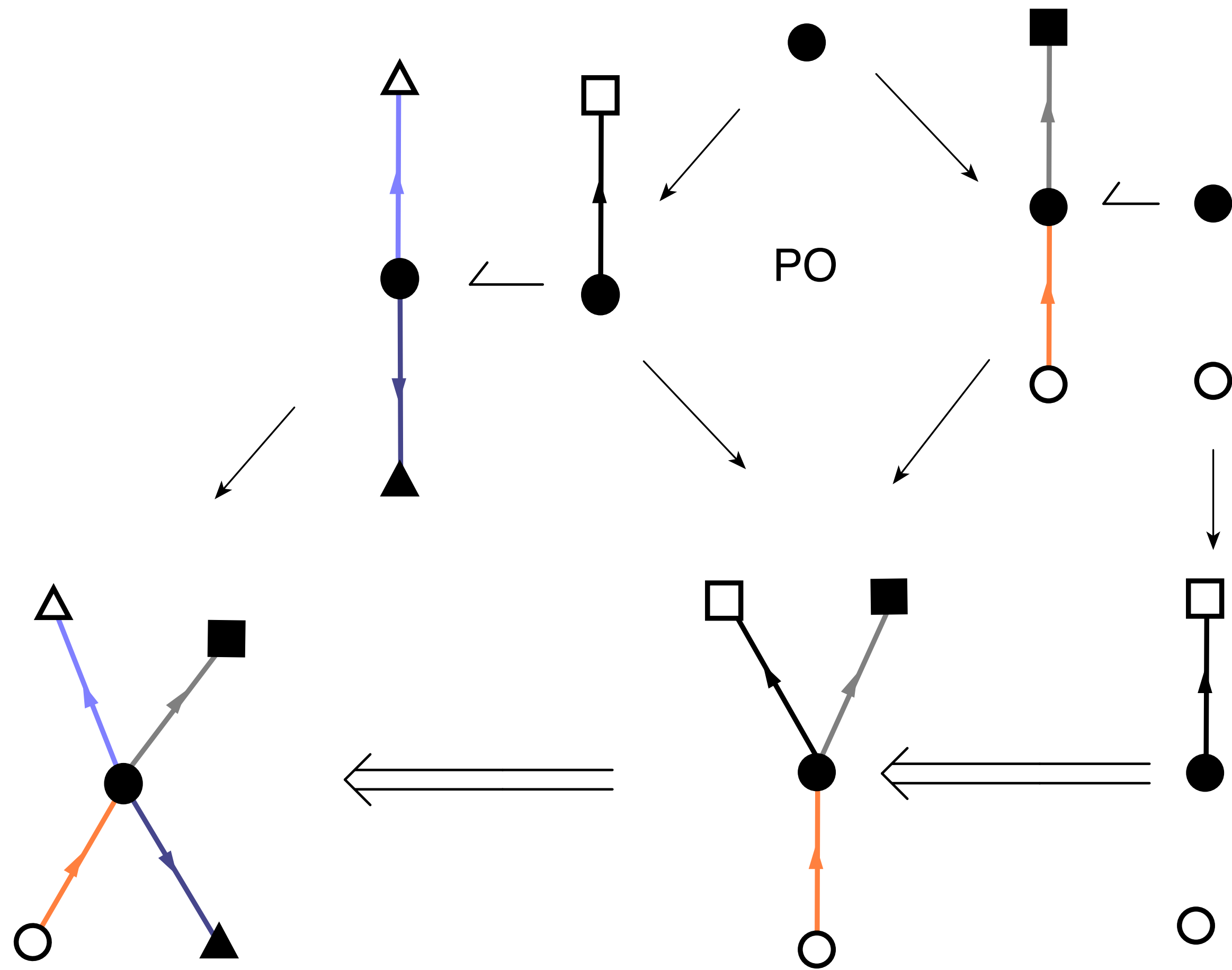












- I. Categorical Rewriting Theory
- II. Rule Algebra Framework**
- III. Rule-Algebraic Combinatorics

Physics insight: the **rule algebra** formalism

$$\left(0 \xrightarrow{r} 1\right) \rightsquigarrow \delta \left(0 \xrightarrow{r} 1\right)$$

a **rule** a **basis vector**
of a **vector space** $\mathcal{R}$

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Definition: the **rule algebra product** $*_{\mathcal{R}} : \mathcal{R} \times \mathcal{R} \rightarrow \mathcal{R}$ is defined via

$$\delta(r_2) *_{\mathcal{R}} \delta(r_1) := \sum_{\mu \in M_{r_2}(r_1)} \delta \left(r_2 \overset{\mu}{\blacktriangleleft} r_1 \right)$$

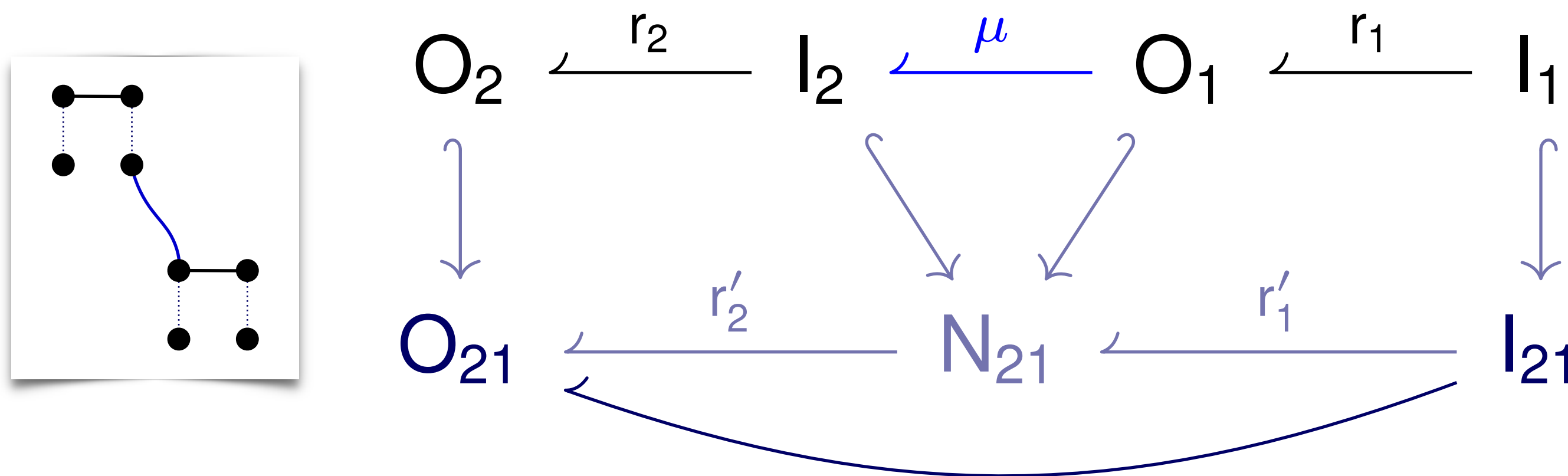
“sum over ways to compose the rules”

Physics insight: the **rule algebra** formalism

$$\begin{array}{ccc} \left(O \xrightarrow{r} I \right) & \rightsquigarrow & \delta \left(O \xrightarrow{r} I \right) \\ \text{a rule} & & \text{a basis vector} \\ & & \text{of a vector space } \mathcal{R} \end{array}$$

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$$r_2 \overset{\mu}{\blacktriangleleft} r_1 := r'_2 \text{ or } r'_1$$

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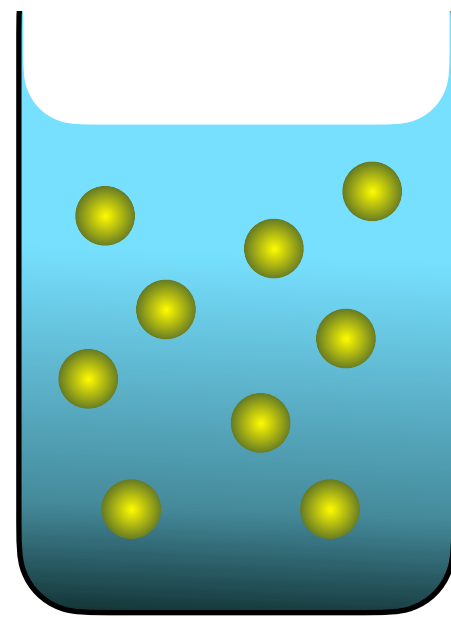
Theorem LICS 2016, CSL 2018, GCM 2019, LMCS 2020, ICGT 2020

The **rule algebra** $(\mathcal{R}, *_{\mathcal{R}})$ is an **associative unital algebra**,
with **unit element** $\delta(\emptyset \leftarrow \emptyset)$.

$\Rightarrow$ a new fundamental tool in **rewriting theory**, **combinatorics**
and **concurrency theory**

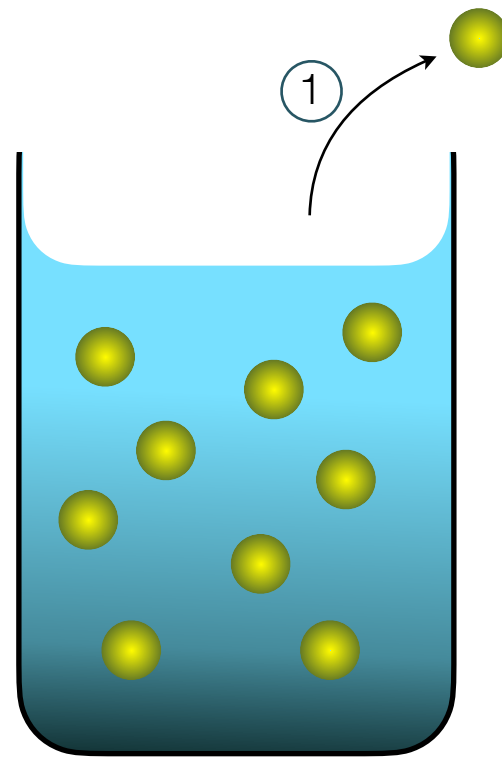
Mathematics of **chemical reactions**

Example: $2X \xrightarrow{\alpha} X \quad (\alpha \in \mathbb{R}_{>0})$



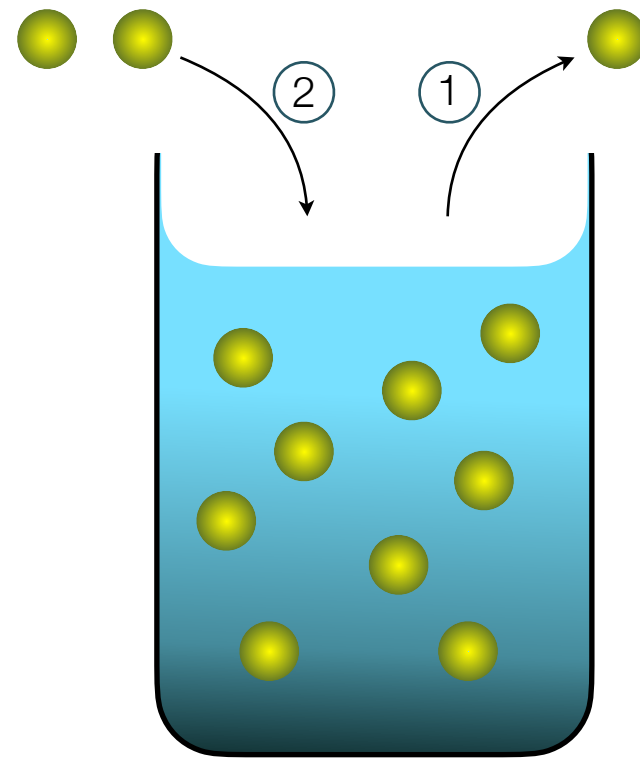
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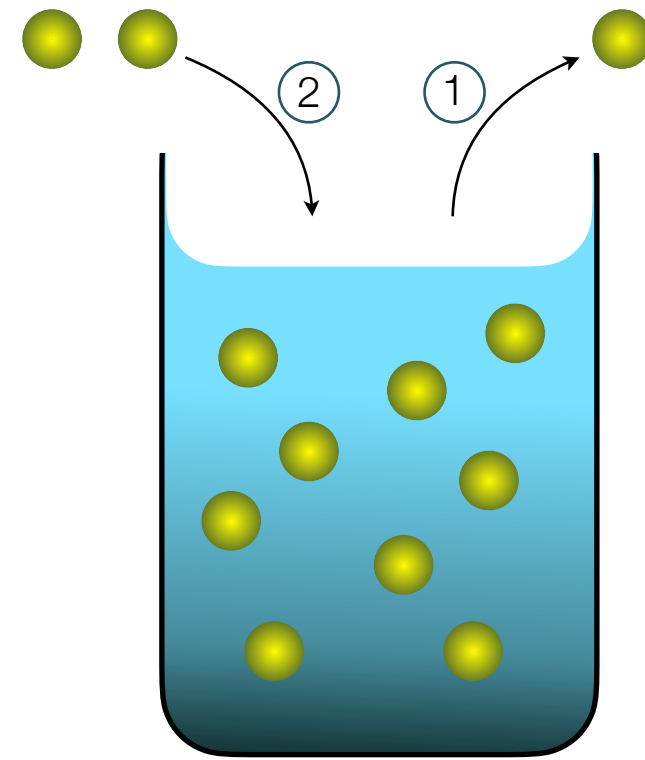
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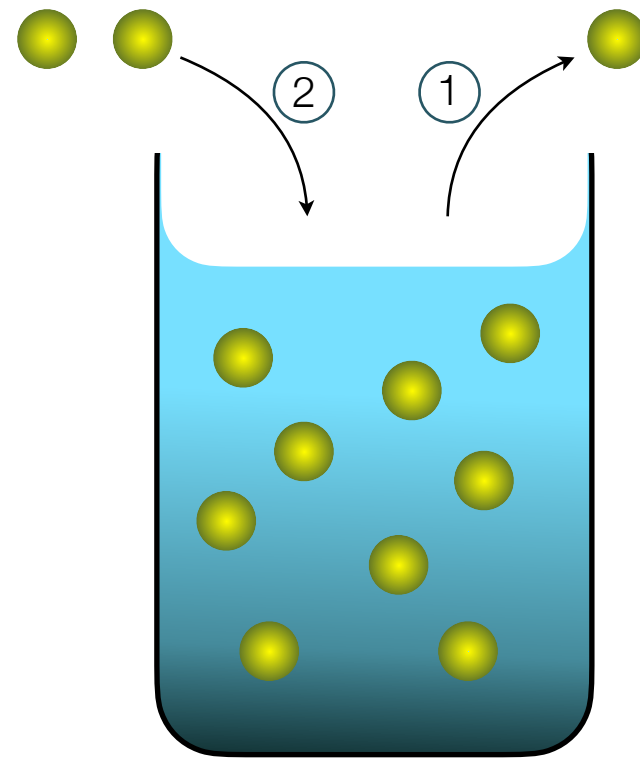
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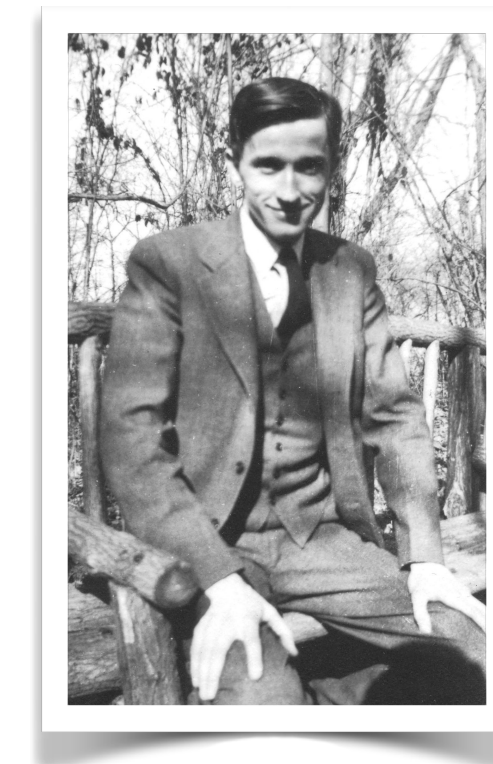


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Delbrück (1940): $P(t; x) := \sum_{n \geq 0} p_n(t) x^n$

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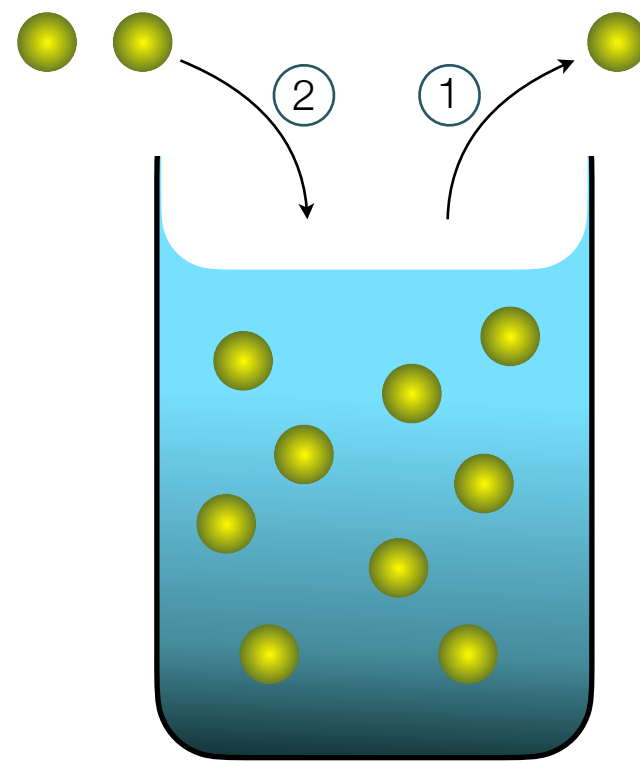
a linear operator...



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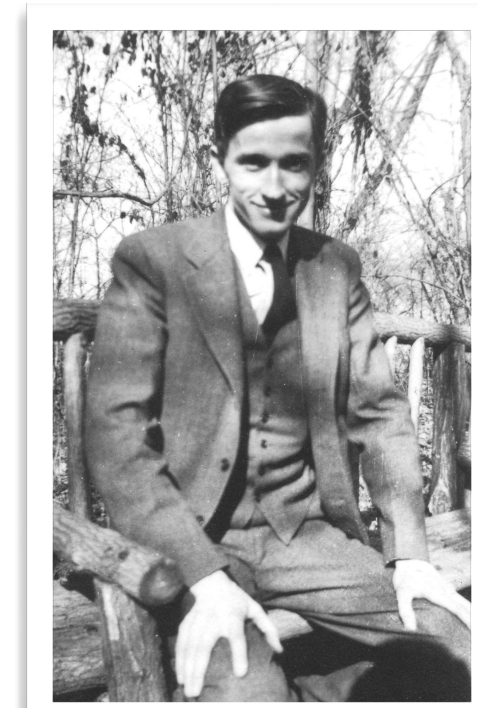
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$$\hat{x}(x^n) := x^{n+1}, \quad \partial_x(x^n) := \begin{cases} 0 & \text{if } n = 0 \\ n \cdot x^{n-1} & \text{if } n > 0 \end{cases}$$



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Observation: x^n — **basis vector** (of the vector space of polynomials in x)

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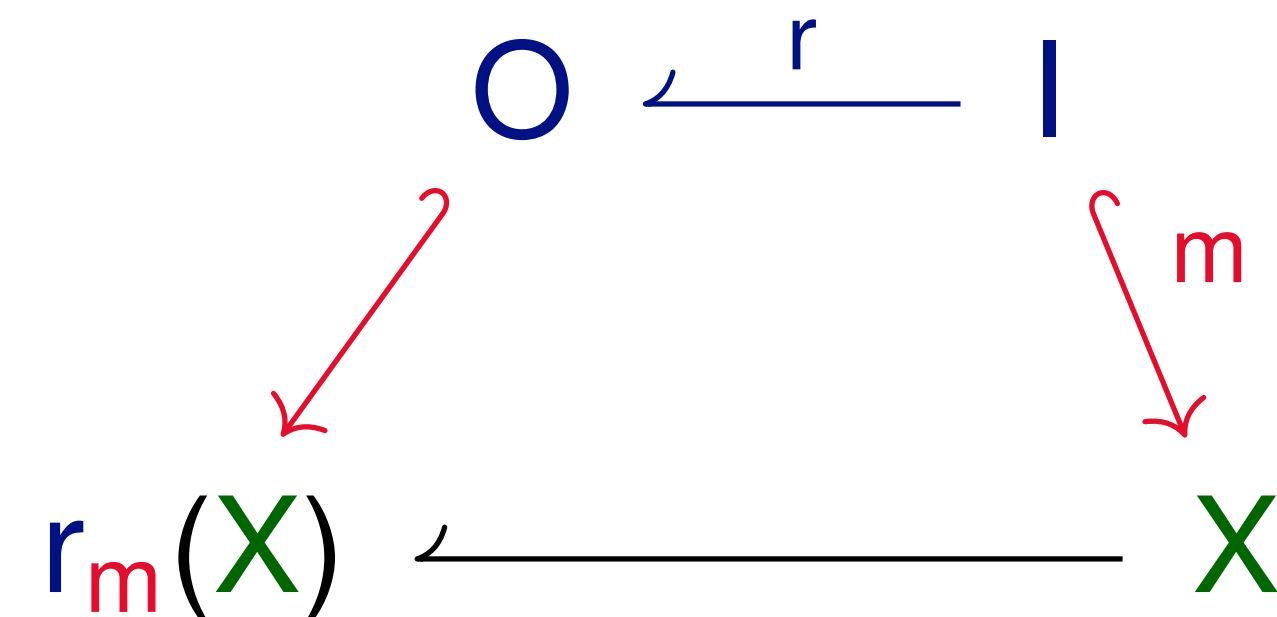
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Key step: from **rules** to **linear operators** on $\hat{C}$

$$\rho(\delta(r)) |X\rangle := \sum_{m \in M_r(X)} |r_m(X)\rangle$$

“sum over **all ways** to apply r to X ”



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Theorem

LiCS 2016, CSL 2018, GCM 2019, LMCS 2020, ICGT 2020

$\rho : \mathcal{R} \rightarrow \text{End}(\hat{\mathbf{C}})$ is a **representation** of the **rule algebra** $(\mathcal{R}, *_{\mathcal{R}})$, i.e.

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Application to the case of the reaction $2X \xrightarrow{\alpha} X \quad (\alpha \in \mathbb{R}_{>0})$

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Application to the case of the reaction $2X \xrightarrow{\alpha} X \quad (\alpha \in \mathbb{R}_{>0})$

$$\alpha (\rho(\delta(\bullet \bullet \leftarrow \bullet)) - \rho(\delta(\bullet \leftarrow \bullet))) \leftrightarrow \alpha (\hat{x}^2 \partial_x - \hat{x} \partial_x)$$

$\Rightarrow$ Delbrück's evolution operator **explained via rewriting theory!**

- I. Categorical Rewriting Theory
- II. Rule Algebra Framework
- III. Rule-Algebraic Combinatorics**

Defining combinatorial structures via **generators**

Definition 4. Consider a rewriting system over some suitable category **C** that consists of a **finite set of rules with conditions** $R_1, \dots, R_n \in \overline{\text{Lin}}(\mathbf{C})$. For some choice of parameters $\gamma_1, \dots, \gamma_n \in \mathbb{R}$, define a **linear operator**

$$\hat{G} := \sum_{j=1}^n \gamma_j \rho(\delta(R_j)) . \quad (7)$$

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$$\hat{G} := \sum_{j=1}^n \gamma_j \rho(\delta(R_j)). \quad (7)$$

Note: $\hat{G}$ has a natural interpretation as a linear operator that encodes “**application of the rules** $R_1, \dots, R_n$ **in all possible ways, and weighted by the parameters** $\gamma_1, \dots, \gamma_n$ ”, i.e. one may view $\hat{G}$ as the (weighted) **generator** of a (countable) **set of structures** $S_{\hat{G}}$,

$$S_{\hat{G}} := \bigcup_{n \geq 0} S_{\hat{G}}^{(n)}, \quad S_{\hat{G}}^{(n)} := \begin{cases} \{X_0\} & \text{if } n = 0 \\ \{X \in \text{obj}(\mathbf{C})_{\cong} \mid X_0 \Rightarrow_{(n)} X\} & \text{if } n > 0. \end{cases} \quad (8)$$

Here, $\{\Rightarrow_{(i)}\}_{i \geq 0}$ denotes the **reachability relation** on $\text{obj}(\mathbf{C})_{\cong}^{\times 2}$ with respect to the **initial configuration** $X_0 \in \text{obj}(\mathbf{C})_{\cong}$ and the **rule-set** $\{R_j\}_{j=1}^n$ used to define $\hat{G}$.

Running example: **planar rooted binary trees (PRBTs)**

$$\mathcal{T}_0 := \left\{ \begin{array}{c} \bullet \\ \downarrow I \\ \bullet \end{array} \right\}, \quad \mathcal{T}_1 := \left\{ \begin{array}{c} \bullet \quad \bullet \\ \swarrow L \quad \searrow R \\ \bullet \\ \downarrow I \\ \bullet \end{array} \right\}, \quad \mathcal{T}_2 := \left\{ \begin{array}{c} \bullet \quad \bullet \\ \swarrow L \quad \searrow R \\ \bullet \quad \bullet \\ \swarrow L \quad \searrow R \\ \bullet \\ \downarrow I \\ \bullet \end{array}, \begin{array}{c} \bullet \quad \bullet \\ \swarrow L \quad \searrow R \\ \bullet \quad \bullet \\ \swarrow L \quad \searrow R \\ \bullet \\ \downarrow I \\ \bullet \end{array} \right\}, \quad \dots$$

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The Rémy uniform generator in the rule-algebra formalism

$$\hat{G} := \hat{G}_L + \hat{G}_R, \quad \hat{G}_L := \sum_{T \in \{I, L, R\}} \rho \left(\delta \left(\begin{array}{c} \bullet \quad \bullet \\ \swarrow \searrow \\ \bullet \\ | \\ \bullet \end{array} \begin{array}{c} L \\ R \\ T \end{array} \leftrightarrow \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \hookrightarrow \begin{array}{c} \bullet \\ | \\ \bullet \end{array}^T ; \text{Shift} \left(\emptyset \hookrightarrow \begin{array}{c} \bullet \\ | \\ \bullet \end{array}^T, \mathcal{C}_{PBRT} \right) \right) \right)$$

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$$\hat{G}||\rangle = \sum_{t \in \mathcal{T}_1} 2! |t\rangle, \quad \forall t \in \mathcal{T}_1 : \hat{G}|t\rangle = \sum_{t' \in \mathcal{T}_2} 3! |t'\rangle, \quad \dots, \quad \forall t \in \mathcal{T}_n : \hat{G}|t\rangle = \sum_{t' \in \mathcal{T}_{n+1}} (n+2)! |t'\rangle$$

Counting **patterns** in combinatorial structures

Definition 5. Let $\mathbb{T} \in \{\text{DPO}, \text{SqPO}\}$ denote the rewriting semantics utilized. Then **pattern count observables** are defined as follows:

$$\hat{O}_{P,q;c_P} := \rho_{\mathbf{C}}^{\text{DPO}} \left(\delta \left(P \xleftarrow{q} Q \xrightarrow{q} P; c_P \right) \right), \quad \hat{O}_{P;c_P} := \rho_{\mathbf{C}}^{\text{SqPO}} \left(\delta \left(P \xleftarrow{id_P} P \xrightarrow{id_P} P; c_P \right) \right) \quad (9)$$

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To better understand the meaning of the above definitions, it is important to note the so-called **jump-closure properties** of DPO- and SqPO-types, respectively:

$$\begin{aligned} \forall R = \left(O \xleftarrow{o} K \xrightarrow{i} I, c_I \right) \in \overline{\text{Lin}}(\mathbf{C}) : \quad \langle | \rho_{\mathbf{C}}^{\mathbb{T}}(\delta(R)) \rangle &= \langle | \hat{O}_{\mathbb{T}}(\delta(R)) \rangle \\ \hat{O}_{\text{DPO}}(\delta(R)) &:= \hat{O}_{I,k;c_I}, \quad \hat{O}_{\text{SqPO}}(\delta(R)) := \hat{O}_{I;c_I}, \quad \langle | : \hat{\mathbf{C}} \rightarrow \mathbb{R} : |X\rangle \mapsto \langle | X \rangle := 1_{\mathbb{R}}. \end{aligned} \quad (10)$$

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$$\hat{O}_{\text{DPO}}(\delta(R)) := \hat{O}_{I,k;c_I}, \quad \hat{O}_{\text{SqPO}}(\delta(R)) := \hat{O}_{I;c_I}, \quad \langle | : \hat{\mathbf{C}} \rightarrow \mathbb{R} : |X\rangle \mapsto \langle |X\rangle := \mathbf{1}_{\mathbb{R}}.$$

In other words, $\hat{O}_{I,k;c_I}$ and $\hat{O}_{I;c_I}$ permit to **count** the number of matches of the rewriting rule $R = (O \xleftarrow{o} K \xrightarrow{i} I, c_I)$ in DPO- and SqPO-semantics, respectively. More explicitly, we find that

$$\langle | \hat{O}_{P,q;c_I} |X\rangle = |\mathcal{M}_{(P \xleftarrow{q} Q \xrightarrow{q} P; c_I)}^{\text{DPO}}(X)|, \quad \langle | \hat{O}_{P;c_I} |X\rangle = |\mathcal{M}_{(P \xleftarrow{id_P} P \xrightarrow{id_P} P; c_I)}^{\text{SqPO}}(X)|. \quad (11)$$

Counting **patterns** in combinatorial structures

Example 1. The simplest type of observables encountered in practice are the “**plain**” **pattern-counting observables** $\hat{O}_P = \hat{O}_{P, \text{id}_P; \text{true}} = \hat{O}_{P; \text{true}}$, with typical examples including

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Counting **patterns** in combinatorial structures

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More generally, for example in DPO rewriting the variant $\hat{O}_{\emptyset \hookrightarrow \bullet, \text{true}}$ effectively counts **vertices that are not linked to any other vertices** via incident edges, while in both DPO- and SqPO-rewriting the linear operator

$$\hat{O}_{\bullet\bullet \hookrightarrow \bullet\bullet; \nexists(\bullet\bullet \hookrightarrow \bullet\bullet)} = \hat{O}_{\bullet\bullet; \nexists(\bullet\bullet \hookrightarrow \bullet\bullet)}$$

counts **pairs of vertices not linked by an edge**.

A rule-algebraic **generating-functionology**

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(12)

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Definition 6. Let $\hat{G}$ be a linear operator (the **generator**), let $\hat{O}_1, \dots, \hat{O}_m$ be a choice of (finitely many) **pattern observables**, and let $|X_0\rangle \in \hat{\mathbf{C}}$ denote the **initial state**

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$$\mathcal{G}(\lambda; \underline{\omega}) := \langle | e^{\underline{\omega} \cdot \hat{\mathbf{O}}} e^{\lambda \hat{G}} | X_0 \rangle \quad (12)$$

Here, we employed the shorthand notation $\underline{\omega} \cdot \hat{\mathbf{O}} := \sum_{j=1}^m \omega_j \hat{O}_j$, and λ as well as $\omega_1, \dots, \omega_m$ are **formal variables**.

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- Since we assume X_0 to be a **finite object**, clearly each of the sets $S_{\hat{G}}^{(n)}$ is of finite cardinality.
- The coefficients $g_n = \langle | \hat{G}^n | X_0 \rangle$ are evidently of finite value as well, which in summary permits the following **repartition** of the formal power series $\mathcal{G}(\lambda; \underline{0})$:

$$\mathcal{G}(\lambda; \underline{0}) = \sum_{n \geq 0} \frac{\lambda^n}{n!} \sum_{X \in S_{\hat{G}}^{(n)}} g_n(X), \quad g_n(X) := \langle X | \hat{G}^n | X_0 \rangle \quad (13)$$

Consequently, the configurations $X \in S_{\hat{G}}^{(n)}$ may be seen as the **combinatorial structures contained in the n -th generation**, with $g_n(X)$ the **weight** of a configuration X in the n -th generation.

A rule-algebraic **generating-functionology**

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- For generic values of $\underline{\omega}$, $\mathcal{G}(\lambda; \underline{\omega})$ evaluates as follows:

$$\mathcal{G}(\lambda; \underline{\omega}) = \sum_{n \geq 0} \frac{\lambda^n}{n!} \langle | e^{\underline{\omega} \cdot \hat{O}} \hat{G}^n | X_0 \rangle = \sum_{n \geq 0} \frac{\lambda^n}{n!} \sum_{X \in S_{\hat{G}}^{(n)}} g_n(X) e^{\underline{\omega} \cdot N(X)}, \quad N_i(X) := \langle | \hat{O}_i | X \rangle . \quad (14)$$

Combinatorial evolution equations

The **formal EMGF evolution equation** for $\mathcal{G}(\lambda; \underline{\omega})$ reads as follows:

$$\frac{\partial}{\partial \lambda} \mathcal{G}(\lambda; \underline{\omega}) = \langle | \left(e^{ad_{\underline{\omega} \cdot \hat{O}}} \hat{G} \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | X_0 \rangle \quad (ad_A(B) := AB - BA) \quad (15)$$

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Applying the version of the **jump-closure theorem** appropriate for the chosen rewriting semantics (DPO or SqPO), the above formal evolution equation may be converted into a proper **evolution equation on formal power series** if the following **polynomial jump-closure** holds:

$$(PJC') \quad \forall q \in \mathbb{Z}_{\geq 0} : \exists \underline{N(n)} \in \mathbb{Z}_{\geq 0}^m, \gamma_q(\underline{\omega}, \underline{k}) \in \mathbb{R} : \langle | ad_{\underline{\omega} \cdot \hat{O}}^{\circ q}(\hat{G}) = \sum_{\underline{k}=0}^{\underline{N(q)}} \gamma_{\underline{k}}(\underline{\omega}, \underline{k}) \langle | \hat{O}^{\underline{k}} \quad (16)$$

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If a given set of observables satisfies (PJC'), the **formal evolution equation** (12) for the EMGF $\mathcal{G}(\lambda; \underline{\omega})$ may be refined into

$$\frac{\partial}{\partial \lambda} \mathcal{G}(\lambda; \underline{\omega}) = \mathbb{G}(\underline{\omega}, \underline{\partial \omega}) \mathcal{G}(\lambda; \underline{\omega}), \quad \mathbb{G}(\underline{\omega}, \underline{\partial \omega}) = \left(\langle | e^{ad_{\underline{\omega} \cdot \hat{O}}}(\hat{G}) \right) \Big|_{\hat{O} \mapsto \underline{\partial \omega}}. \quad (17)$$

Running example: **planar rooted binary trees (PRBTs)**

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Running example: **planar rooted binary trees (PRBTs)**

$$\hat{O}_E := \text{root} := \sum_{T \in \{I, L, R\}} \rho \left(\delta \left(\text{root}_T \leftarrow \text{root}_T \hookrightarrow \text{root}_T ; \text{true} \right) \right)$$

$$[\hat{O}_E, \hat{G}] = \left[| + \backslash + / , \text{root}_* + \text{root}_* \right] = \text{root}_* + \text{root}_* + \text{root}_* + \text{root}_* + \dots - \dots = 2\hat{G}$$

Running example: **planar rooted binary trees (PRBTs)**

$$\hat{O}_E := \text{root} := \sum_{T \in \{I, L, R\}} \rho \left(\delta \left(\text{root}_T \leftarrow \text{root}_T \hookrightarrow \text{root}_T ; \text{true} \right) \right)$$

$$[\hat{O}_E, \hat{G}] = \left[\text{root} + \backslash + / , \text{root} + \text{root} \right] = \text{root} + \text{root} + \text{root} + \text{root} + \dots - \dots = 2\hat{G}$$

$$\langle | \hat{G} = 2 \langle | \hat{O}_E$$

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$$\langle | \hat{G} = 2 \langle | \hat{O}_E$$

$$\begin{cases} \frac{\partial}{\partial \lambda} \mathcal{G}(\lambda; \varepsilon) = 2e^{2\varepsilon} \frac{\partial}{\partial \varepsilon} \mathcal{G}(\lambda; \varepsilon) \\ \mathcal{G}(0; \varepsilon) = \langle | e^{\varepsilon \hat{O}_E} | | \rangle = e^{\varepsilon} \end{cases} \Rightarrow \mathcal{G}(\lambda; \varepsilon) = \frac{1}{\sqrt{e^{-2\varepsilon} - 4\lambda}} = \sum_{n \geq 0} \frac{\lambda^n}{n!} \left(\frac{(2n)!}{n!} e^{\varepsilon(2n+1)} \right)$$

Running example: **planar rooted binary trees (PRBTs)**

$$\hat{O}_{P1} := \begin{array}{c} \diagup \quad \diagdown \\ | \\ * \end{array} \equiv \sum_{T \in \{I, L, R\}} \begin{array}{c} \diagup \quad \diagdown \\ | \\ T \end{array},$$

$$\hat{O}_{P2} := \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ | \\ * \end{array} \equiv \sum_{T \in \{I, L, R\}} \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ | \\ T \end{array},$$

$$\hat{O}_{P3} := \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ | \\ * \end{array} \equiv \sum_{T \in \{I, L, R\}} \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ \diagup \quad \diagdown \\ | \\ T \end{array}$$

Running example: **planar rooted binary trees (PRBTs)**

$$\hat{O}_{P1} := \text{Y-shape with root } * \equiv \sum_{T \in \{I, L, R\}} \text{Y-shape with root } T,$$

$$\hat{O}_{P2} := \text{Y-shape with root } * \equiv \sum_{T \in \{I, L, R\}} \text{Y-shape with root } T,$$

$$\hat{O}_{P3} := \text{Y-shape with root } * \equiv \sum_{T \in \{I, L, R\}} \text{Y-shape with root } T,$$

$$[\hat{O}_{P2}, \hat{G}] = \text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} - \text{Diagram 4} - \text{Diagram 5}$$

$$\hat{R}_{P3'} := \text{Diagram 6}$$

$$[\hat{O}_{P3}, \hat{G}] = \text{Diagram 7} + \text{Diagram 8} + \text{Diagram 9} + \text{Diagram 10} - \text{Diagram 11} - \text{Diagram 12} - \text{Diagram 13} - \hat{R}_{P3'}$$

$$[\hat{O}_{P2}, [\hat{O}_{P2}, \hat{G}]] = [\hat{O}_{P2}, \hat{G}], \quad [\hat{O}_{P2}, [\hat{O}_{P3}, \hat{G}]] = [\hat{O}_{P3}, \hat{G}] + \hat{R}_{P3}$$

$$[\hat{O}_{P3}, [\hat{O}_{P3}, \hat{G}]] = [\hat{O}_{P3}, \hat{G}] + 2\hat{R}_{P3'}, \quad [\hat{O}_{P2}, \hat{R}_{P3'}] = 0, \quad [\hat{O}_{P3}, \hat{R}_{P3'}] = -\hat{R}_{P3'}$$

$$\langle | [\hat{O}_{P2}, \hat{G}] = \langle | (3\hat{O}_{P1} - 2\hat{O}_{P2}), \quad \langle | [\hat{O}_{P3}, \hat{G}] = \langle | (4\hat{O}_{P2} - 3\hat{O}_{P3}), \quad \langle | \hat{R}_{P3'} = \langle | \hat{O}_{P3}$$

Running example: **planar rooted binary trees (PRBTs)**

$$\hat{O}_{P1} := \text{Y-shape with root } * \equiv \sum_{T \in \{I, L, R\}} \text{Y-shape with root } T,$$

$$\hat{O}_{P2} := \text{Y-shape with root } * \equiv \sum_{T \in \{I, L, R\}} \text{Y-shape with root } T,$$

$$\hat{O}_{P3} := \text{Y-shape with root } * \equiv \sum_{T \in \{I, L, R\}} \text{Y-shape with root } T,$$



$$\mathcal{G}(\lambda; \underline{\omega}) := \langle | e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle, \quad \underline{\omega} \cdot \hat{O} := \varepsilon \hat{O}_E + \gamma \hat{O}_{P1} + \mu \hat{O}_{P2} + \nu \hat{O}_{P3}$$

$$\frac{\partial}{\partial \lambda} \mathcal{G}(\lambda; \underline{\omega}) = \langle | \left(e^{ad_{\underline{\omega} \cdot \hat{O}}}(\hat{G}) \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle \stackrel{(*)}{=} \langle | \left(e^{ad_{\nu \hat{O}_{P3}}} \left(e^{ad_{\mu \hat{O}_{P2}}} \left(e^{ad_{\varepsilon \hat{O}_E + \gamma \hat{O}_{P1}}}(\hat{G}) \right) \right) \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle$$

$$= e^{2\varepsilon + \gamma} \langle | \left(e^{ad_{\nu \hat{O}_{P3}}} \left(e^{ad_{\mu \hat{O}_{P2}}}(\hat{G}) \right) \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle$$

$$= e^{2\varepsilon + \gamma} \langle | \left(e^{ad_{\nu \hat{O}_{P3}}} \left(\hat{G} + (e^\mu - 1)[\hat{O}_{P2}, \hat{G}] \right) \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle$$

$$= e^{2\varepsilon + \gamma} \langle | \left(\hat{G} + (e^\mu - 1)[\hat{O}_{P2}, \hat{G}] + e^\mu (e^\nu - 1)[\hat{O}_{P3}, \hat{G}] + (e^\nu - 1)(e^\mu - e^{-\nu})\hat{R}_{P3'} \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle$$

$$= e^{2\varepsilon + \gamma} \langle | \left(2\hat{O}_E + 3(e^\mu - 1)\hat{O}_{P1} + (4e^{\mu+\nu} - 6e^\mu + 2)\hat{O}_{P2} + (3e^\mu + e^{-\nu} - 3e^{\mu+\nu} - 1)\hat{O}_{P3} \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle$$

$$= e^{2\varepsilon + \gamma} \langle | \left(2\frac{\partial}{\partial \varepsilon} + 3(e^\mu - 1)\frac{\partial}{\partial \gamma} + (4e^{\mu+\nu} - 6e^\mu + 2)\frac{\partial}{\partial \mu} + (3e^\mu + e^{-\nu} - 3e^{\mu+\nu} - 1)\frac{\partial}{\partial \nu} \right) e^{\underline{\omega} \cdot \hat{O}} e^{\lambda \hat{G}} | | \rangle$$

$$[\hat{O}_{P2}, \hat{G}] = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} - \text{diagram 4} - \text{diagram 5}$$

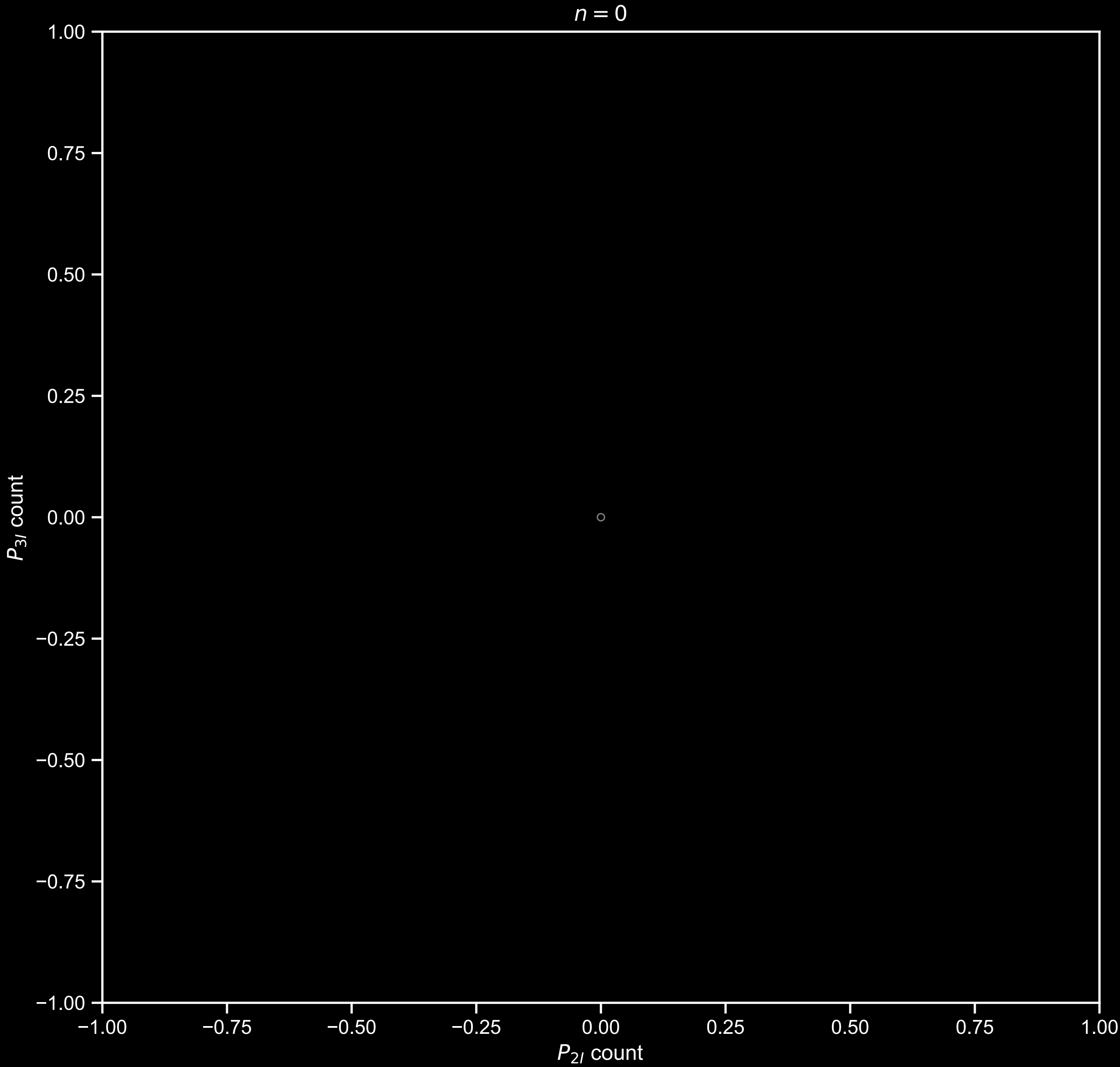
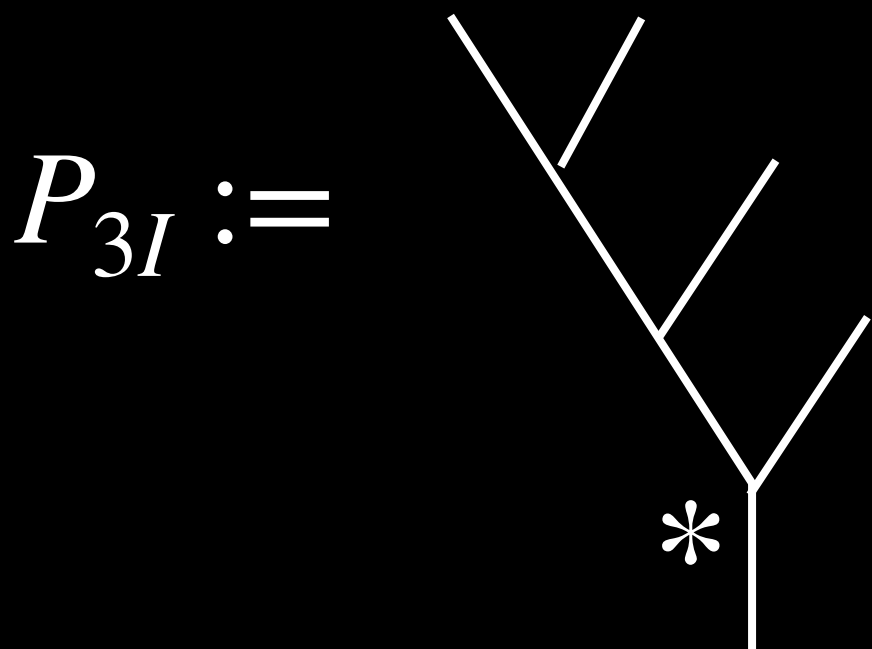
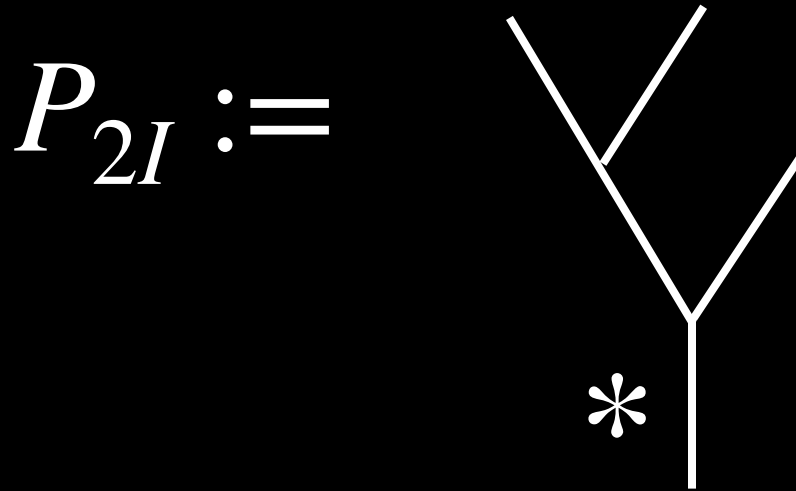
$$[\hat{O}_{P3}, \hat{G}] = \text{diagram 6} + \text{diagram 7} + \text{diagram 8} + \text{diagram 9} - \text{diagram 10} - \text{diagram 11} - \text{diagram 12} - \hat{R}_{P3'}$$

$$\hat{R}_{P3'} := \text{diagram 13}$$

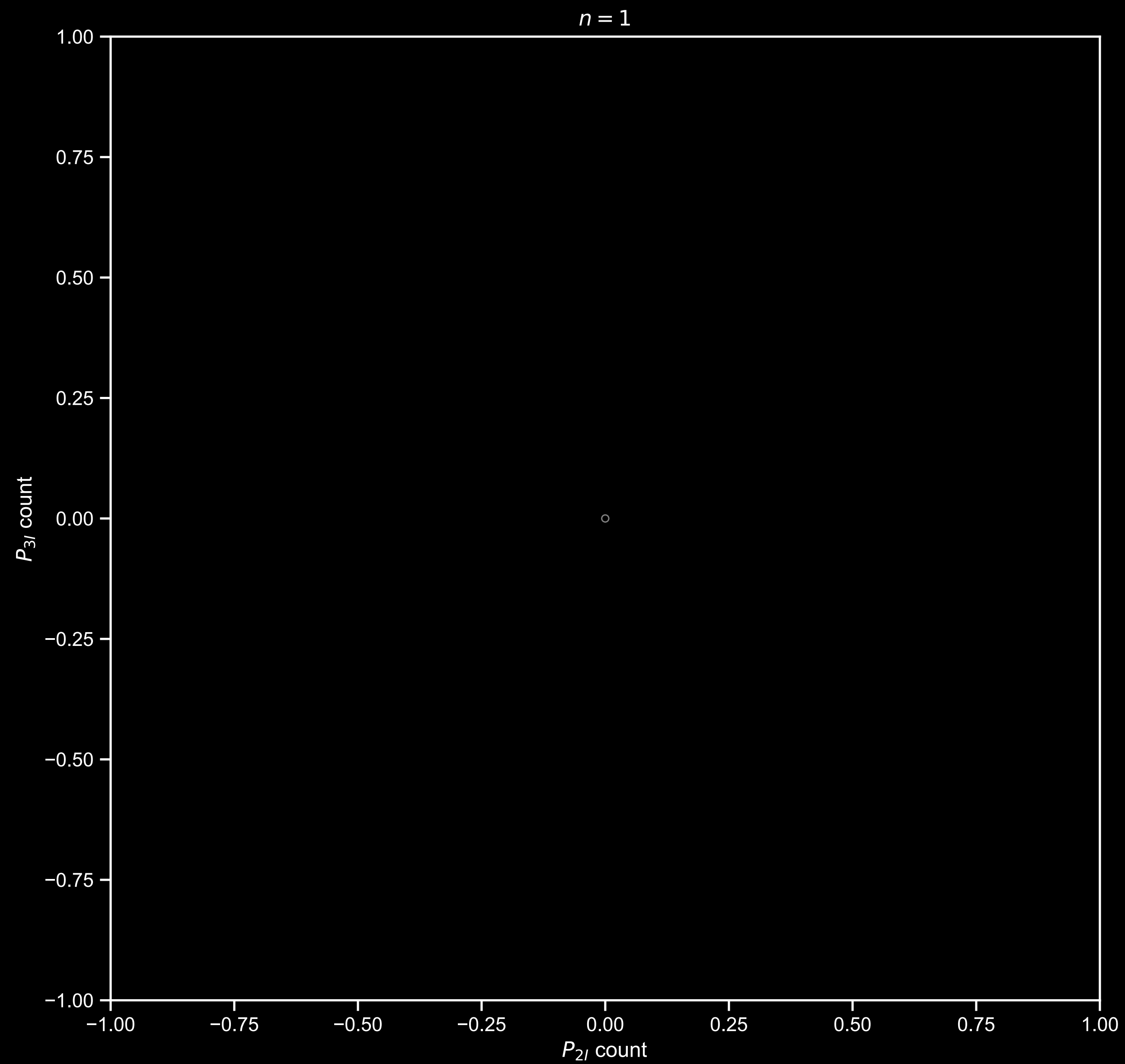
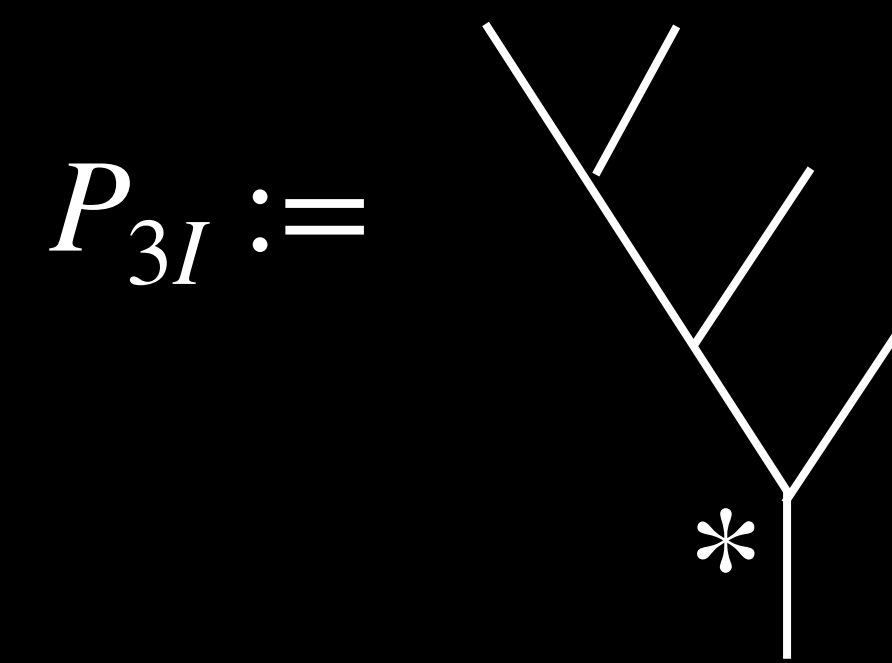
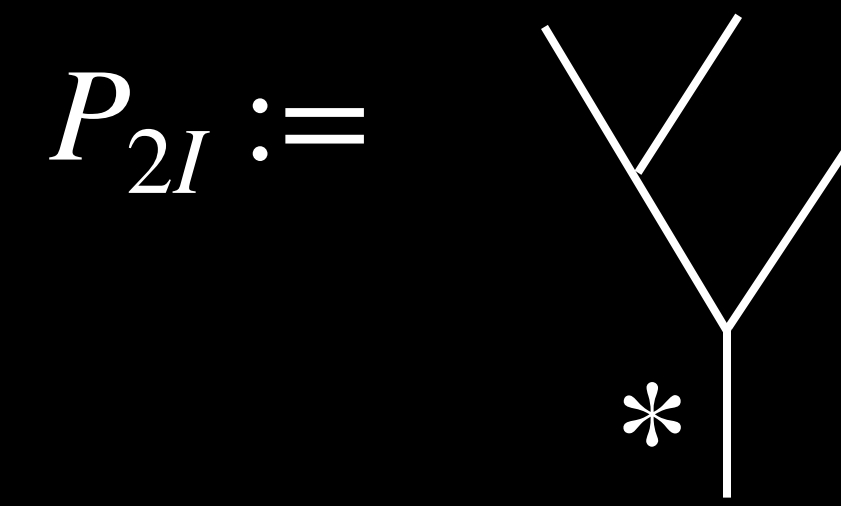
$$[\hat{O}_{P2}, [\hat{O}_{P2}, \hat{G}]] = [\hat{O}_{P2}, \hat{G}], \quad [\hat{O}_{P2}, [\hat{O}_{P3}, \hat{G}]] = [\hat{O}_{P3}, \hat{G}] + \hat{R}_{P3}$$

$$[\hat{O}_{P3}, [\hat{O}_{P3}, \hat{G}]] = [\hat{O}_{P3}, \hat{G}] + 2\hat{R}_{P3'}, \quad [\hat{O}_{P2}, \hat{R}_{P3'}] = 0, \quad [\hat{O}_{P3}, \hat{R}_{P3'}] = -\hat{R}_{P3'}$$

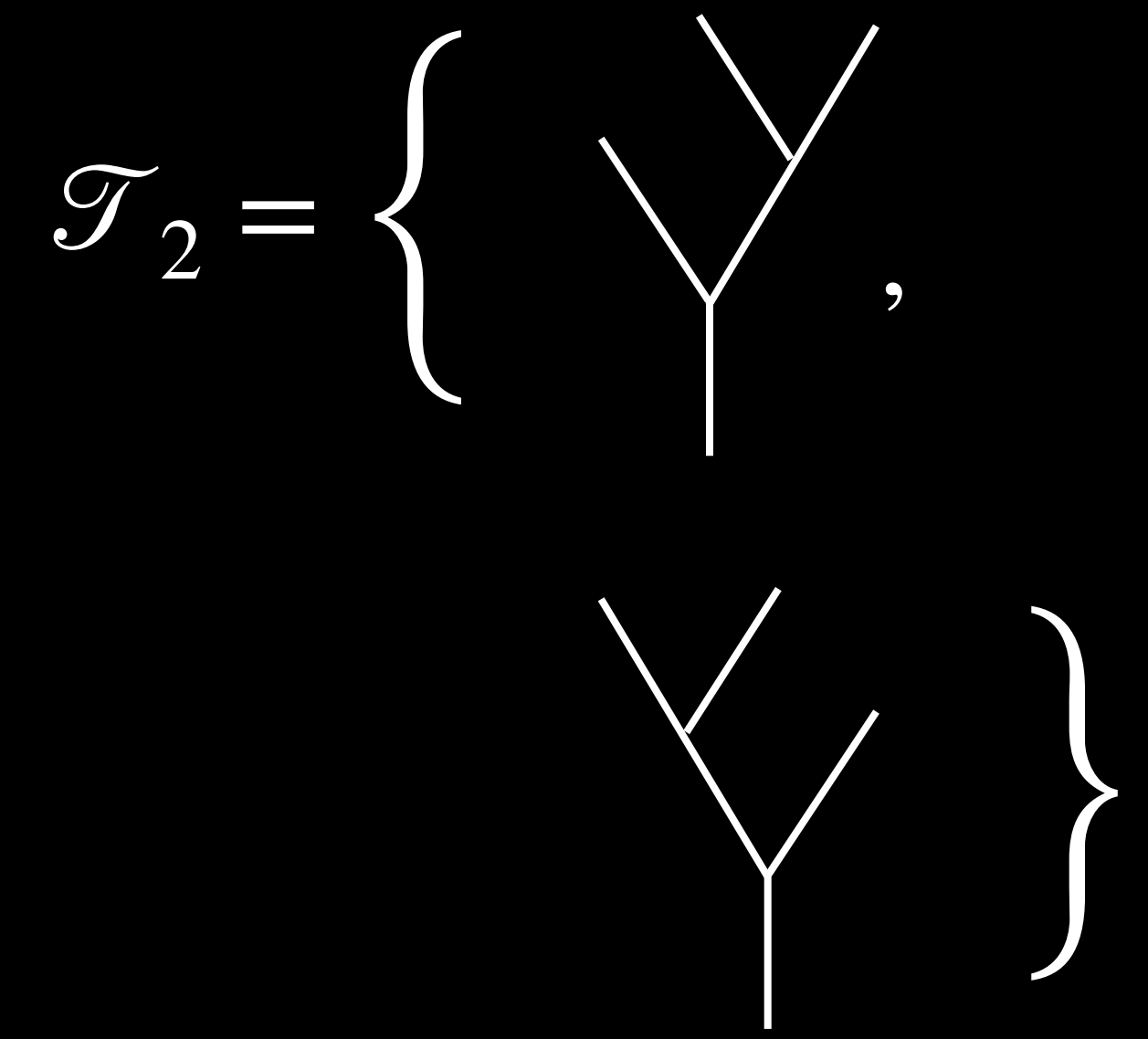
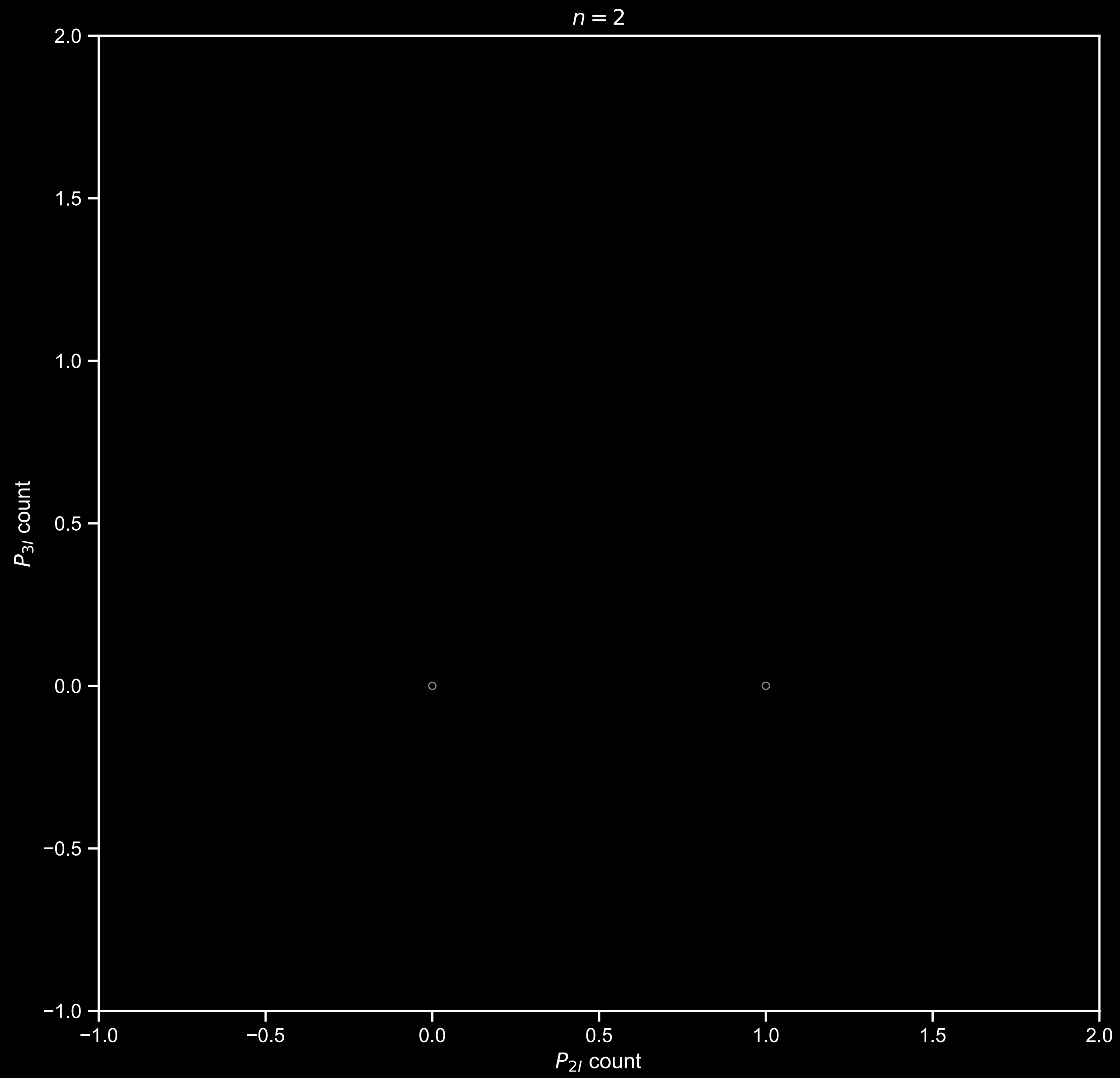
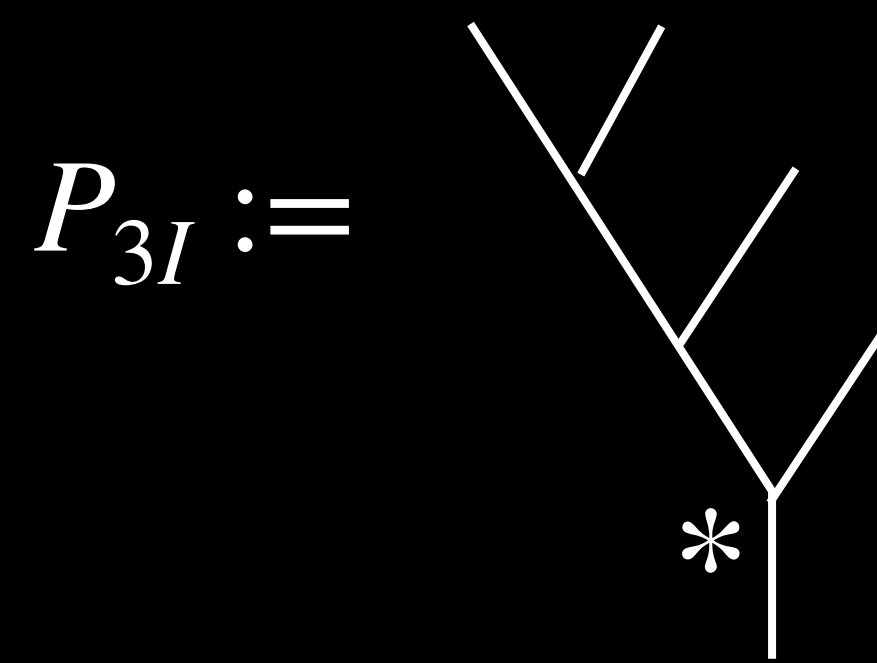
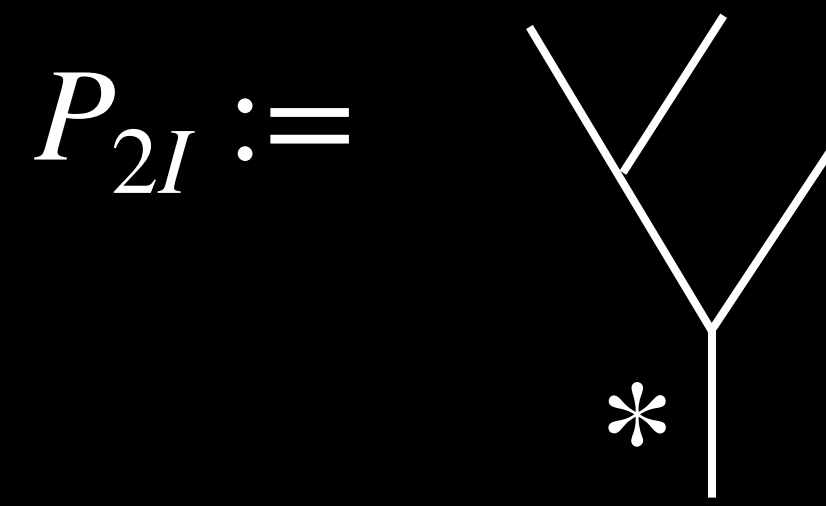
$$\langle | [\hat{O}_{P2}, \hat{G}] \rangle = \langle | (3\hat{O}_{P1} - 2\hat{O}_{P2}) \rangle, \quad \langle | [\hat{O}_{P3}, \hat{G}] \rangle = \langle | (4\hat{O}_{P2} - 3\hat{O}_{P3}) \rangle, \quad \langle | \hat{R}_{P3'} \rangle = \langle | \hat{O}_{P3} \rangle$$

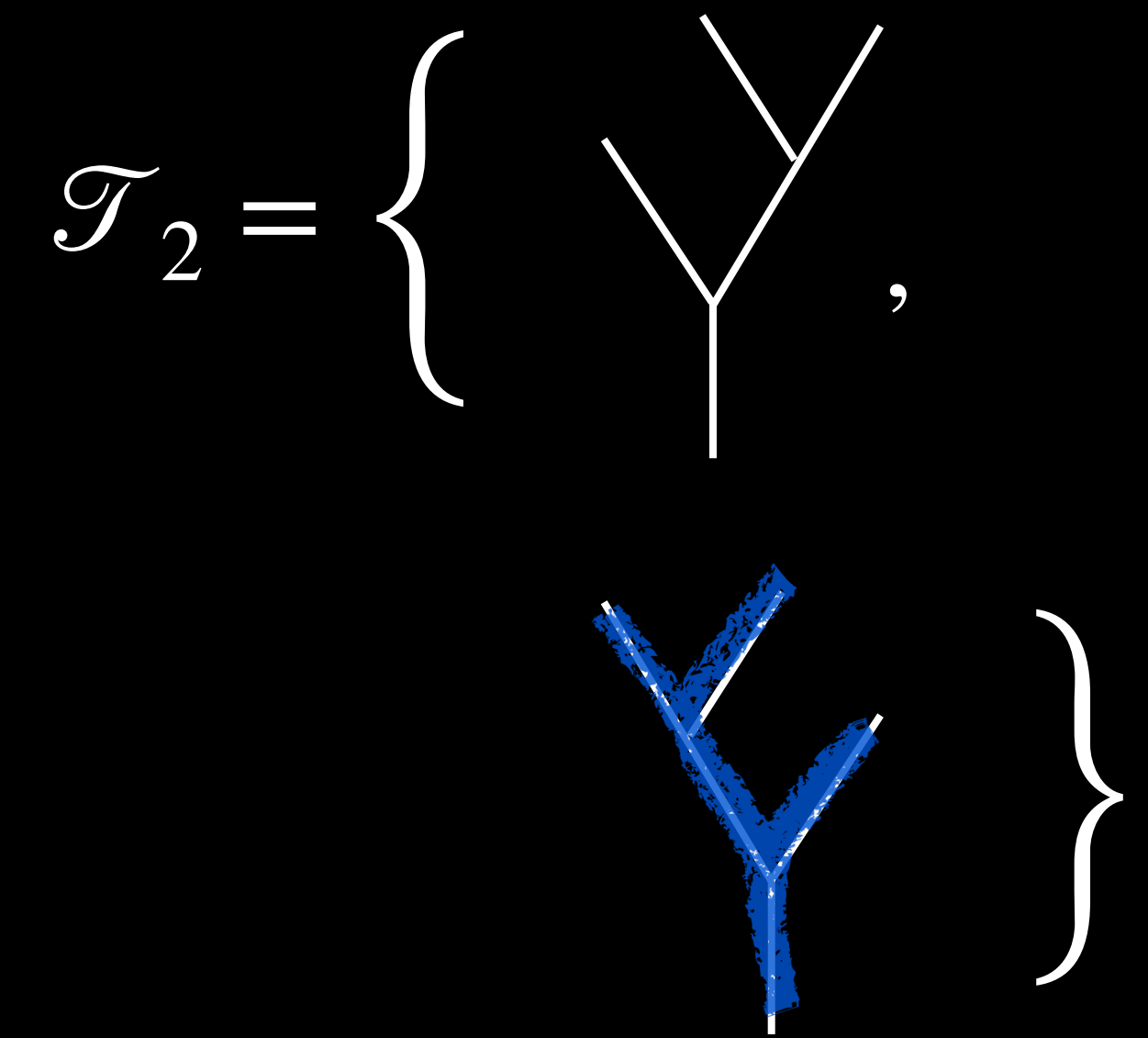
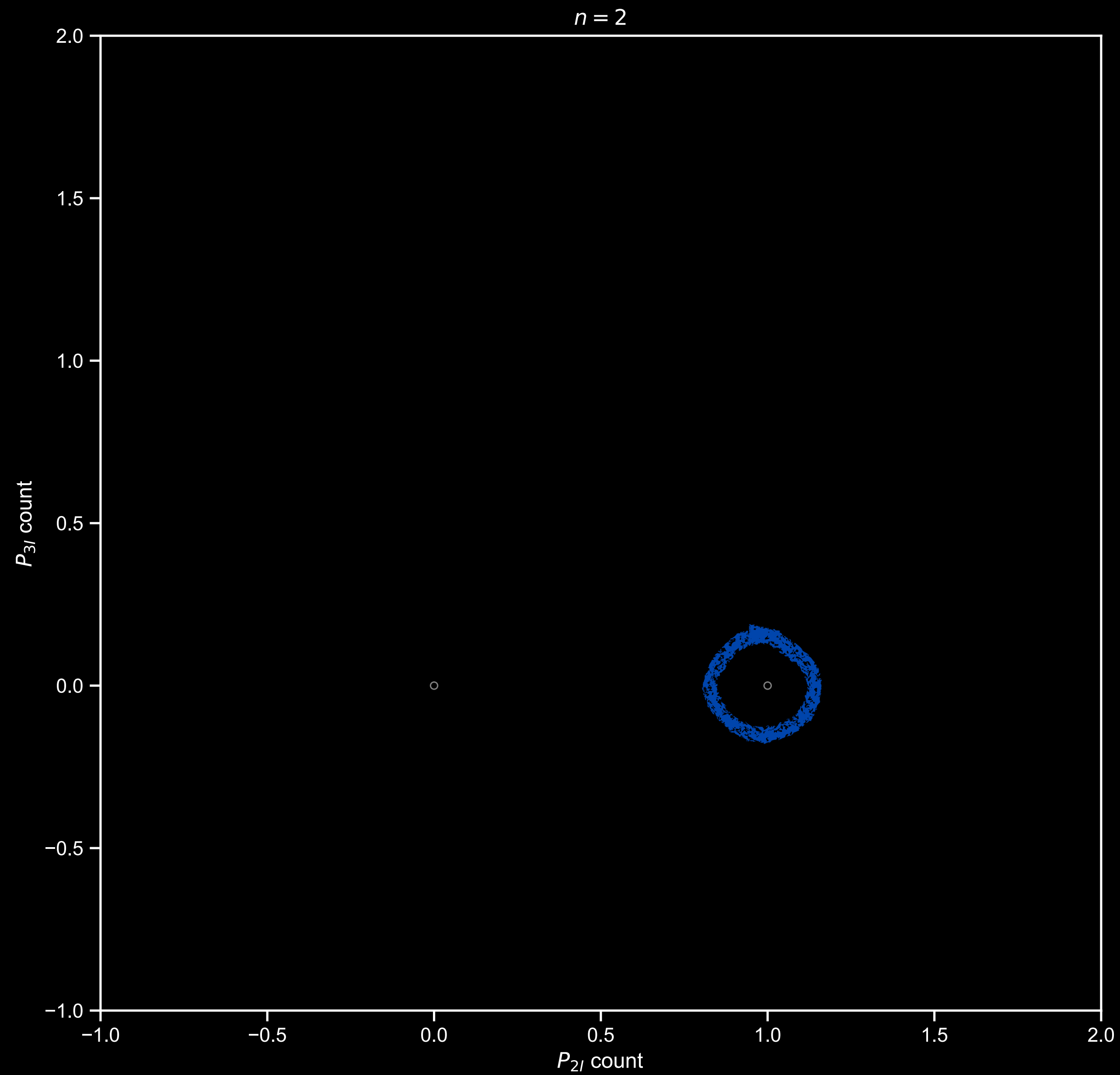
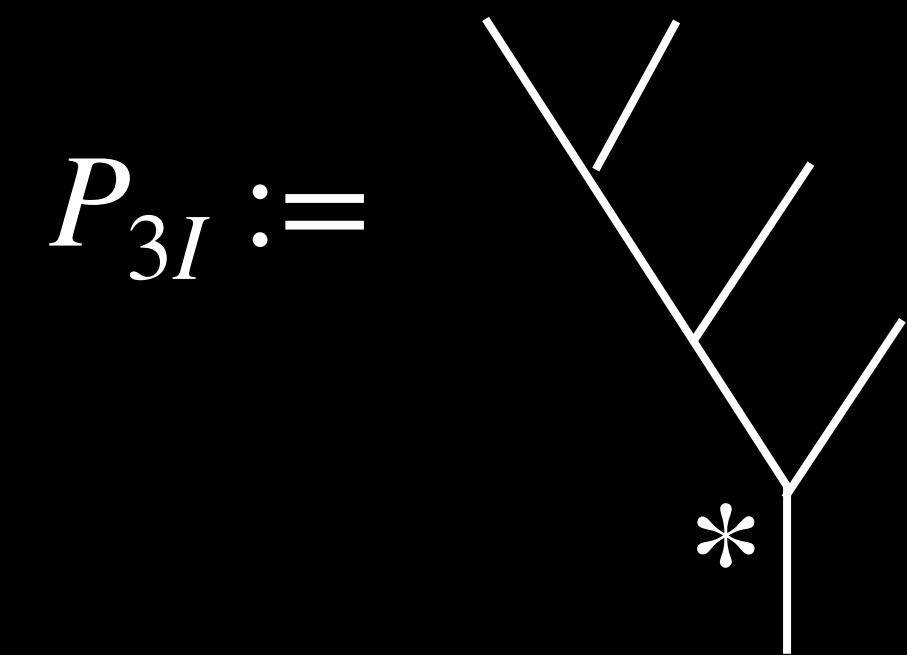
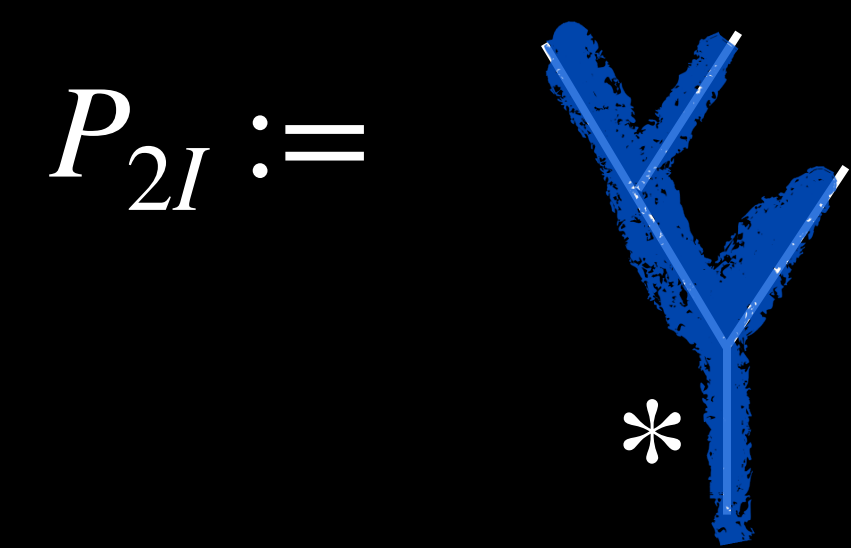


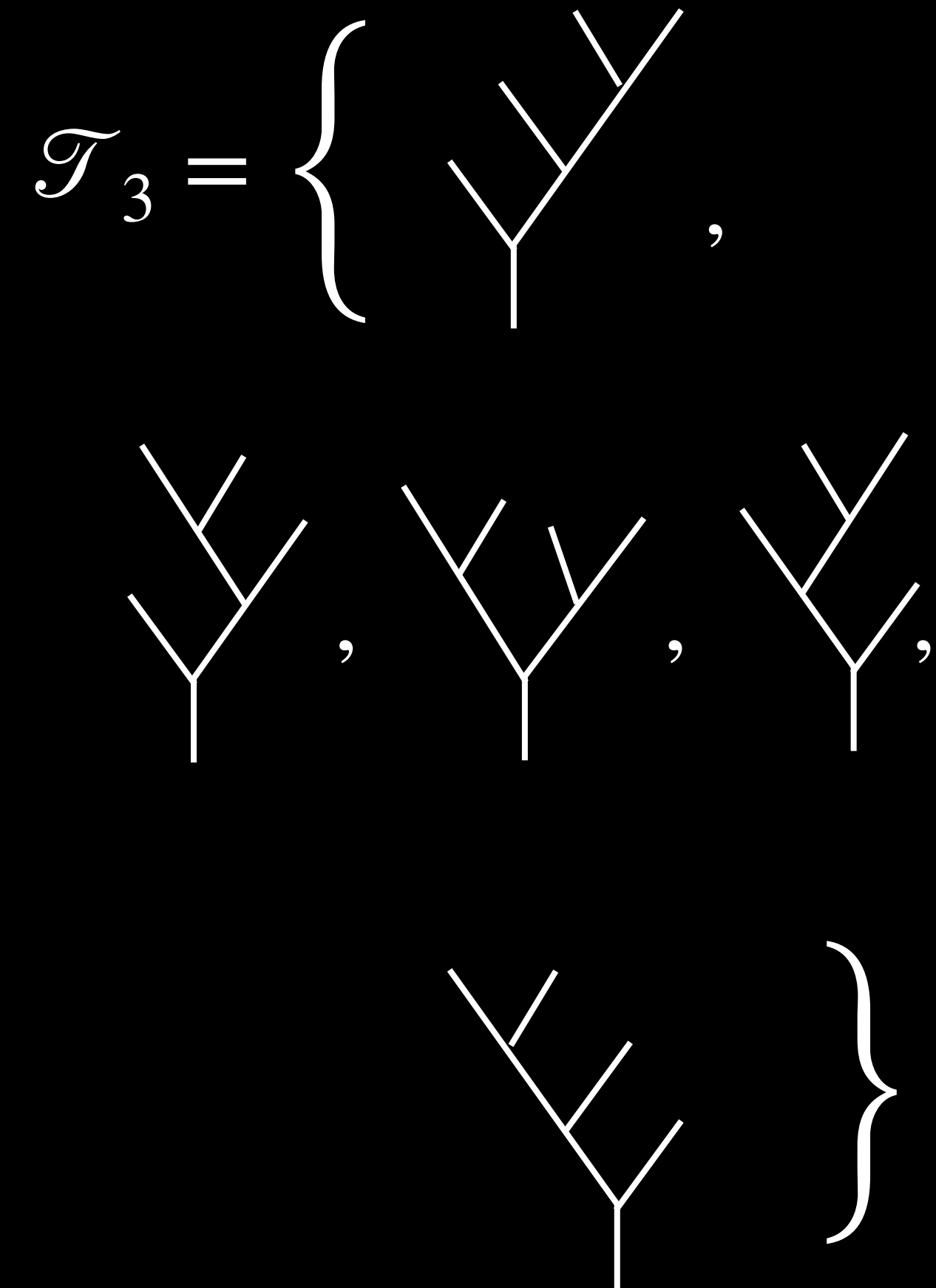
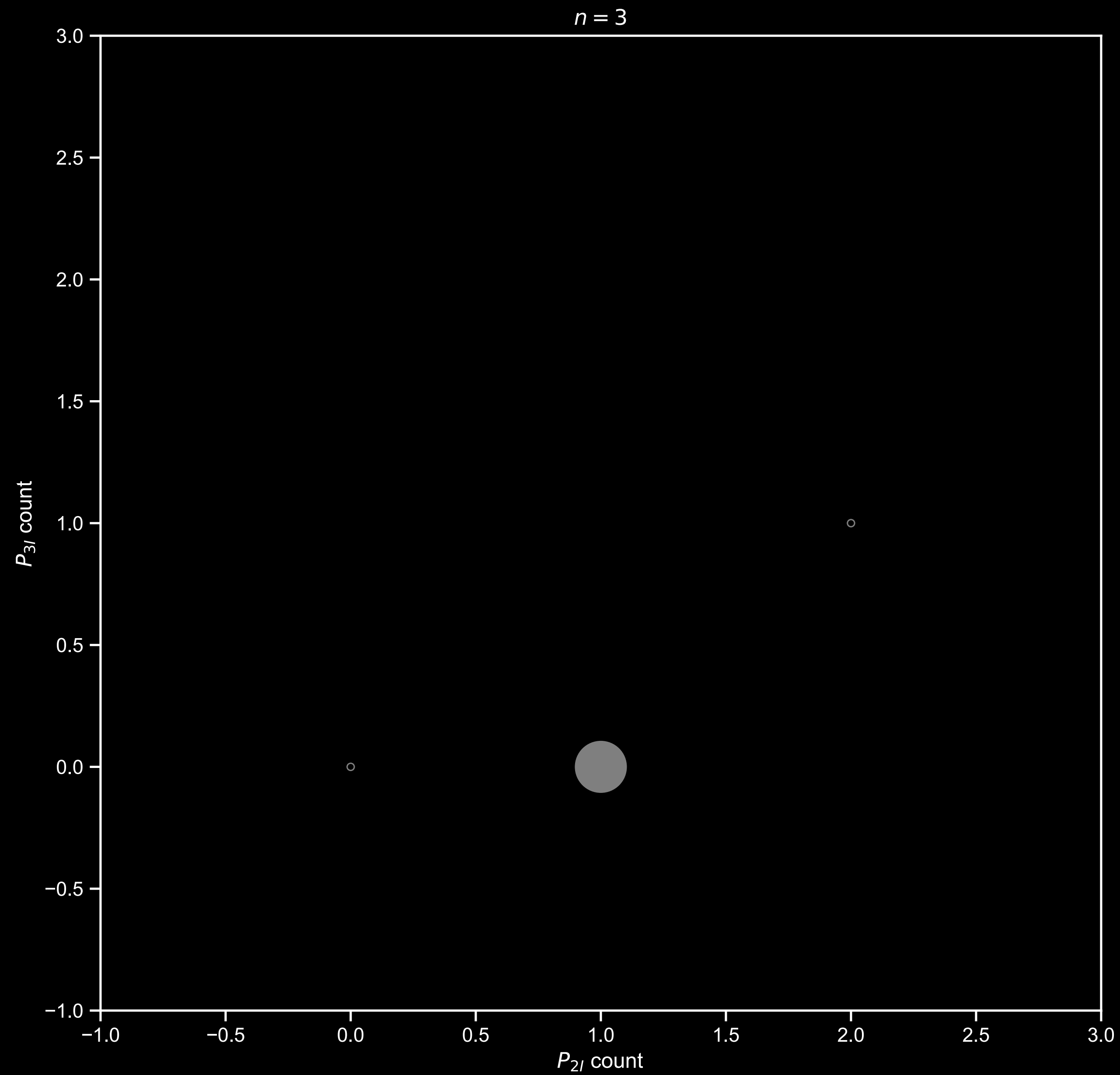
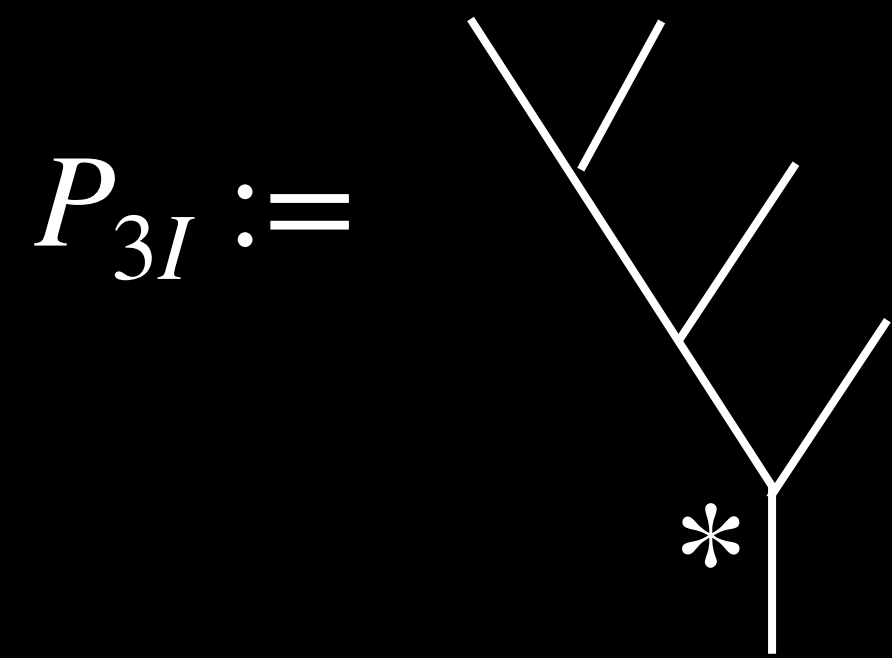
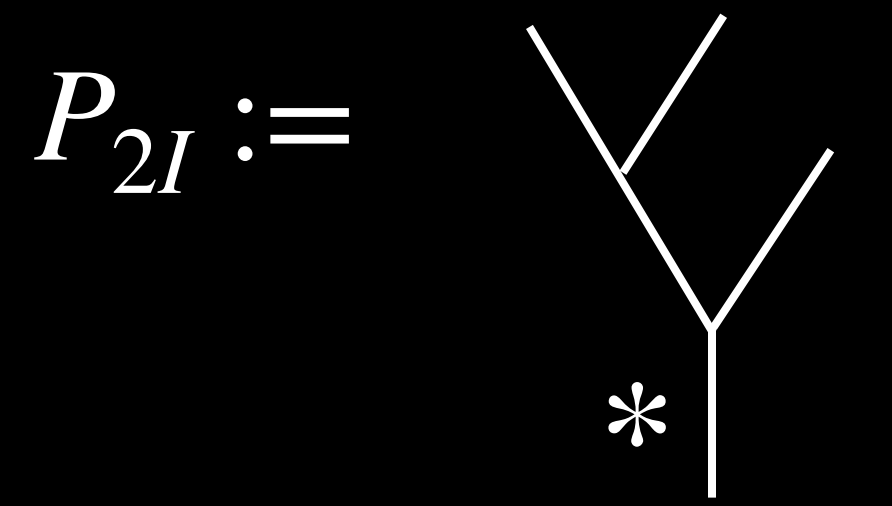
$$\mathcal{T}_0 = \left\{ \begin{array}{c} | \end{array} \right\}$$

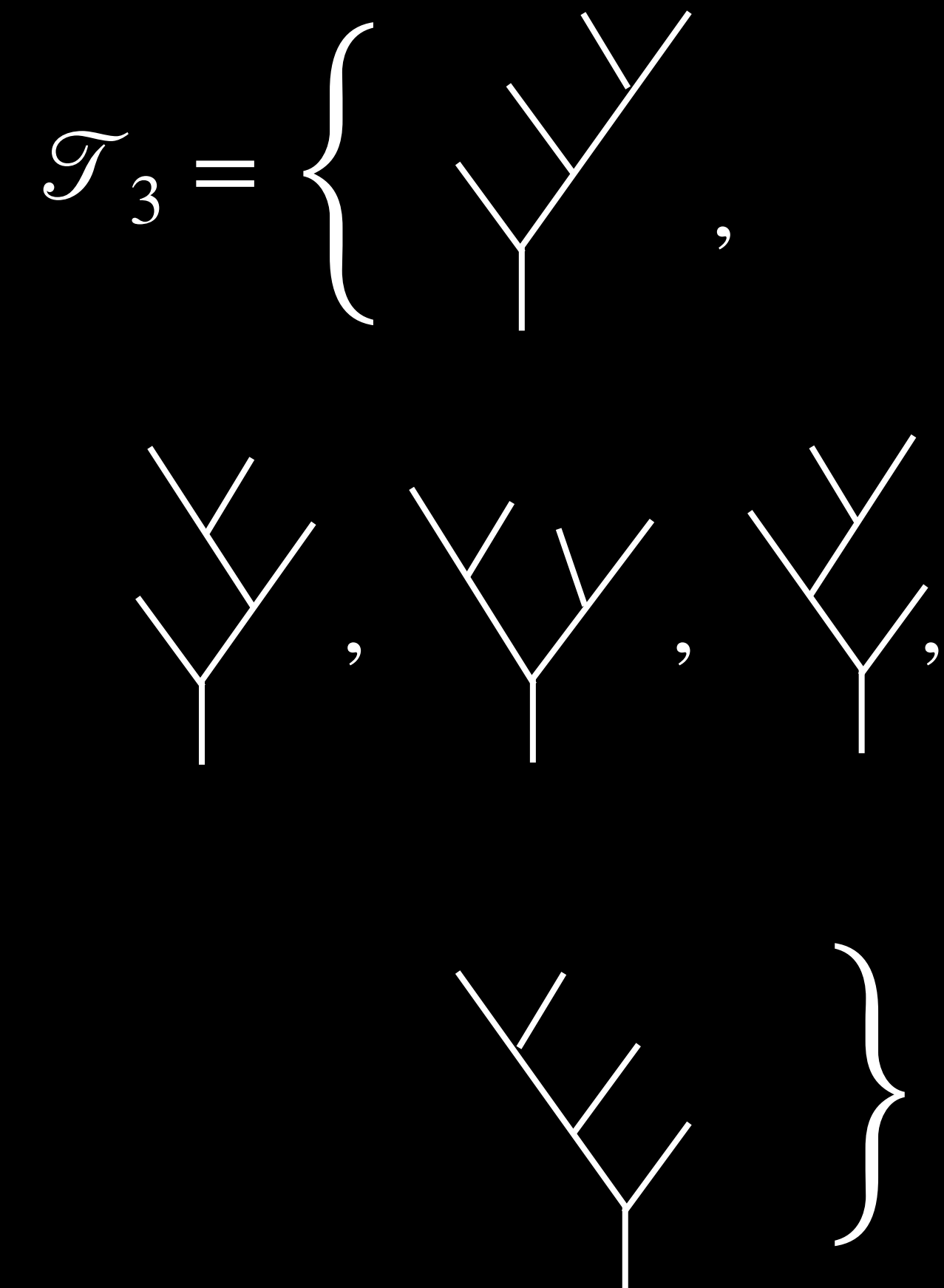
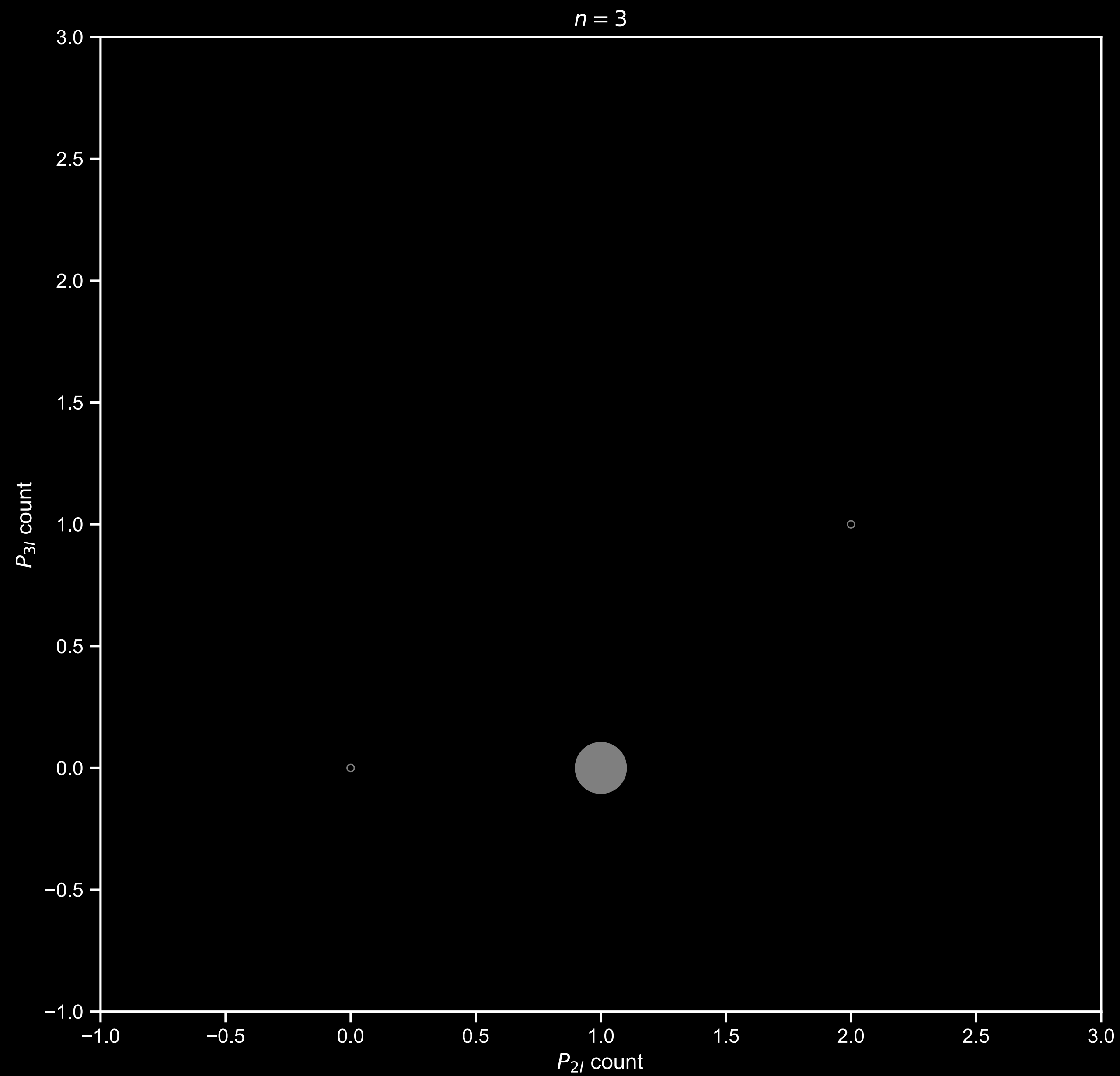
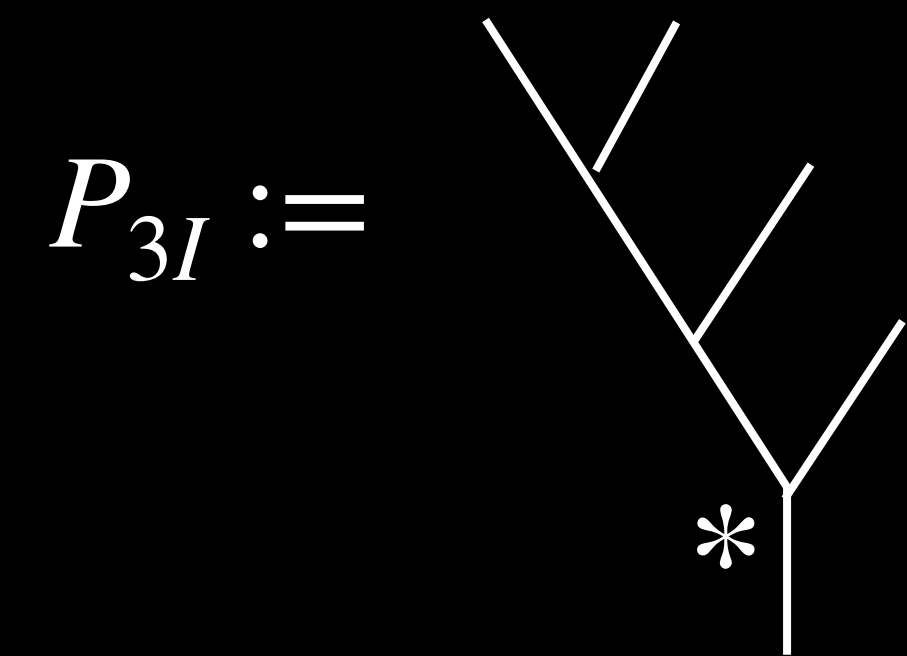
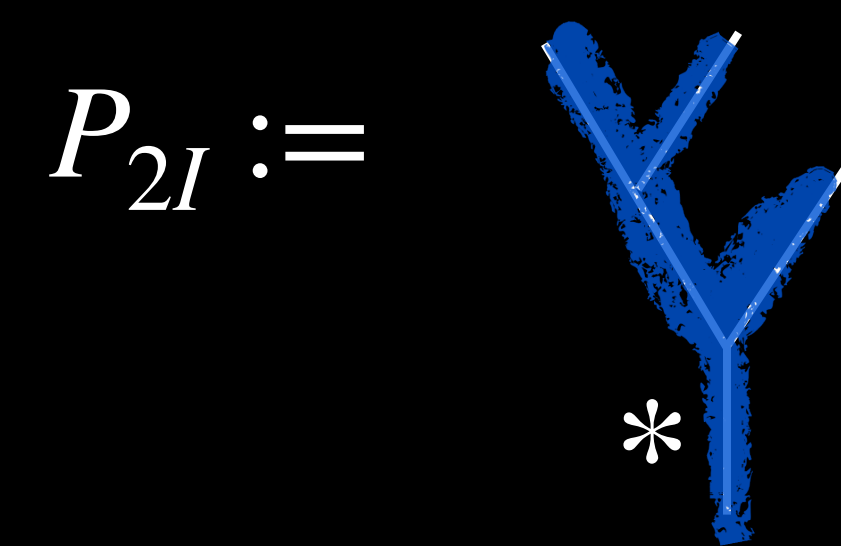


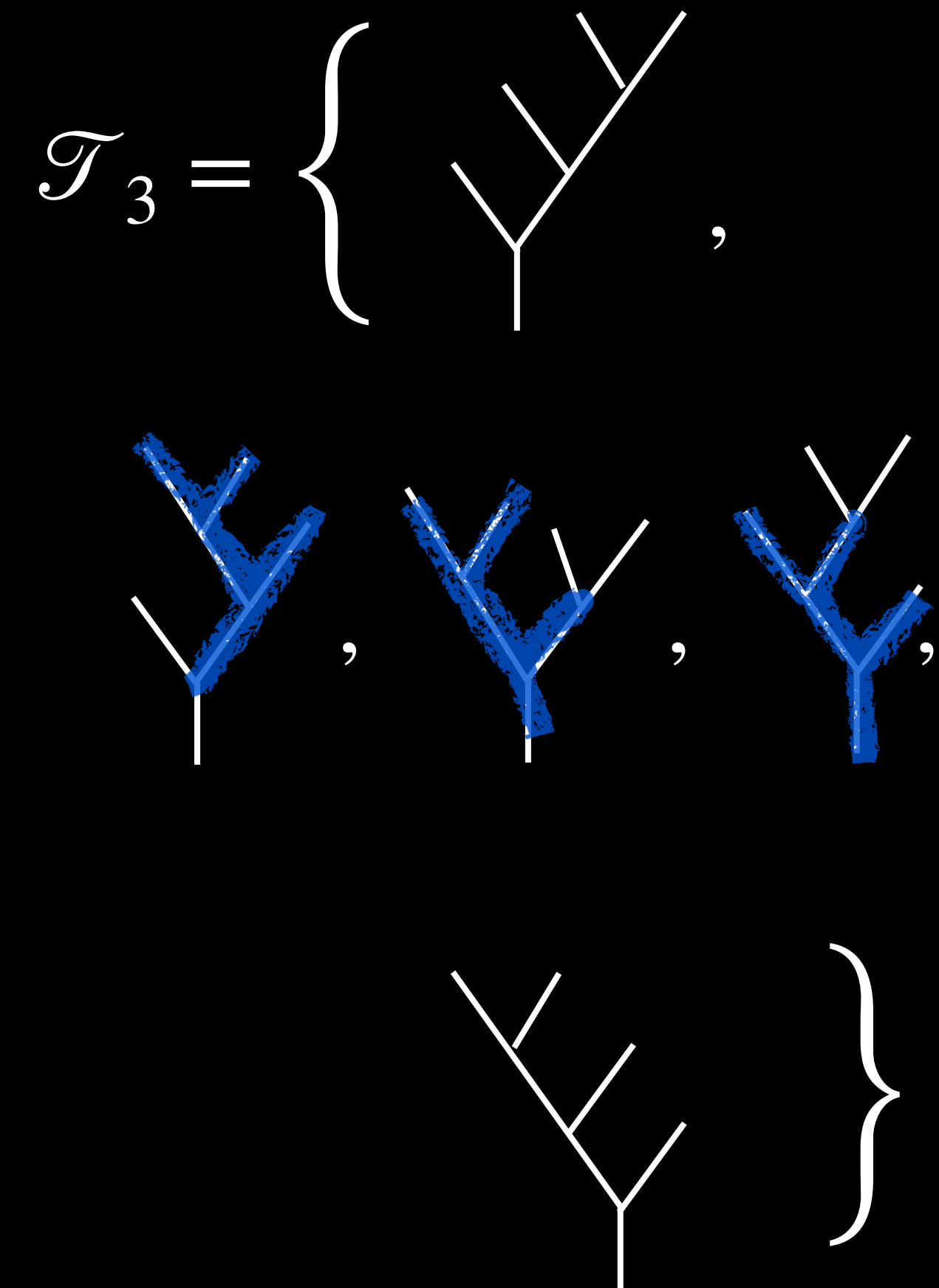
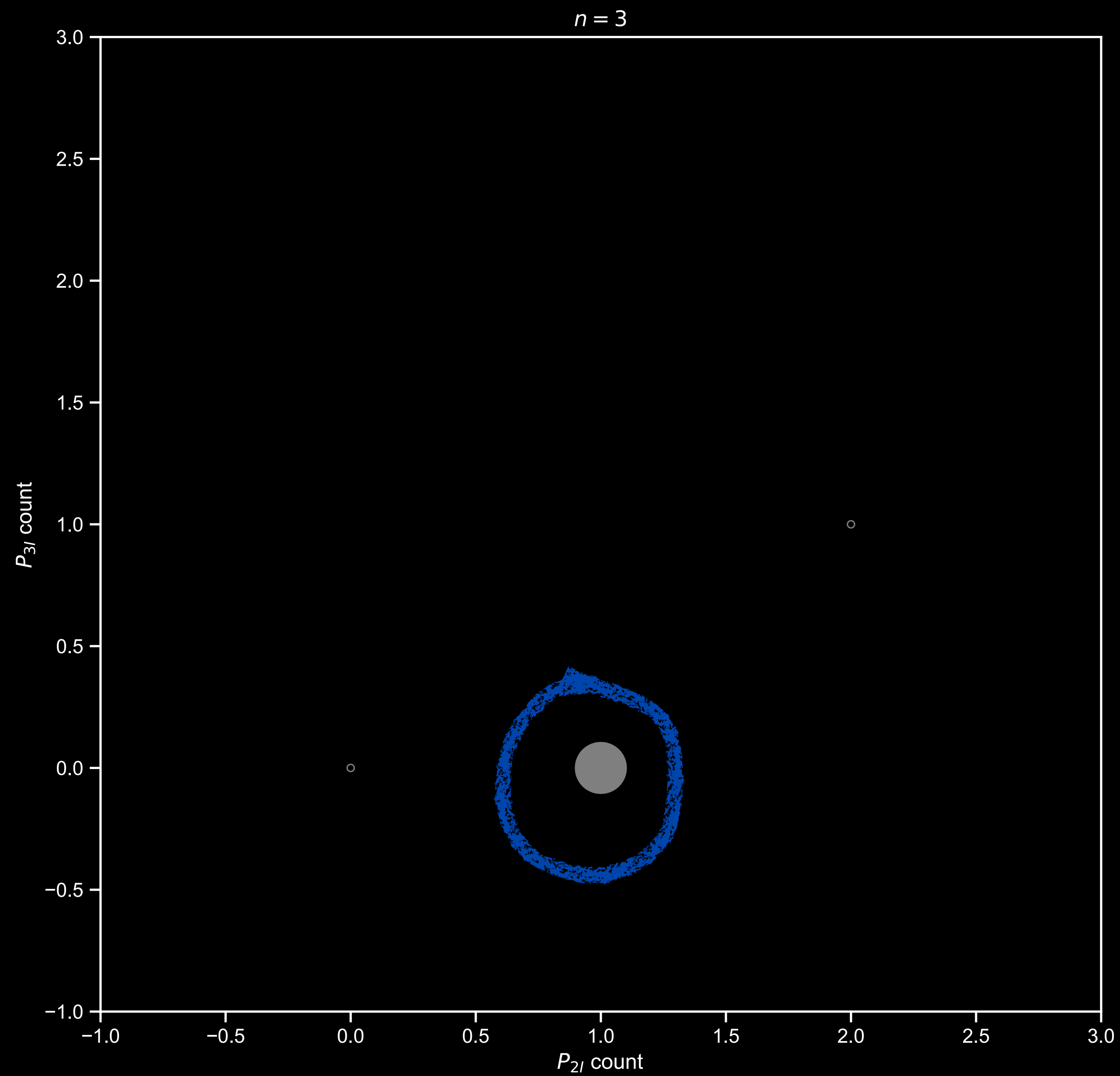
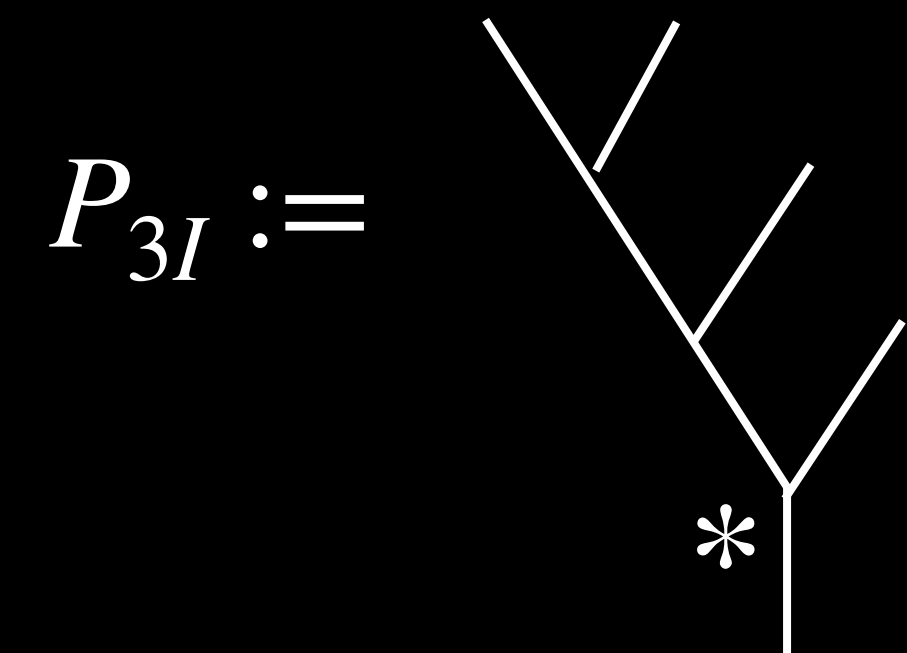
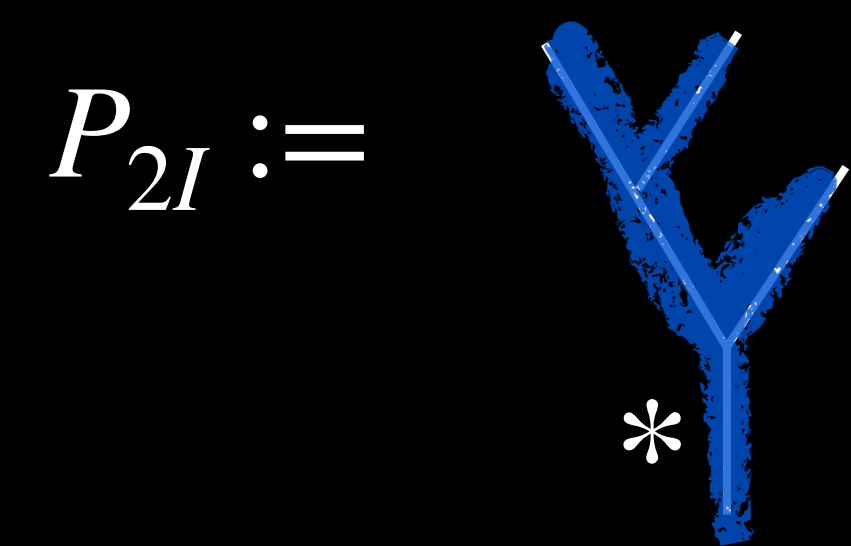
$$\mathcal{T}_1 = \left\{ \begin{array}{c} \diagup \quad \diagdown \\ | \\ \text{Y} \end{array} \right\}$$

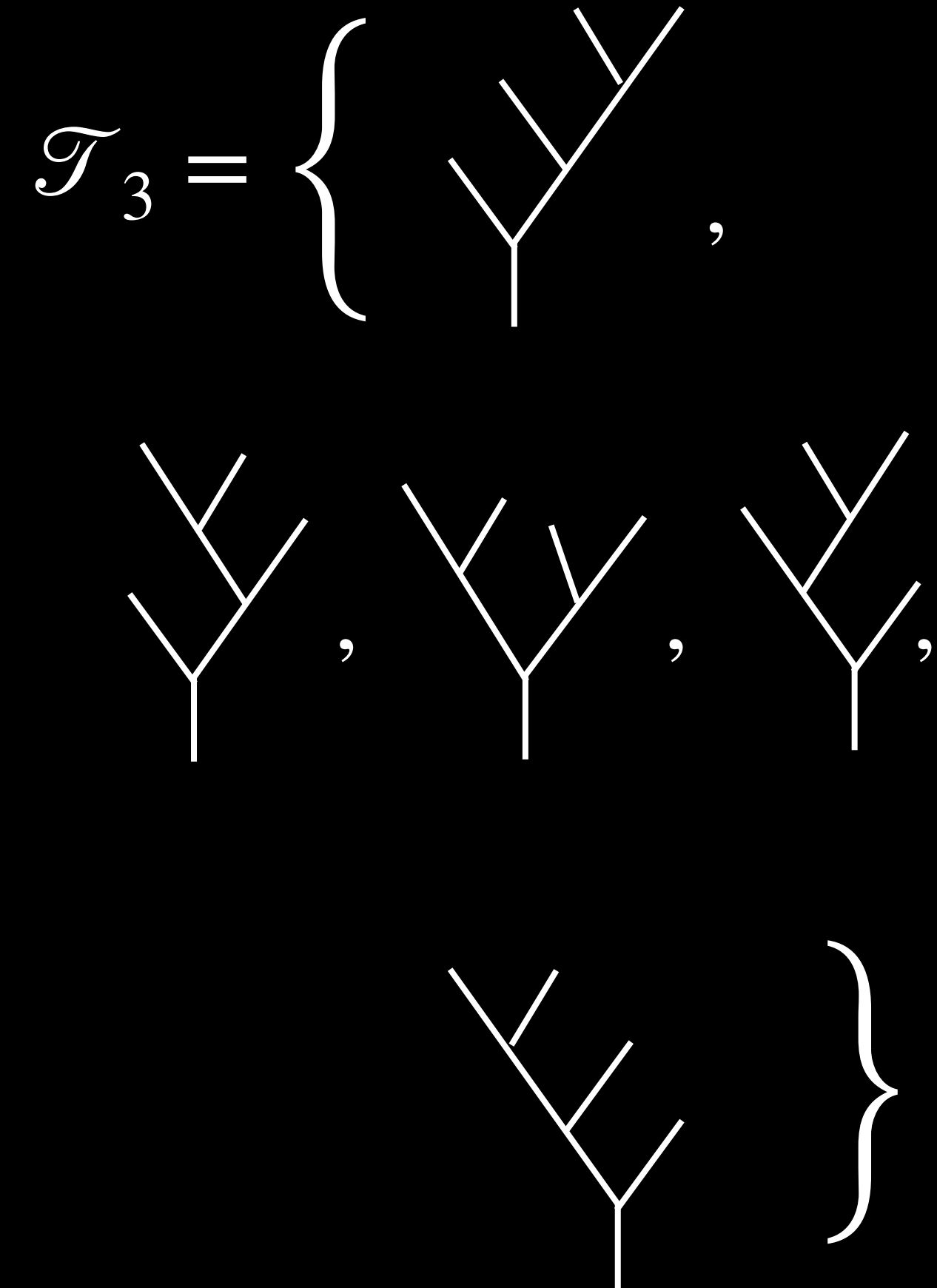
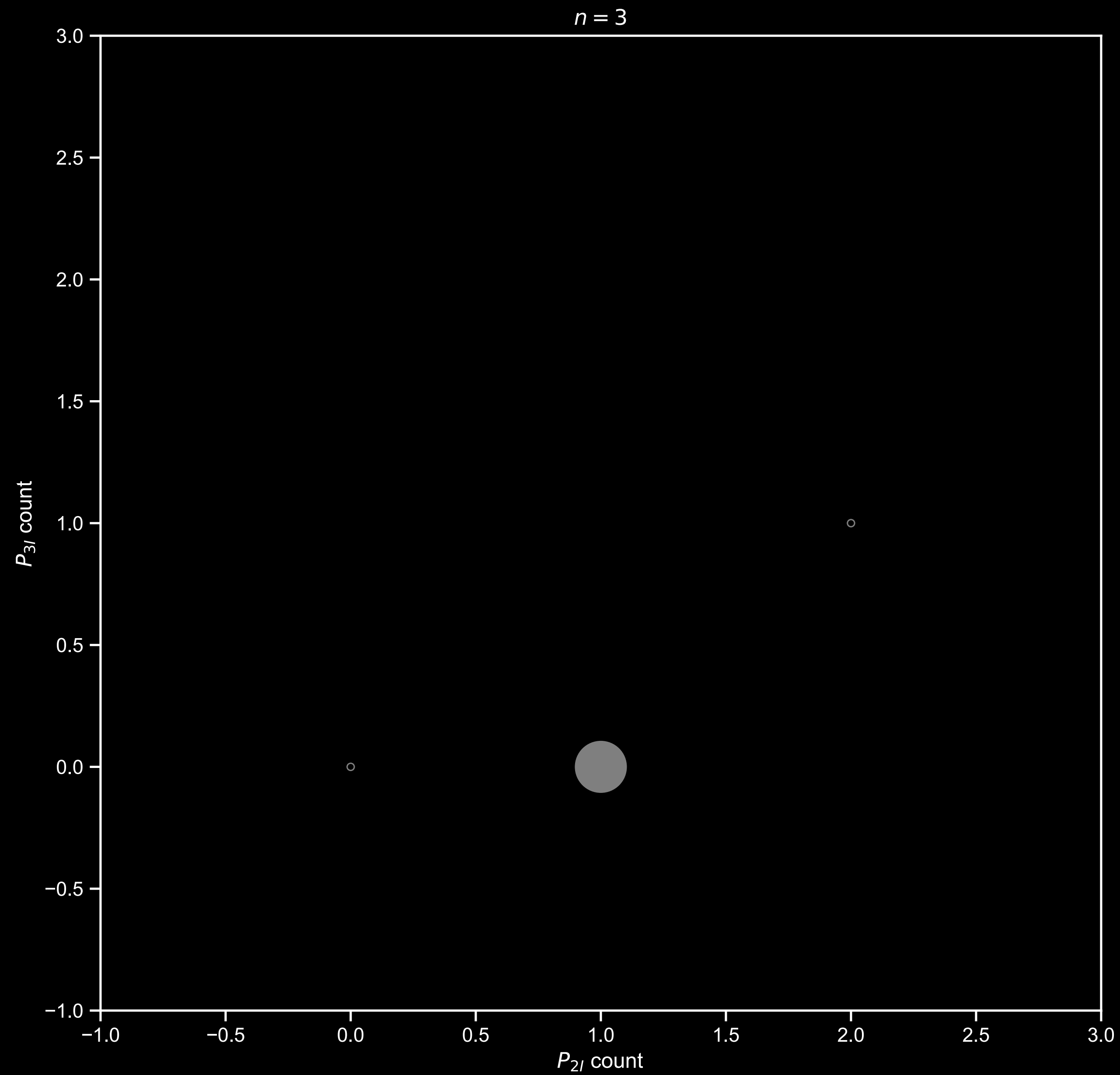
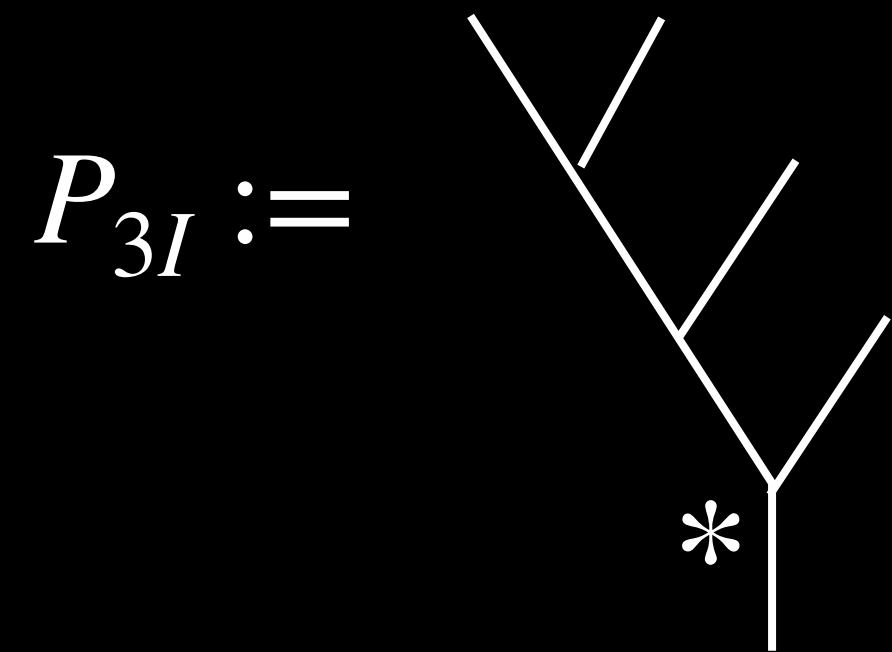
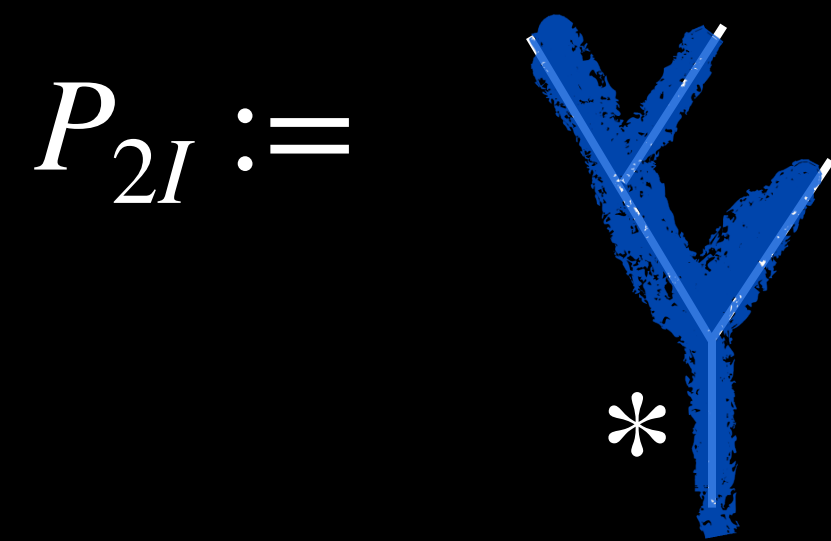


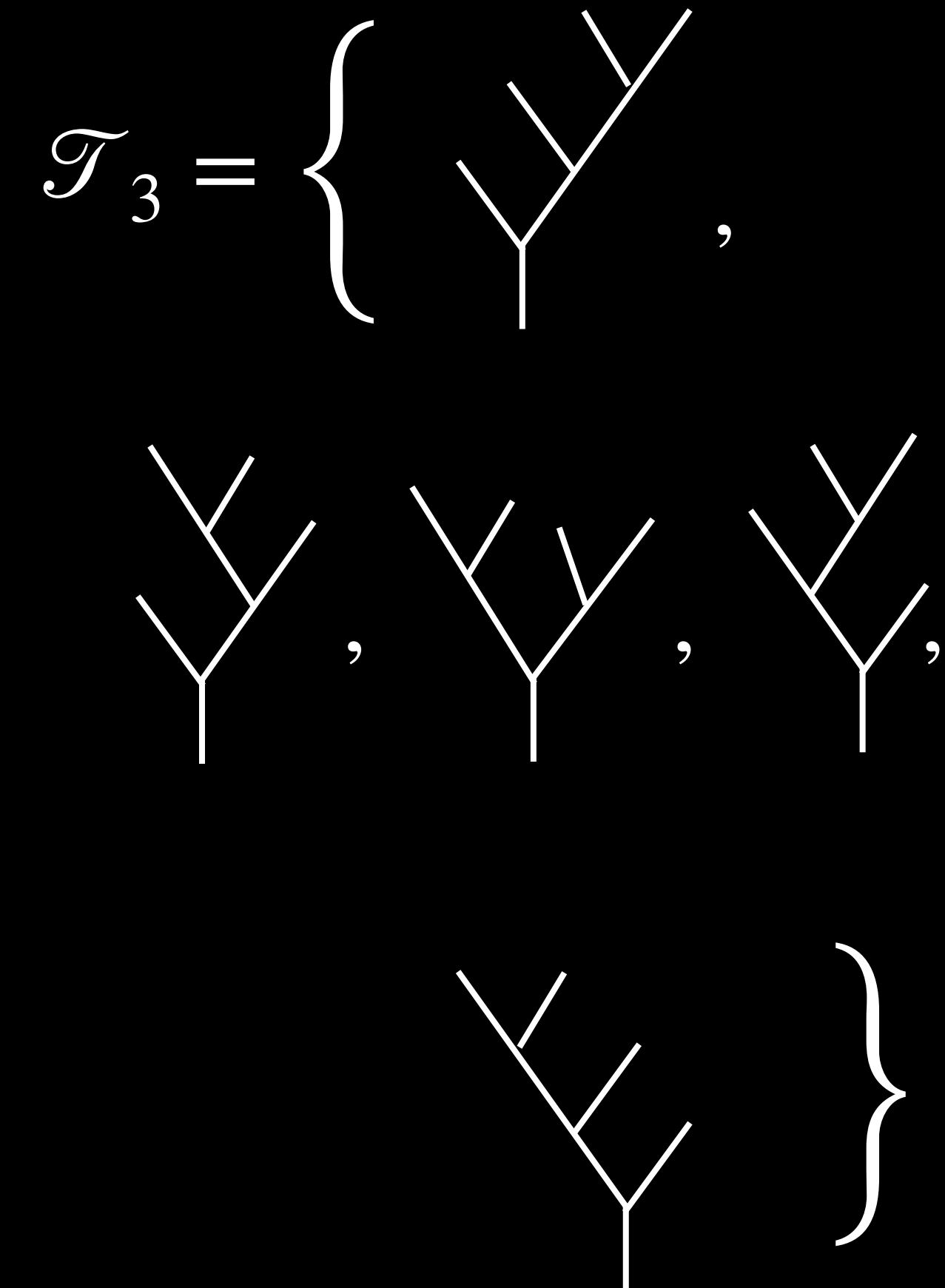
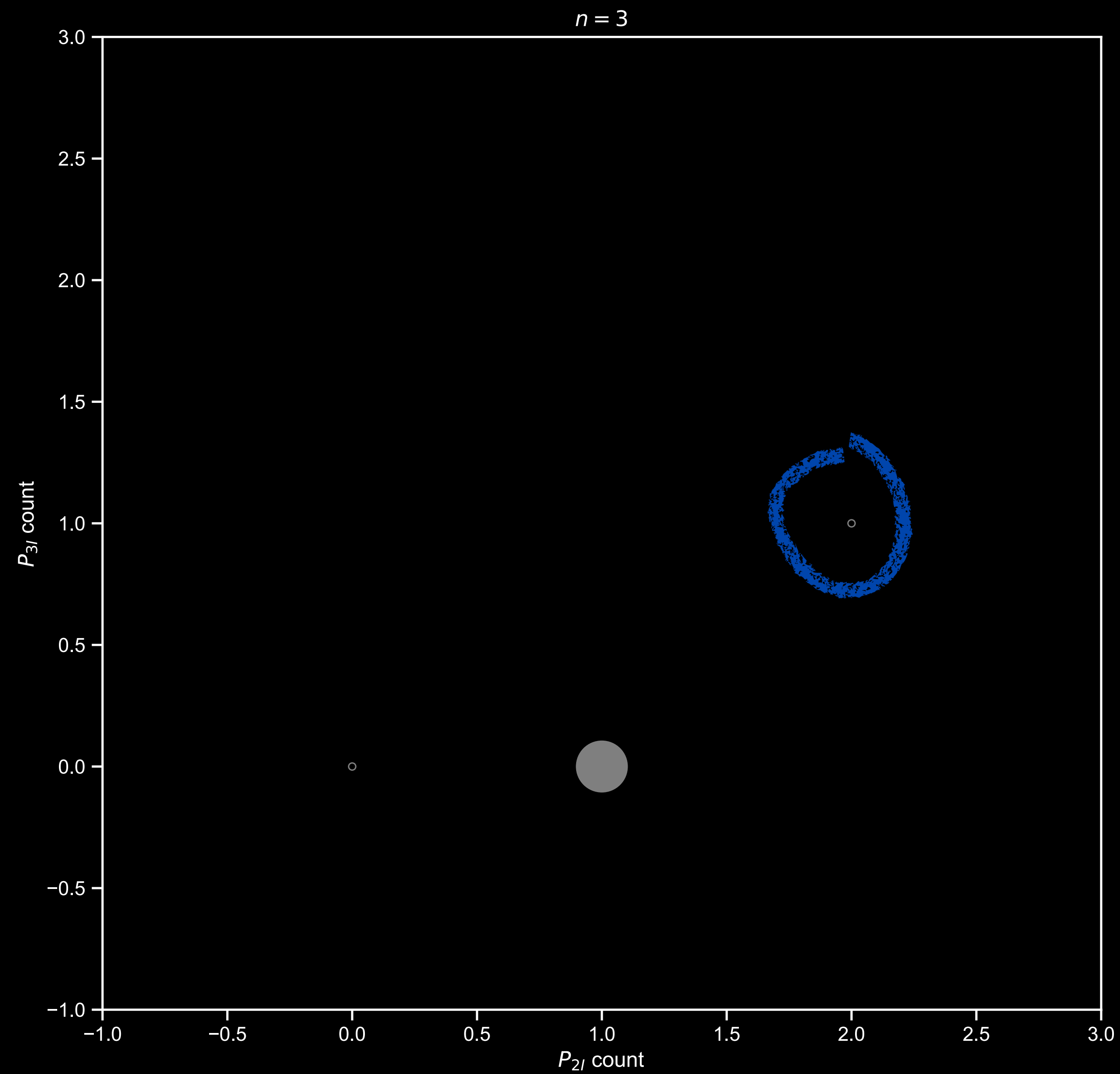
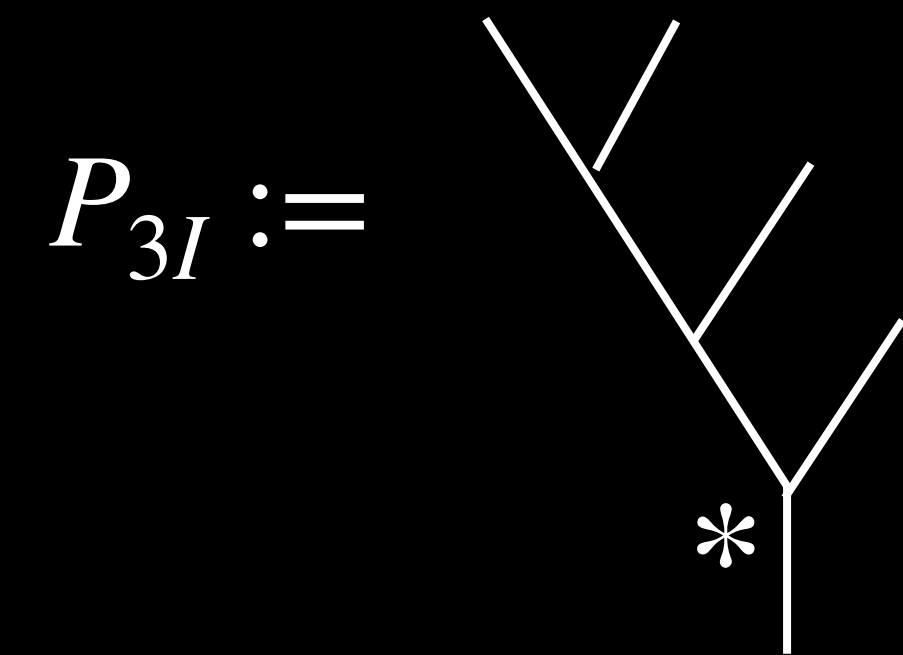
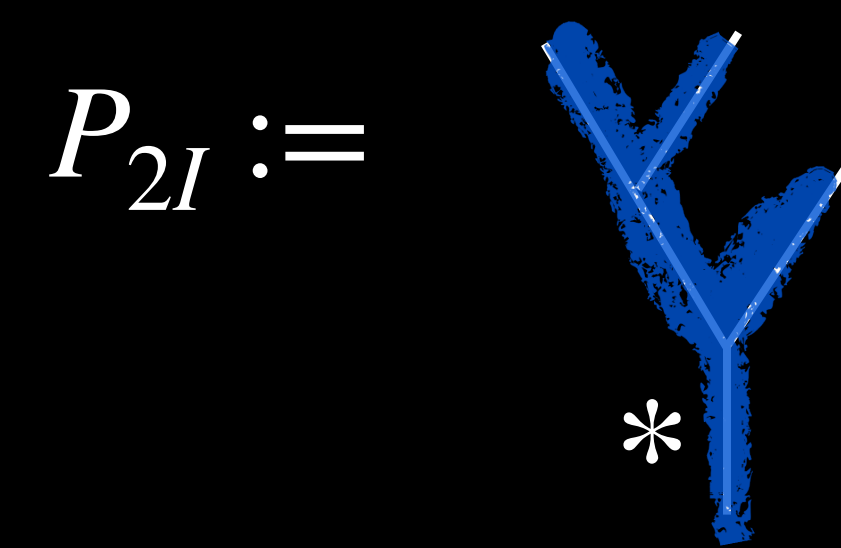




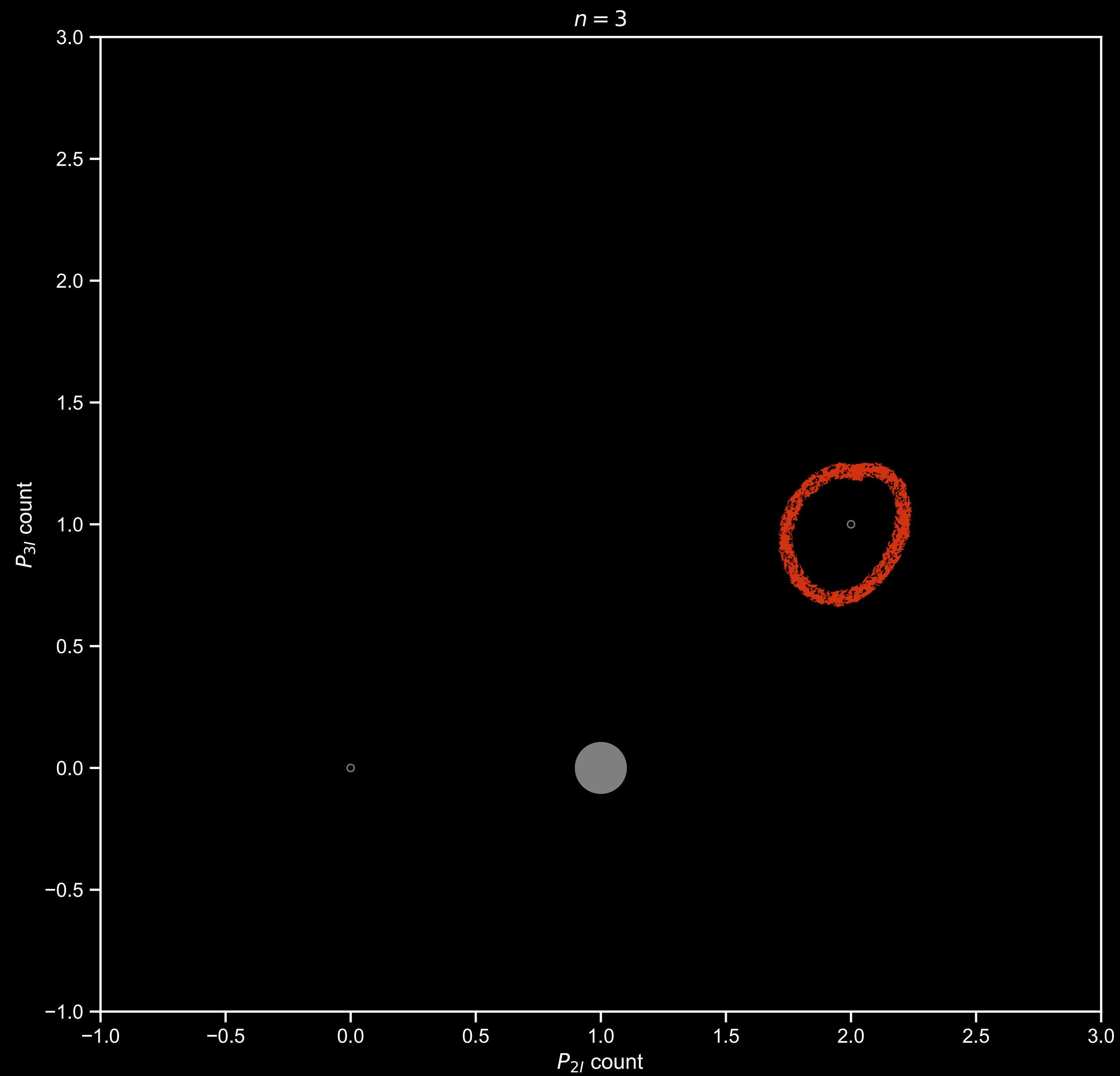








$P_{2I} :=$

 $P_{3I} :=$


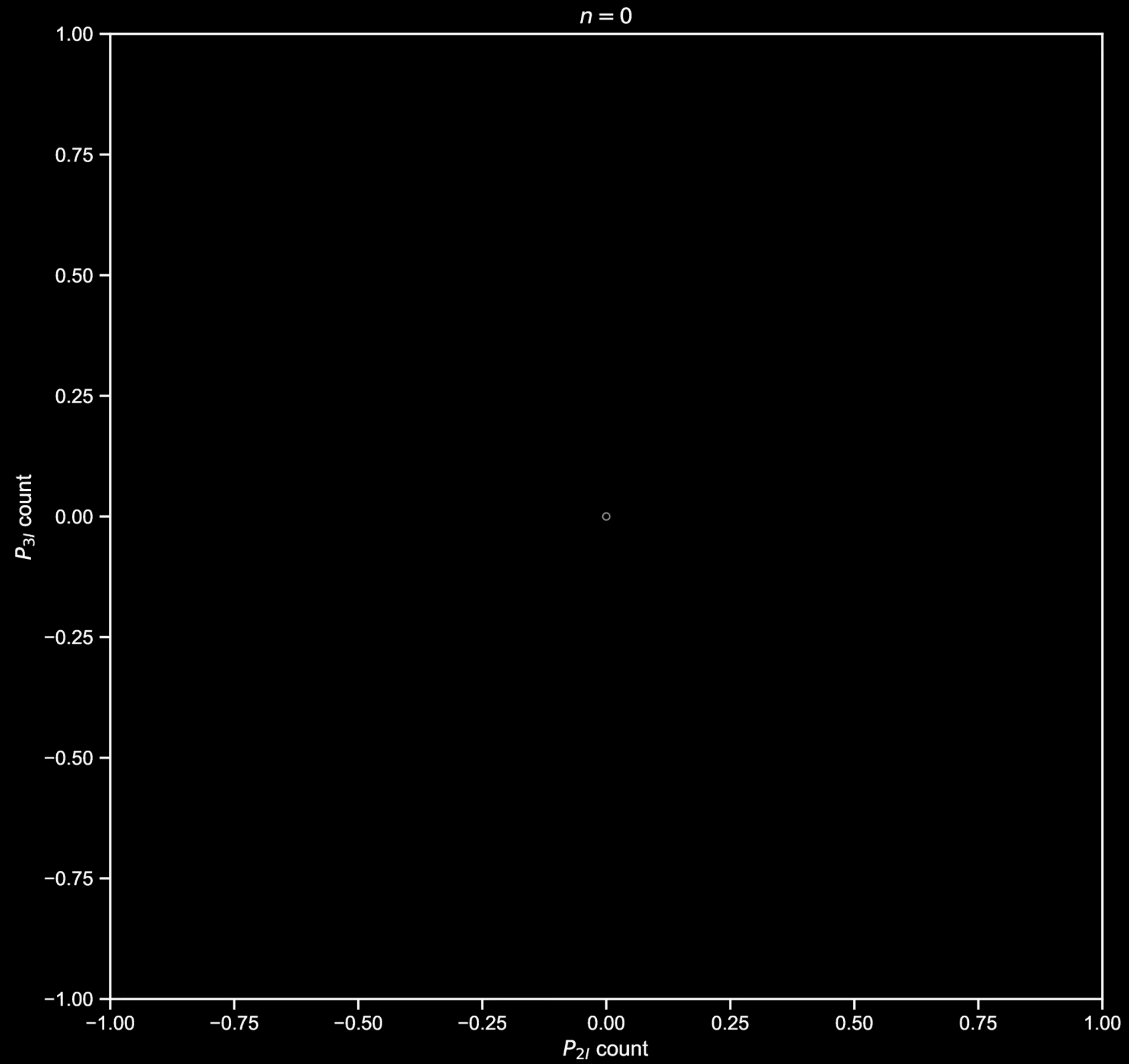
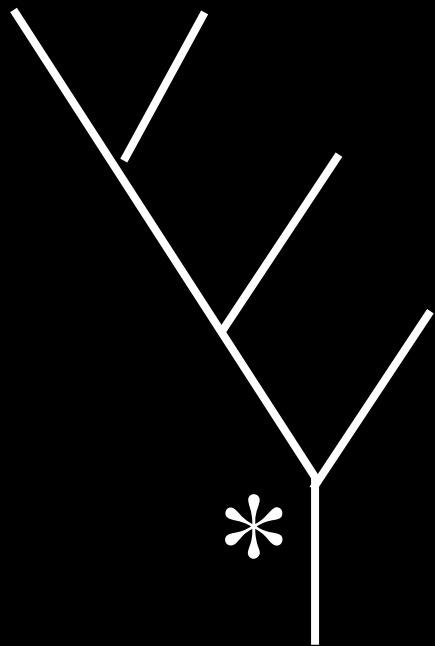
$$\mathcal{T}_3 = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2}, \text{Diagram 3}, \text{Diagram 4} \\ \text{Diagram 5} \end{array} \right\}$$

The set $\mathcal{T}_3$ contains five tree diagrams. Diagram 1 is a black tree with root having two children, the left child having two children, and the right child having one child. Diagrams 2, 3, and 4 are black trees with three leaves, each with a different branching structure. Diagram 5 is a red tree with three leaves, identical in structure to the one in the P_{3I} definition.

$P_{2I} :=$



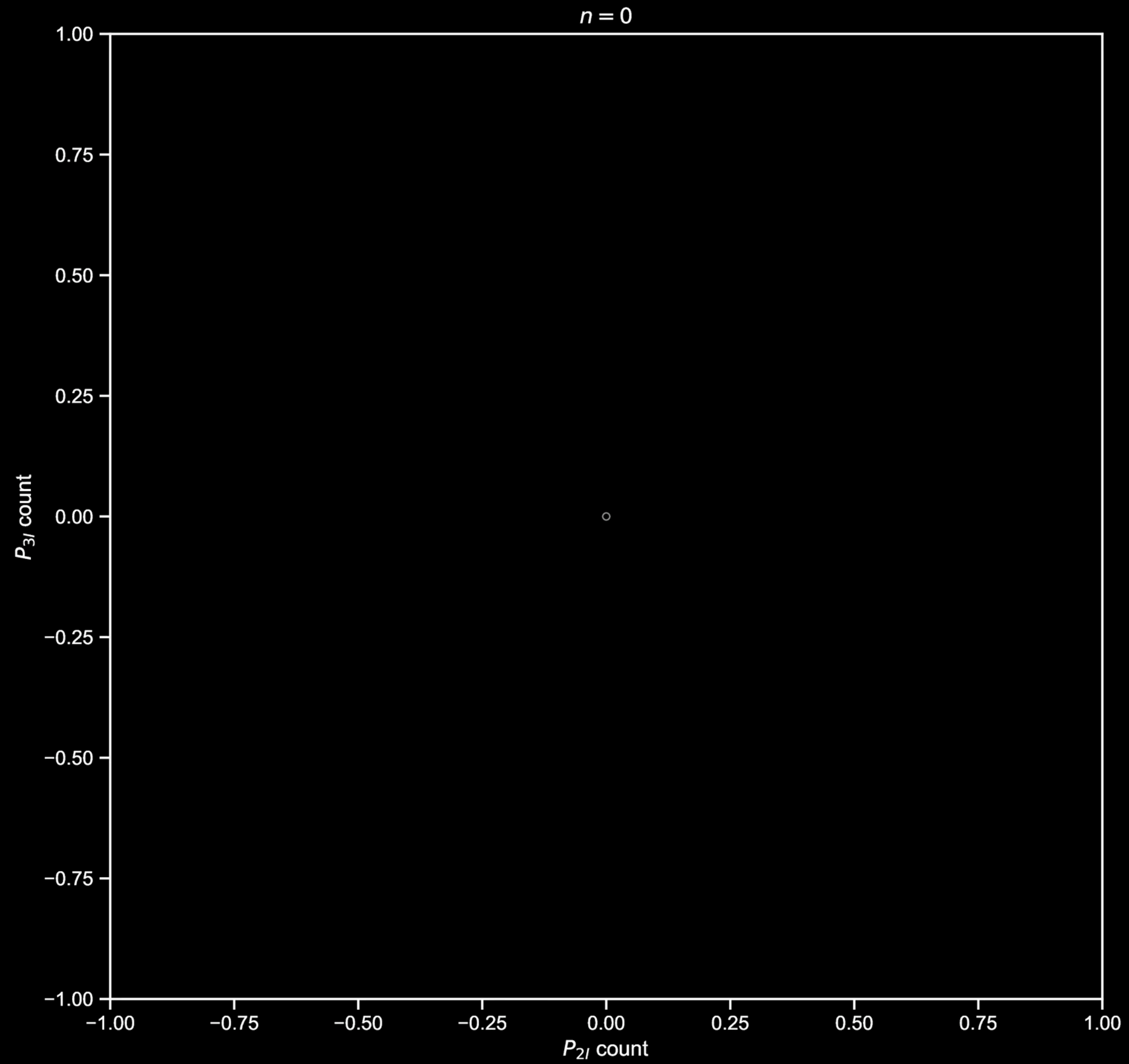
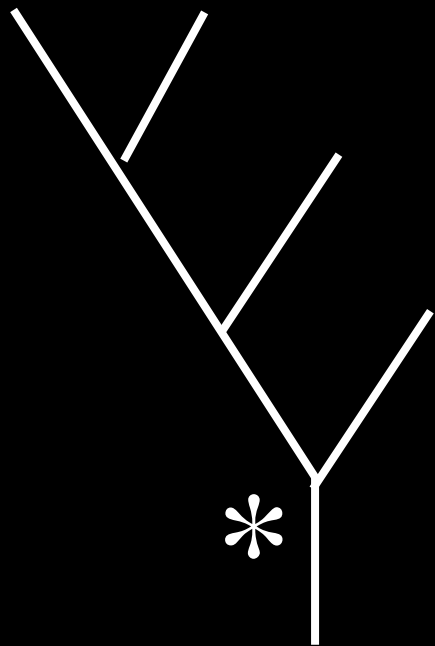
$P_{3I} :=$

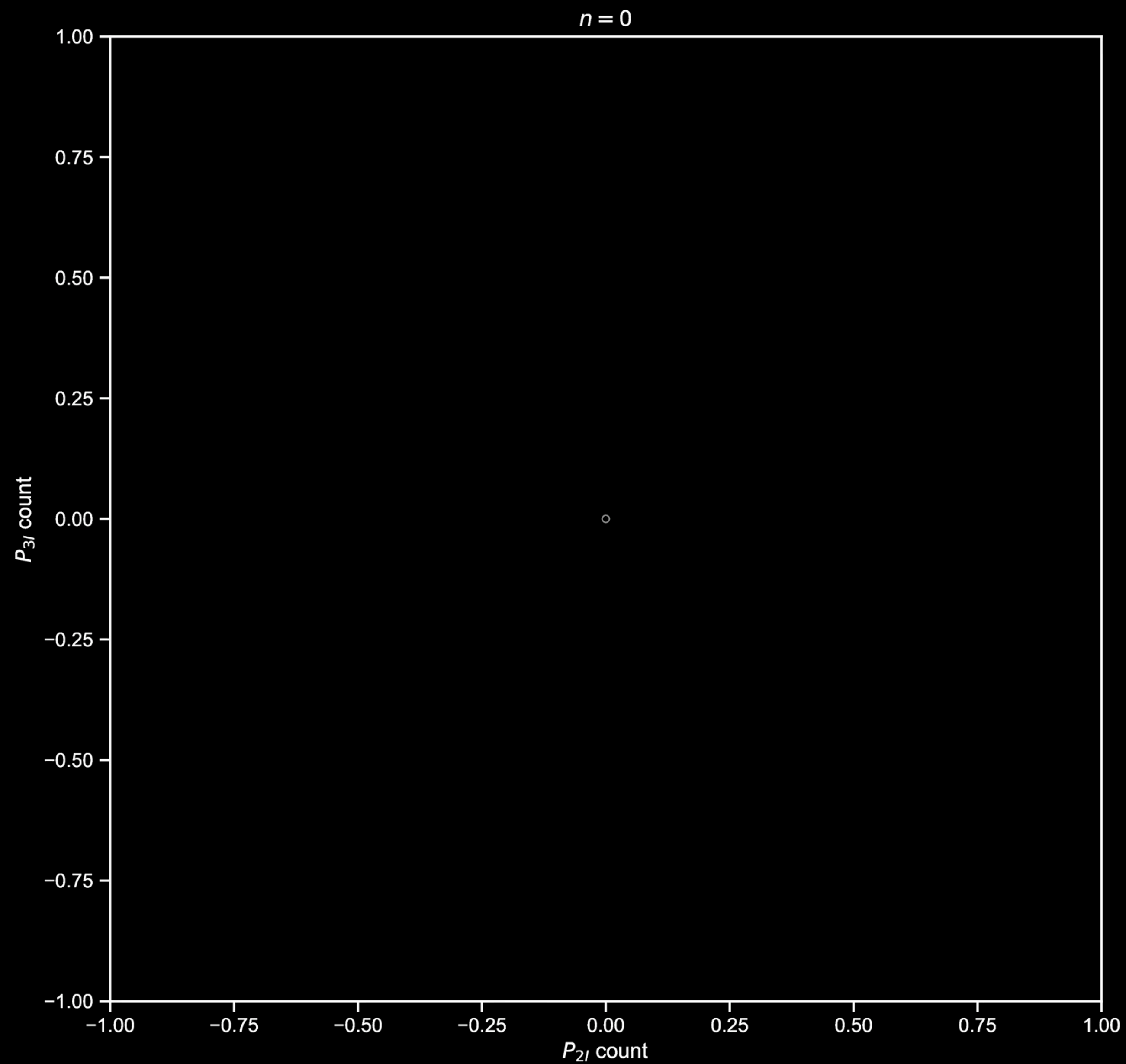
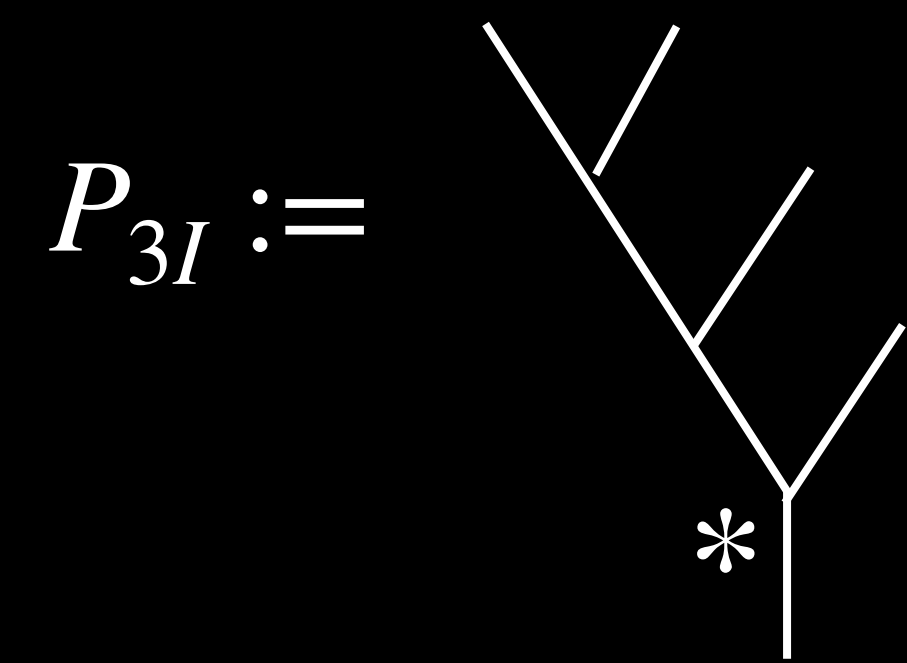
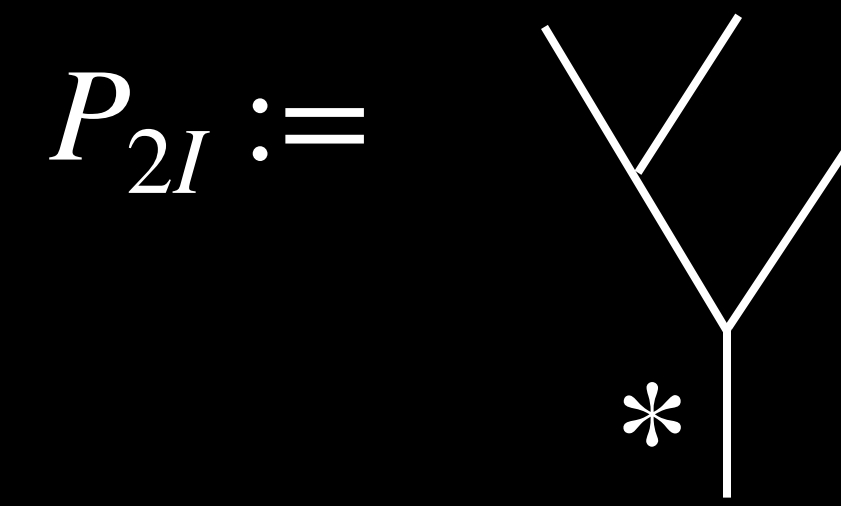


$P_{2I} :=$

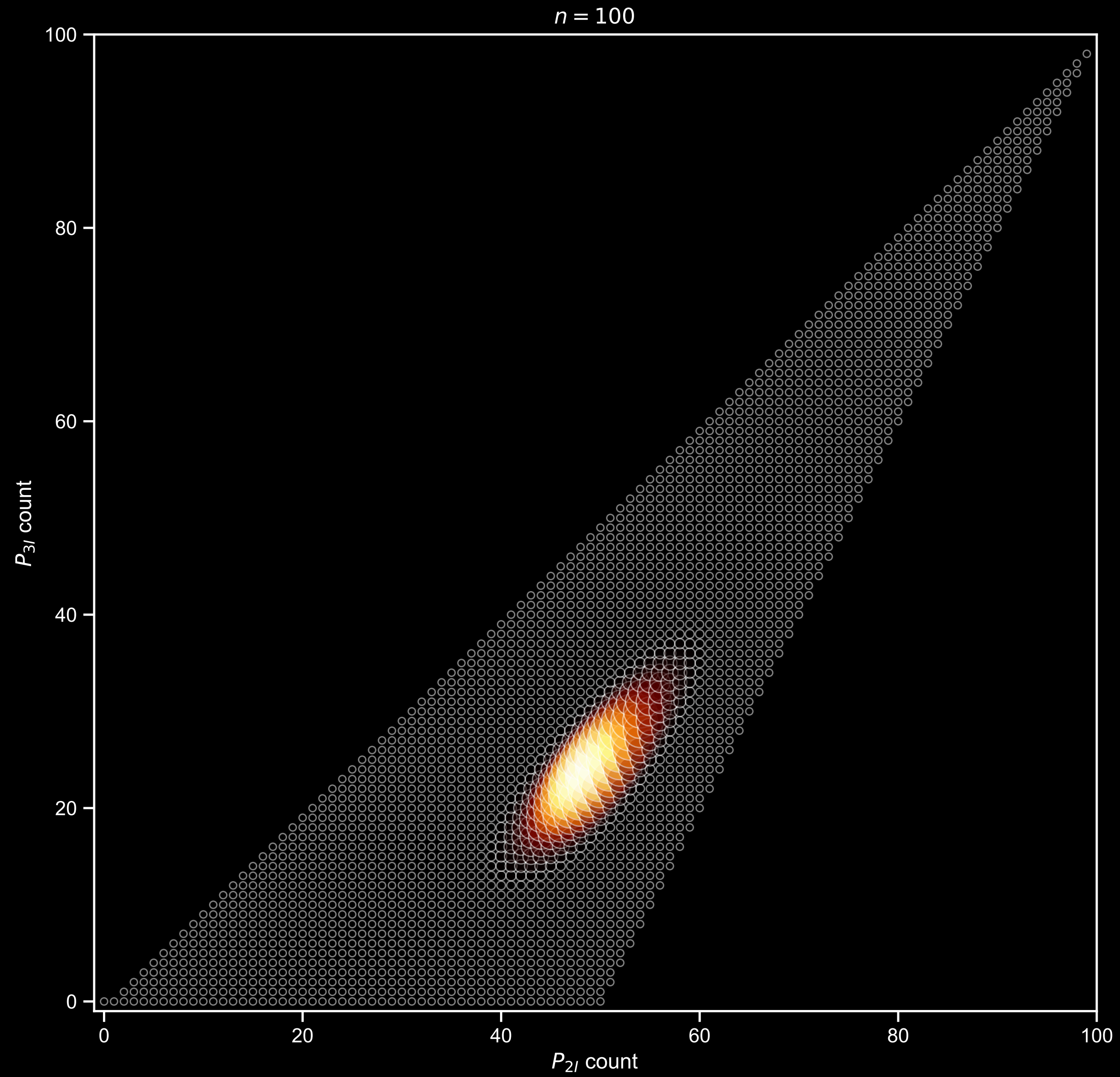
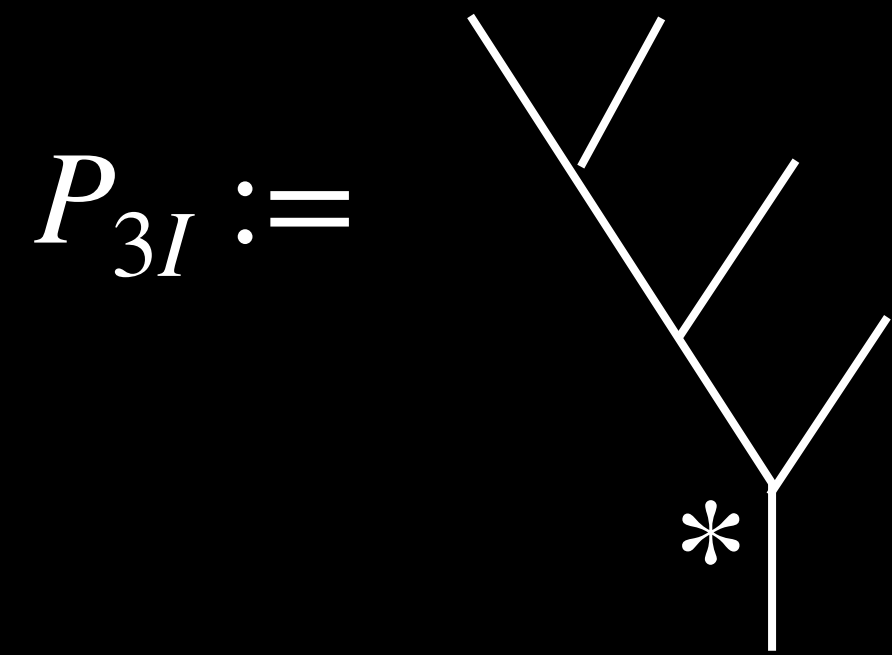
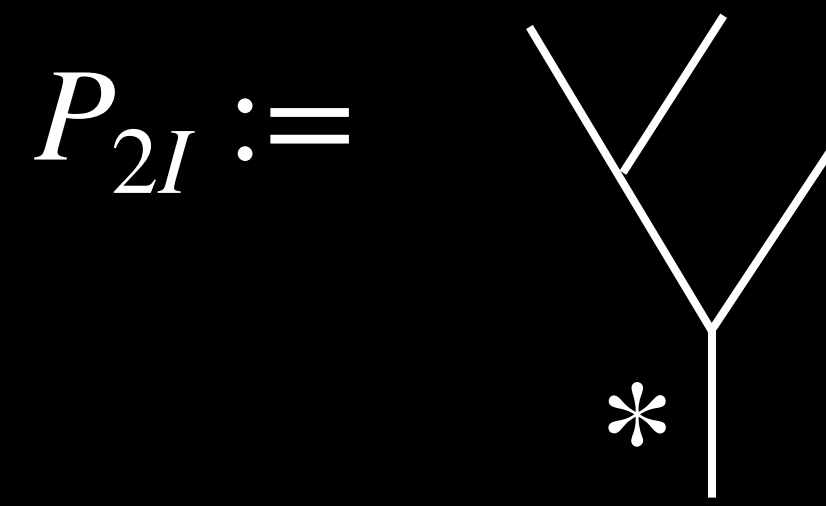


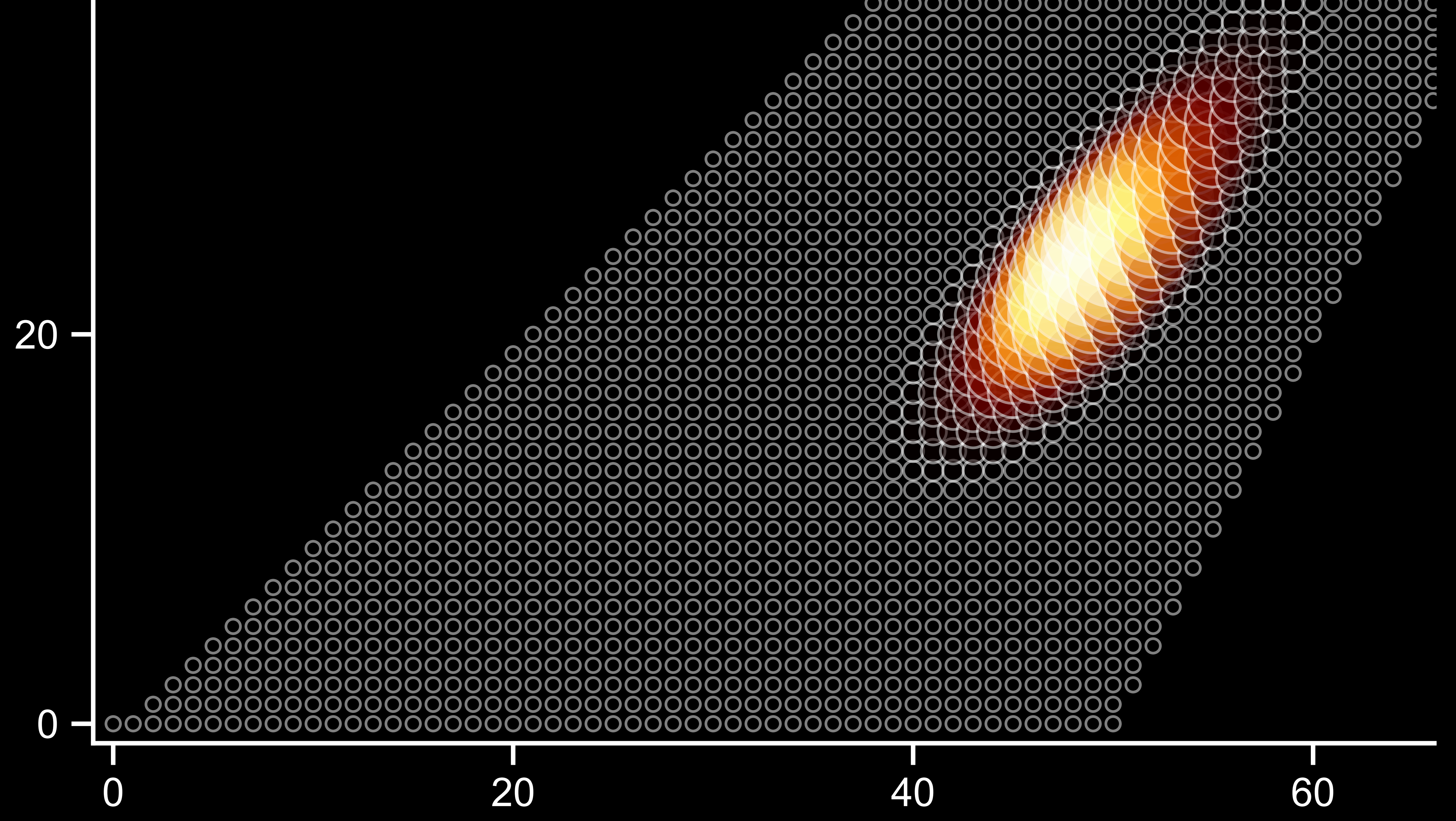
$P_{3I} :=$





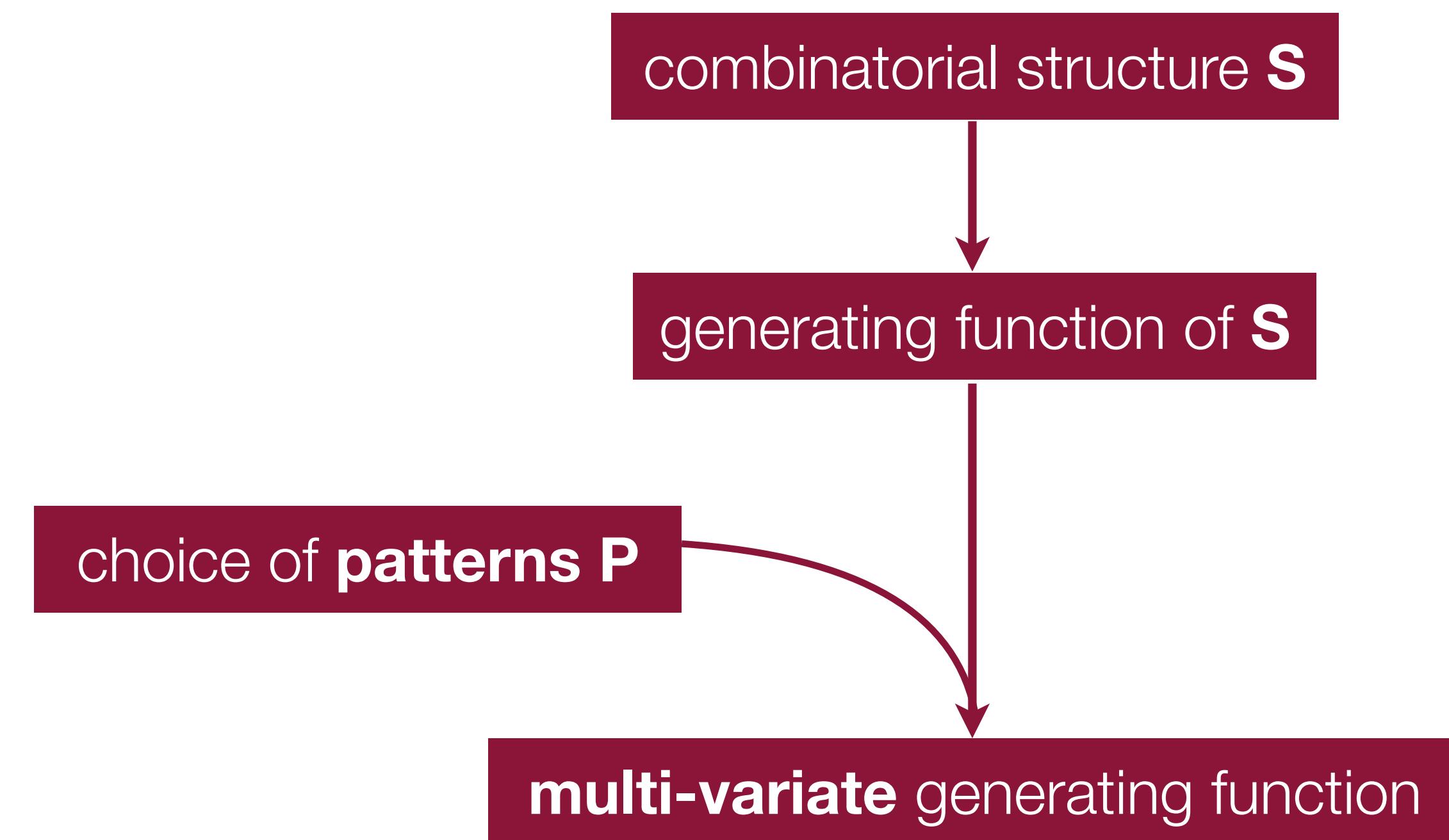
$$|\mathcal{T}_{100}| = \frac{200!}{100!} \approx 10^{217}$$





Summary

An **alternative approach** to enumerative combinatorics based upon **rewriting theory**:

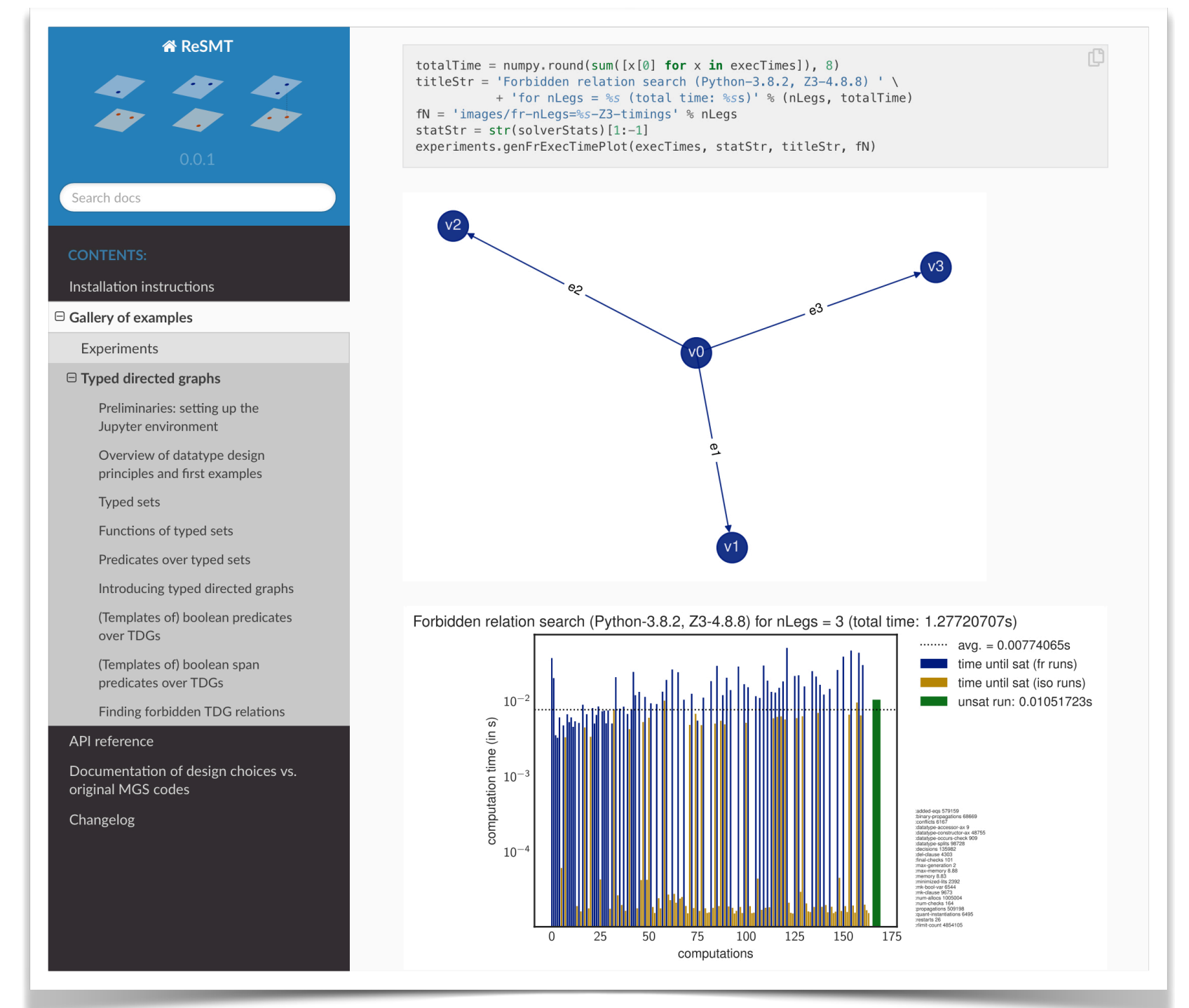


- **generate** structure **S** via applying **rewriting rules** to some **initial configuration** “in all possible ways”
- **count patterns** via applying special types of rewriting rules
- formulate **generating functions** via **linear operators** associated to rewriting rules

Key tool: the **rule-algebra** formalism!

Outlook

- towards **automated computations** via **ReSMT**
- “Flajolet-style” analytic combinatorics via the rule-algebraic approach?
- **asymptotics** of pattern-count distributions?
- **Hopf algebra(s)** of **tracelets**...



<https://gitlab.com/nicolasbehr/ReSMT>

Outlook

The algebras of graph rewriting

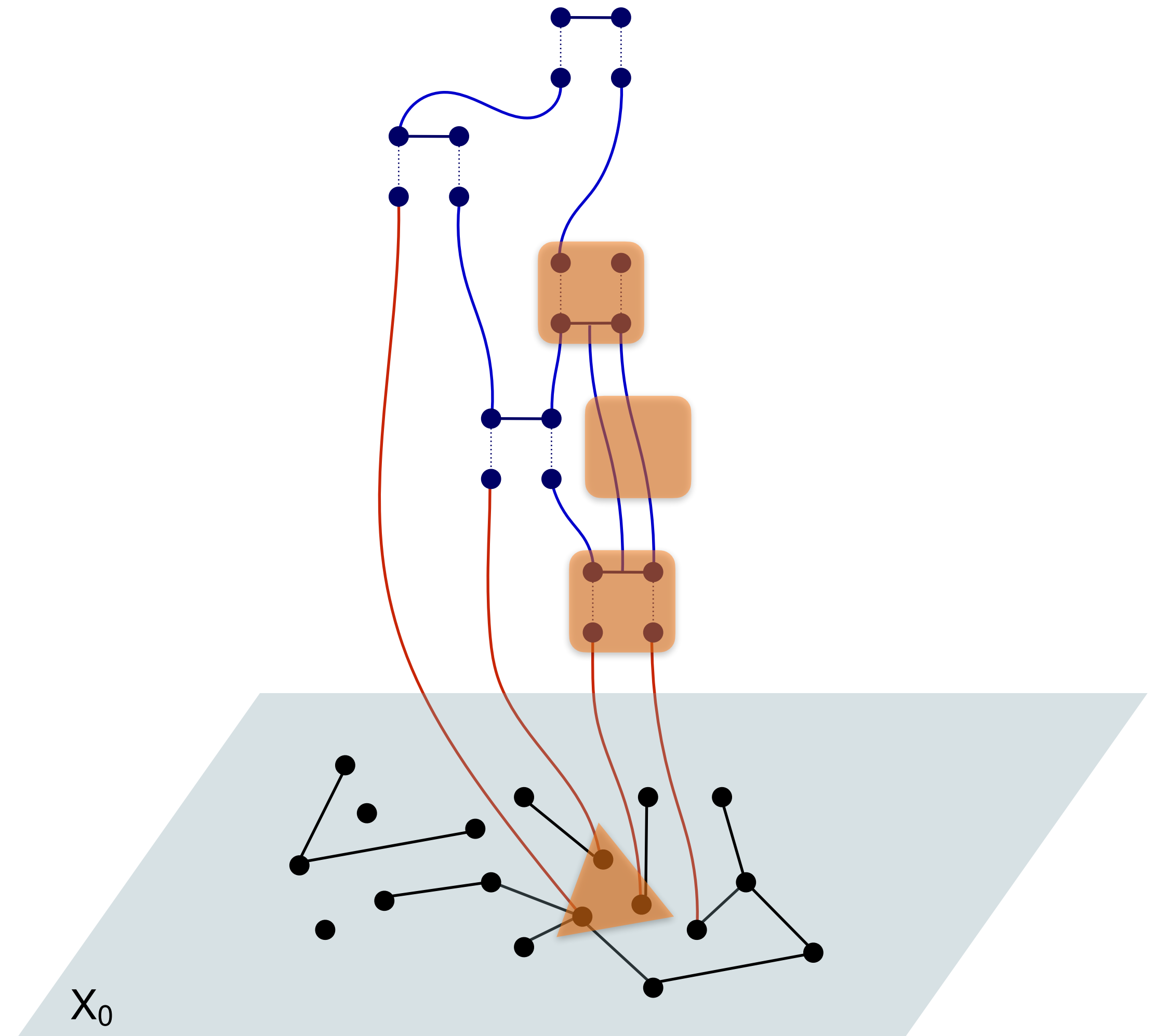
Nicolas Behr^{*1}, Vincent Danos^{†2}, Ilias Garnier^{‡1} and Tobias Heindel^{§3}

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³Department of Computer Science, Datalogisk Institut (DIKU), Københavns Universitet, Universitetsparken 5, 2100 København Ø, Denmark

December 20, 2016



Merci beaucoup !



SOCS 2020